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Operational Automatic Remote Sensing Image Understanding Systems: Beyond Geographic Object-Based and Object-Oriented Image Analysis (GEOBIA/GEOOIA). Part 2: Novel system Architecture, Information/Knowledge Representation, Algorithm Design and Implementation

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Abstract: According to literature and despite their commercial success, state-of-the-art two-stage non-iterative geographic object-based image analysis (GEOBIA) systems and three-stage iterative geographic object-oriented image analysis (GEOOIA) systems, where $GEOOIA \supset GEOBIA$, remain affected by a lack of productivity, general consensus and research. To outperform the Quality Indexes of Operativeness (OQIs) of existing GEOBIA/GEOOIA systems in compliance with the Quality Assurance Framework for Earth Observation (QA4EO) guidelines, this methodological work is split into two parts. Based on an original multi-disciplinary Strengths, Weaknesses, Opportunities and Threats (SWOT) analysis of the GEOBIA/GEOOIA approaches, the first part of this work promotes a shift of learning paradigm in the pre-attentive vision first stage of a remote sensing (RS) image understanding system (RS-IUS), from sub-symbolic statistical model-based (inductive) image segmentation to symbolic physical model-based (deductive) image preliminary classification capable of accomplishing image sub-symbolic segmentation and image symbolic pre-classification simultaneously. In the present second part of this work, a novel hybrid (combined deductive and inductive) RS-IUS architecture featuring a symbolic deductive pre-attentive vision first stage is proposed and discussed in terms of: (a) computational theory (system design), (b) information/knowledge representation, (c) algorithm design and (d) implementation. As proof-of-concept of symbolic physical

model-based pre-attentive vision first stage, the spectral knowledge-based, operational, near real-time, multi-sensor, multi-resolution, application-independent Satellite Image Automatic Mapper™ (SIAM™) is selected from existing literature. To the best of these authors' knowledge, this is the first time a symbolic syntactic inference system, like SIAM™, is made available to the RS community for operational use in a RS-IUS pre-attentive vision first stage, to accomplish multi-scale image segmentation and multi-granularity image pre-classification simultaneously, automatically and in near real-time.

Keywords: categorical variable; computer vision; continuous variable; decision-tree classifier; deductive learning from rules; Geographic Object-Based Image Analysis (GEOBIA); Geographic Object-Oriented Image Analysis (GEOOIA); image classification; inductive learning from either labeled or unlabeled data; inference; machine learning; physical model; prior knowledge; radiometric calibration; remote sensing; Satellite Image Automatic Mapper™ (SIAM™); syntactic inference system; statistical model; Strengths Weakness Opportunities and Threats (SWOT) analysis of a project

Acronyms and Abbreviations

AI:	Artificial Intelligence
ATCOR:	Atmospheric / Topographic Correction
B:	(visible) Blue
CEOS:	Committee on Earth Observation Satellites
CV:	Computer Vision
DN:	Digital Number
EO:	Earth Observation
FIEOS:	fourth-generation Future Intelligent Earth Observation Satellites
G:	(visible) Green
GEO:	Group on Earth Observations
GEOBIA:	Geographic Object-Based Image Analysis
GEOOIA:	Geographic Object-Observation Image Analysis
GEOS:	Global EO System of Systems
GIS:	Geographic Information System
GIScience:	Geographic Information Science
GMES:	Global Monitoring for the Environment and Security
IR:	Infra-Red
LAI:	Leaf Area Index
LC:	Land Cover
LCLUC:	Land Cover and Land Use Change program
MAL:	Machine Learning
MAT:	Machine Teaching
MIR:	Medium-IR

MXLKL:	Maximum Likelihood
MS:	Multi-Spectral
NASA:	National Aeronautics and Space Administration
OO:	Object-Oriented
OQI:	Quality Index of Operativeness
QA:	Quality Assurance
QA4EO:	Quality Accuracy Framework for Earth Observation
QI:	Quality Index
R:	(Visible) Red
RS:	Remote Sensing
RS-IUS:	Remote Sensing Image Understanding System
SIAM TM :	Satellite Image Automatic Mapper TM
SURF:	Surface Reflectance
SVM:	Support Vector Machine
SWOT:	Strengths, Weaknesses, Opportunities and Threats analysis
TIR:	Thermal IR
TM:	Trademark
TOA:	Top-Of-Atmosphere
TOARF:	TOA Reflectance
TOC:	Topographic Correction
USGS:	US Geological Survey
VHR:	Very High Resolution
WELD:	Web-Enabled Landsat Data set project
WGCV:	Working Group on Calibration and Validation

1. Introduction

This methodological work aims at one traditional, albeit visionary goal of the remote sensing (RS) community: the development of operational (good-to-go, press-and-go, turnkey) satellite-based information/knowledge processing systems (which include satellite-based measurement systems as a special case), capable of automating the quantitative analysis of large-scale spaceborne multi-source multi-resolution image databases ([1]; p. 451), in compliance with the methodological guidelines of the Quality Assurance Framework for Earth Observation (QA4EO) delivered by the Working Group on Calibration and Validation (WGCV) of the Committee on Earth Observation Satellites (CEOS), the space arm of the Group on Earth Observations (GEO) [2].

For publication purposes this theoretical contribution is split into two papers. The first paper [3] provides an original multi-disciplinary Strengths, Weaknesses, Opportunities and Threats (SWOT) analysis of state-of-the-art two-stage non-iterative geographic (2-D) object-based image analysis (GEOBIA) systems [4–9] (refer to Section 6 in [3]) and three-stage iterative geographic (2-D) object-oriented image analysis (GEOOIA) systems [4], where GEOBIA is a special case of GEOOIA, *i.e.*, $GEOOIA \supset GEOBIA$ (refer to Section 7 in [3]). In this SWOT analysis, a set of quantifiable metrological/statistically-based quality indexes (QIs) of operativeness (OQIs), suitable for the

assessment and comparison of RS image understanding systems (RS-IUSs), like GEOBIA and GEOOIA, is proposed to be community-agreed. The proposed set of OQIs includes: degree of automation, accuracy, efficiency, robustness to changes in the input data set, robustness to changes in the input parameters, scalability, timeliness and costs, refer to Section 2 in [3]. To outperform OQIs featured by the GEOBIA/GEOOIA systems, in compliance with the GEO-CEOS QA4EO guidelines and with constraints stemming from human vision, the first part of this work promotes a shift of learning paradigm in the pre-attentive vision first stage of a remote sensing (RS) image understanding system (RS-IUS), from sub-symbolic statistical model-based image segmentation to symbolic physical model-based image preliminary classification (pre-classification). Hence, a symbolic deductive pre-attentive vision first stage accomplishes image sub-symbolic segmentation and image symbolic pre-classification simultaneously. In fact, it is well known that the generation of a segmentation map from a binary mask or multi-level image (e.g., a thematic map) is a well-posed segmentation problem (*i.e.*, the problem solution exists and is unique), typically solved by a computationally efficient two-pass connected-component image labeling algorithm [10]. In practice, a unique (sub-symbolic) segmentation map can be generated from a (symbolic) thematic map, but the contrary does not hold, *i.e.*, various thematic maps can generate the same segmentation map.

About the terminology adopted in this work (refer to Section 3 in [3]), it is worth mentioning that, in compliance with philosophical hermeneutics [11,12], it makes a clear distinction among concepts like:

- (Numerical, sensory) ‘*data*’ (observables, true facts).
- (Sub-symbolic, quantitative, unequivocal) ‘*information-as-thing*’ according to the Shannon theory of communication [13].
- (Symbolic, qualitative, equivocal) ‘*information-as-(an interpretation)process*’, *i.e.*, information as interpreted data.
- ‘*Knowledge*’. In the words of philosophical hermeneutics, “there is no knowledge without both an object of knowledge and a knowing subject. The claim that there is absolute knowledge, or knowledge in itself, above and beyond concrete knowing subjects, is fantastic” [11,12].

In this work (refer to Section 3 in [3]):

- Terms sub-symbolic, sensory, numerical, non-semantic, quantitative, objective, unequivocal are considered synonyms.
- Terms symbolic, semantic, cognitive, categorical, ordinal, nominal, qualitative, subjective, equivocal are considered synonyms.
- Synonyms of (sub-symbolic or symbolic) deductive inference are: (sub-symbolic or symbolic) deductive learning, top-down inference, coarse-to-fine inference, driven-by-knowledge inference, learning-by-rules, physical model, prior knowledge-based decision system, rule-based system, expert system, syntactic inference, syntactic pattern recognition.
- Synonyms of (sub-symbolic or symbolic) inductive inference are: (sub-symbolic or symbolic) inductive learning, bottom-up inference, fine-to-coarse inference, driven-without-knowledge (knowledge-free) inference, learning-from-examples, statistical model.

Hence, expressions like *sub-symbolic/symbolic inductive/deductive/hybrid* (combined deductive and inductive) *inference* are adopted in the rest of this paper, depending on whether the inference system

deals with, respectively, sub-symbolic continuous/discrete variables or (symbolic and discrete) categorical variables, refer to Section 3 in [3].

Stemming from conclusions of its first part (refer to Section 9 in [3]), the present second part of this methodological work investigates a novel *hybrid* (combined deductive and inductive) RS-IUS architecture featuring a symbolic deductive pre-attentive vision first stage. This original RS-IUS analysis is conducted at the four levels of understanding of an information processing system, namely (refer to Section 1 in [3]): (a) computational theory (system design), (b) information/knowledge representation, (c) algorithm design and (d) implementation. It is important to mention that, according to existing literature, “the linchpin of success (of an information processing system) is addressing the (computational) theory rather than algorithms or implementation” ([10]; p. 376) (which is in line with holism—the whole is greater than the sum of its parts) [14].

As proof-of-concept of symbolic physical model-based pre-attentive vision first stage, the spectral knowledge-based, operational, near real-time, multi-sensor, multi-resolution, application-independent (general-purpose) Satellite Image Automatic Mapper™ (SIAM™) is selected from existing literature [15–24]. SIAM™ is termed “*fully automatic*” because it requires neither user-defined parameters nor training data samples to run. It is termed “*operational*” because, according to existing literature, all of its OQIs score high in real-world applications, including RS image classification at large (e.g., continental) spatial scale and fine semantic granularity (refer to Section 2 in [3]). As output SIAM™ automatically generates RS image segmentation maps at multiple spatial scales together with RS image pre-classification maps at multiple semantic granularities.

In the RS literature, expert systems have been (almost) exclusively proposed in the attentive vision second-stage classification [25–34]. The sole exceptions these authors are aware of regard the physical model-based spectral decision-tree classifier (SPECL), implemented as a by-product in the Atmospheric/Topographic Correction (ATCOR-2/3/4) commercial software toolbox [35,36] for the estimation of biophysical variables from RS optical imagery [37,38] (for more details about SPECL, refer to Section 2 below). It means that, to the best of these authors’ knowledge, this is the first time a symbolic syntactic inference system, like SIAM™, is made available to the RS community for operational use in a RS-IUS pre-attentive vision first stage, to accomplish multi-scale image segmentation and multi-granularity image pre-classification simultaneously, automatically and in near real-time.

The rest of this paper is organized as follows. Section 2 reviews the conclusions of the first part of this work about weaknesses of the GEOBIA/GEOOIA systems and existing alternative solutions [3]. Recently presented in literature [15–23], a novel hybrid RS-IUS architecture and instantiation employing the operational automatic near-real-time SIAM™ decision-tree preliminary classifier as its symbolic deductive pre-attentive vision first stage is introduced in Section 3. Section 4 selects from literature an application of the proposed hybrid RS-IUS implementation to provide a practical example of its degree of novelty [21]. Section 5, which is the core of this work, investigates the degree of novelty of the proposed hybrid RS-IUS architecture and instantiation at the four levels of understanding of an information processing system (refer to this section above) [14,21]. New interdisciplinary research and market opportunities opened up by the proposed hybrid RS-IUS implementation are discussed in Section 6. Conclusions are reported in Section 7.

2. Problem Recognition and Opportunity Identification

To better deal with different applications, users and target classes, *i.e.*, to increase its OQIs (refer to Section 2 in [3]), a traditional two-stage non-iterative GEOBIA architecture (refer to Section 6 in [3]) should rather be considered cyclic (iterative) [32]. To reach this objective, a three-stage iterative GEOOIA architecture, where GEOBIA is a special case of GEOOIA, *i.e.*, $\text{GEOOIA} \supset \text{GEOBIA}$, was recently proposed to the RS community and implemented in a popular RS-IUS commercial software product [5–9] (refer to Section 7 in [3]).

According to existing literature and despite its commercial success, the GEOBIA/GEOOIA systems remain affected by a lack of productivity, general consensus and research [4,33,34] (refer to Section 2 in [3]). To explain these lacks, the SWOT analysis of the GEOBIA/GEOOIA paradigm conducted in the first part of this methodological work has reached the following conclusions (see Section 9 in [3]).

- (1) Popular GEOBIA and GEOOIA commercial software products, like those listed in Table 1 of [3], do not comply with the QA4EO requirements, where radiometric calibration of RS imagery is considered a pre-requisite to ensure the harmonization and interoperability of multi-source multi-temporal observational data and derived products. By definition, radiometric calibration is the transformation of dimensionless digital numbers (DNs) into a community-agreed physical unit of radiometric measure. The relaxation of the requirement of radiometric consistency of multi-source, multi-temporal and multi-spectral (MS) imagery brings, as an inevitable consequence, that these RS-IUS commercial software products are based on (inherently ill-posed) statistical rather than physical models. In the MAL and RS literature it is acknowledged that, due to their inherent ill-posedness, inductive inference systems: (i) are intrinsically semi-automatic and site-specific and (ii) require *prior knowledge* in addition to data to become better conditioned for numerical treatment (refer to Section 4.2 in [3]). In practice, to become better posed for numerical treatment, any statistical system requires ignition of deductive inference which makes the resulting inference system *hybrid*.
- (2) In the CV literature, according to Marr “vision goes symbolic almost immediately, right at the level of zero-crossing (pre-attentive primal sketch) ... without loss of information” ([14]; p. 343). If this conjecture holds true, then the symbolic hybrid human vision system comprises a symbolic hybrid pre-attentive vision sub-system subjected to the following constraints (refer to Section 5 in [3]).
 - (I) Symbolic pre-attentive vision is general-purpose (application-independent), parallel and rapid (efficient). It generates as output a (symbolic) preliminary classification (pre-classification) map of the input image. Hence, the symbolic pre-attentive vision first stage accomplishes image feature extraction (image segmentation) and image pre-classification simultaneously.
 - (II) Symbolic pre-attentive semantic labels belong to a discrete and finite set of semi-concepts whose degree of semantic information must be superior to zero and equal or inferior to that of concepts detected by the attentive vision second phase.
 - (III) The inverse mapping of the pre-classification map back to the input image domain generates a piecewise constant approximation of the input image equivalent to an edge-preserving smoothing filter where image details featuring high spatial-frequency components are well preserved.

To be considered inspired to human vision, an artificial vision system should be implemented as a symbolic hybrid inference system comprising a symbolic hybrid pre-attentive vision sub-system capable of complying with the aforementioned requirements (I) to (III). On the contrary, both GEOBIA and GEOOIA are not biologically plausible, which is in contrast with their original goal of attempting to replicate human vision (refer to Section 6.1 in [3]). In particular:

- ✓ in place of a symbolic pre-attentive vision first stage capable of accomplishing the aforementioned requirements (I) to (III), both GEOBIA and GEOOIA adopt the same sub-symbolic (inherently ill-posed) statistical approach.
- ✓ At the attentive vision second stage, both GEOBIA and GEOOIA may or may not employ symbolic syntactic inference. If they do not, they are fully statistical systems.

To recapitulate, when compared to human vision GEOBIA and GEOOIA systems lack deductive inference mechanisms starting at their pre-attentive vision first stage.

To outperform existing GEOBIA and GEOOIA systems, the goal of the present second part of this methodological work (refer to Section 1) can be reformulated as follows: provide, at the four levels of understanding of an information processing system, a critical analysis of a novel hybrid RS-IUS design and implementation, selected from existing literature [15–23], where the spectral knowledge-based, operational, automatic, near real-time SIAM™ preliminary classifier is adopted as its symbolic, deductive pre-attentive vision first stage, to comply with:

- (A) the QA4EO guidelines (refer to Section 2 in [3]),
- (B) the symbolic pre-attentive vision sub-system constraints (I) to (III) listed in this section above (refer to Section 5 in [3]),
- (C) the requirements specification for an RS-IUS to be considered operational. In particular, all OQIs featured by an operational RS-IUS must score “high” (*i.e.*, be superior to community-agreed reference standards) in real-world applications, including RS image classification at large (e.g., continental, global) spatial scale and fine semantic granularity (refer to Section 2 in [3]).

It is important to mention that, to the best of these authors’ knowledge, only one alternative to the deductive, operational, automatic SIAM™ preliminary classifier can be found in the RS-IUS commercial software products listed in Table 1 of [3]. This exception is represented by the spectral knowledge-based decision-tree classifier (SPECL), implemented as a by-product in the Atmospheric Correction (ATCOR-2/3/4) commercial software toolbox [35,37,38]. Although they belong to the same family of operational, pixel-based, syntactic inference systems, SIAM™ and SPECL are totally different in terms of both algorithm design and implementation. For example:

- The SPECL class grammars consist of one single spectral rule per spectral category (surface type) [37], while the SIAM™ class grammars consist of logical combinations of so-called spectral rules with spectral fuzzy sets [17], where: (i) a so-called spectral rule defines a buffer zone of spectral tolerance, irrespective of the absolute intensity of spectral bands, by means of relational operators ($<$, $>$, \leq , \geq) between spectral bands, (ii) spectral fuzzy sets (e.g., low, medium, high) are extracted from spectral variables, namely, spectral bands or spectral indexes, and (iii) one class grammar is a combination of logical operators (AND, OR, NOT) with one or more spectral buffer zones and spectral fuzzy sets.

- The hierarchical (sorted) list of class grammars detected by SPECL includes: (1) snow/ice, (2) cloud, (3) bright bare soil/sand/soil/cloud, (4) dark bare soil, (5) average vegetation, (6) bright vegetation, (7) dark vegetation, (8) yellow vegetation, (9) mix of vegetation/soil, (10) asphalt/dark sand, (11) sand/bare soil/cloud, (12) bright sand/soil/cloud, (13) dry vegetation/soil, (14) sparse vegetation/soil, (15) turbid water, (16) clear water, (17) clear water over sand, (18) shadow, (19) not classified. The list of class grammars (*structural knowledge*, refer to Section 4.1 in [3]) and their order of presentation (*procedural knowledge*, refer to Section 4.1 in [3]) is completely different from SPECL to SIAM™, e.g., refer to [17]. In terms of semantic granularity SPECL is quite coarser (simpler) than SIAM™. The latter generates as output three standard preliminary classification maps at fine, intermediate and coarse semantic granularities, where a symbolic parent-child relationship is enforced (refer to Section 5.4 below). Depending on the spectral resolution of the input image, SIAM™ detects up to 95 spectral categories at fine semantic granularity (see Table 1 below).

For more details about the SIAM™ input data requirements and data-derived product specifications, refer to Section 5.4 below.

3. Proposed Hybrid RS-IUS Architecture Employing a Symbolic Deductive Context-Insensitive Pre-Attentive Vision First Stage

Accounting for the customary distinction between a model and the algorithm used to identify it [14,39], an original hybrid RS-IUS architecture (model, scheme, design) was identified by one of the present authors starting from several RS-IUS implementations proposed by Shackelford and Davis in recent years [28–30], see Figure 1. In terms of *induction* (from true facts to generalizations) and *deduction* (from generalizations to true facts) rules of inference (refer to Section 4 in [3]), the proposed novel class of hybrid RS-IUSs can be summarized as follows (see Figure 2).

A MS image enhancement phase (identified as Stage 0 (zero)), including: (a) a RS image radiometric calibration of DNs into top-of-atmosphere (TOA) reflectance (TOARF) or surface reflectance (SURF) values, where SURF is a special case of TOARF in very clear sky conditions, *i.e.*, $TOARF \supseteq SURF$, considered mandatory in compliance with the QA4EO guidelines, and (b) an optional battery of stratified (driven-by-knowledge, symbolic mask-conditioned) image enhancement algorithms, e.g., stratified topographic correction [20],

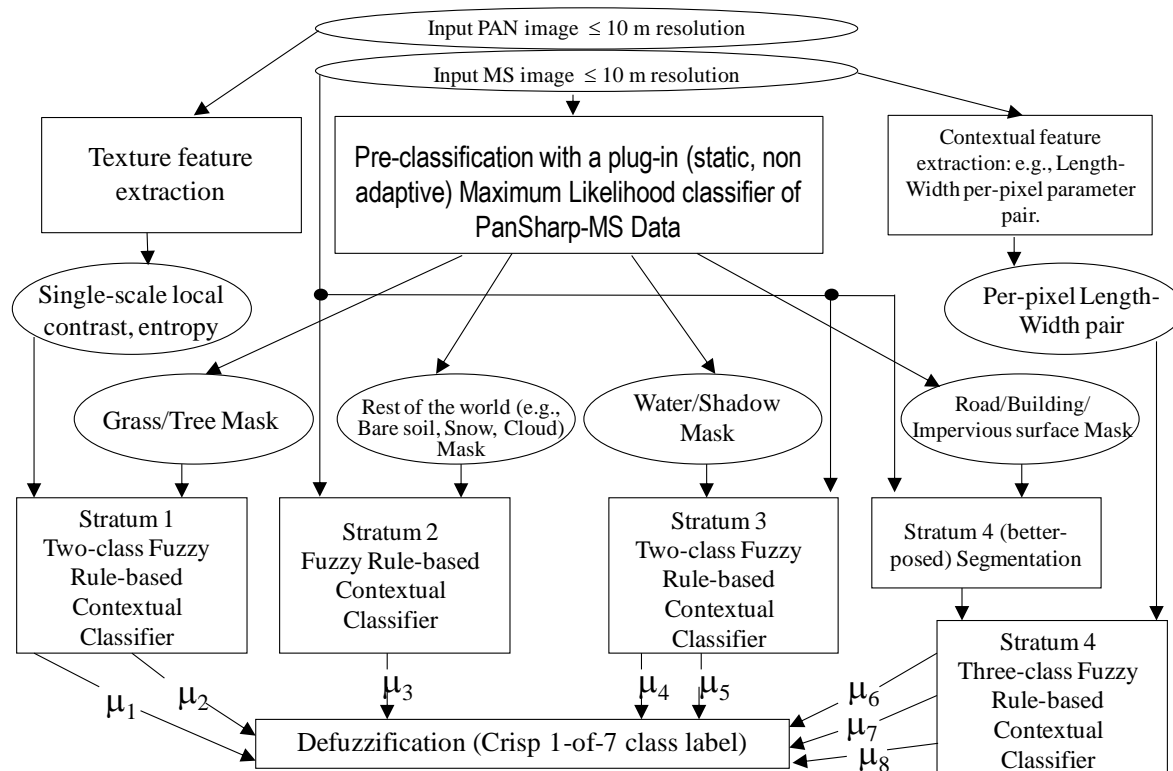
+ (in series with)

Symbolic, physical model-based, application-independent, context-insensitive (per-pixel) pre-attentive vision first stage (preliminary classification, identified as Stage 1), provided with a feedback loop to feed the pre-attentive vision Stage 1 (categorical) output back to the pre-processing Stage 0 input for stratified MS image enhancement,

+ (in series with)

Attentive vision second phase (identified as stage 2) comprising a hierarchical battery of stratified application-, sensor- and class-specific, context-sensitive, statistical model-based and/or physical model-based algorithms for feature extraction and one-class classification.

Figure 1. Data flow diagram (DFD) [40], showing processing blocks as rectangles and data derived products as circles, of the Shackelford and Davis stratified hierarchical fuzzy classification approach for high-resolution MS images of urban areas [28].



It means that the proposed hybrid RS-IUS architecture is a three-stage, stratified, hierarchical, hybrid, feedback RS-IUS employing a symbolic, deductive, context-insensitive pre-attentive vision first stage. The three stages are described below [15–23] (see Figure 2).

1. An RS image enhancement (pre-processing) block, identified as Stage 0 (zero) to account for its preliminary contribution to RS data classification, considered as the core of the proposed RS-IUS design. By definition, a data enhancement algorithm is input with numerical (sensory) data to generate as output numerical data of enhanced quality, e.g., geometric and/or radiometric quality. By definition, a data classification system is input with sub-symbolic numerical data, e.g., an RS image, to provide as output a semantic labeling of the input dataset, e.g., a classification (2-D) map. The proposed image enhancement Stage 0 consists of two parts, the first one compulsory and the second part optional as described below.
 - A RS image radiometric calibration considered mandatory to accomplish the transformation of dimensionless DN_s into a community-agreed physical unit of radiometric measure, in compliance with: (a) the QA4EO guidelines, where calibration and validation (Cal/Val) activities are considered critical to a GEO data quality assurance (QA) strategy and thus to data usability [2] (refer to Section 2 in [3]), (b) common knowledge in the RS community, where “the prerequisite for physically based, quantitative analysis of airborne and satellite sensor measurements in the optical domain is their calibration to spectral radiance” ([41], p. 29) and (c) the thesis that radiometric calibration is a necessary not sufficient condition for automatic interpretation of input EO imagery [15–23]. In particular, the radiometric calibration at Stage 0 is required to

transform DNs into TOARF or SURF values, with $\text{TOARF} \supseteq \text{SURF}$, the latter being an ideal case of the former in very clear sky conditions [42]. The requirement for RS data to be calibrated into TOARF or SURF values means that solving the inherently difficult-to-solve (ill-posed) atmospheric correction problem is considered optional, rather than compulsory. It is noteworthy that, among popular RS-IUS commercial software products listed in Table 1 of [3], only the physical model-based ATCOR-2/3/4 (where the syntactic pixel-based SPECL preliminary classifier alternative to SIAM™ is implemented as a by-product, refer to Section 2) considers radiometric calibration mandatory [35,37]. It means that the other RS-IUS commercial software products, including popular GEOBIA/GEOOIA systems (refer to Section 2), are based on inherently ill-posed, semi-automatic and site-specific statistical models, refer to Section 4.2 in [3].

- A feedback loop feeds the symbolic deductive pre-attentive vision Stage 1 (categorical) output back to the pre-processing Stage 0 input for stratified (driven-by-knowledge, symbolic mask-conditioned) RS image enhancement. Thus, depending on the Stage 1 output, the hybrid (numerical and categorical) input of Stage 0 is adjusted so as to reach a system steady-state. This means that the proposed hybrid RS-IUS is a feedback system. The *principle of stratification* is popular in statistics (e.g., refer to the well-known stratified random sampling design [43]). Its advantage is that “stratification will always achieve greater precision provided that the strata have been chosen so that members of the same stratum are as similar as possible in respect of the characteristic of interest” [44]. In other words, (inherently ill-posed) statistical models become better posed (conditioned, constrained) by incorporating the “stratified” or “layered” approach, which is typical of decision-trees [45], to accomplish driven-by-knowledge regularization (simplification) of the solution space. In general, “to reduce the effect of multiple solutions, regularization is required, which involves the introduction of additional constraints or the introduction of some *a priori* information about the expected estimates of the statistical variables” [37]. In common practice the statistical principle of stratification is equivalent to the well-known divide-and-conquer (*dividi et impera*) problem-solving approach [45–47]. The same stratification principle is exploited at the second stage of the RS-IUS instantiations presented by Shackelford and Davis in RS literature [28–30], see Figure 1. The “stratified” approach is also employed in the GEOOIA scheme (see Figure 4 in [3]) at the attentive vision second phase, where driven-by-knowledge class-specific image-object-based classification and segmentation algorithms can be iterated. For example, in the Definiens GEOOIA commercial software products, the stratification principle is called “class filter”, such that image objects will be part of the search domain, called “image object domain”, if they are classified with one of the classes selected in the class filter [5–8]. On the contrary, any “layered” approach is absent from the traditional two-stage non-iterative GEOBIA design shown in Figure 2 in [3], which is the reason why it is outperformed by the GEOOIA scheme [4]. A possible disadvantage of stratification is that identification of appropriate strata may be difficult [44]. To recapitulate, in agreement with a well-known divide-and-conquer problem-solving approach, Stage 0 is eligible for combining as input sub-symbolic sensory (numerical) data with semantic information to accomplish any of the following driven-by-knowledge RS image enhancement tasks.
- ✓ Stratified RS image topographic correction (TOC). It is well known in existing literature that TOC is an ill-posed circular dilemma (chicken-and-egg problem): while image

classification benefits from preliminary TOC, the latter requires *a priori* knowledge of surface roughness which is land cover class specific. To overcome the TOC inherent ill-posedness, “more research regarding the use of better stratification methods” was strongly encouraged [48,49] and accomplished in practice via SIAM™ [20].

- ✓ Stratified RS image co-registration between a geo-referenced master image and an input image, where a preliminary classification map is input to a stratified image feature extraction and a stratified image feature matching phase where semantic and iconic image features are combined according to a convergence-of-evidence approach [50].
- ✓ Stratified image mosaicking, e.g., refer to [19].
- ✓ Stratified bidirectional reflectance distribution function (BRDF) effect correction, e.g., refer to [35,36,38].

2. A symbolic, syntactic, application-independent, per-pixel (non-contextual) pre-attentive vision first stage, identified as Stage 1 (refer to Section 5 in [3]) [14]. Since it is pixel-based, this preliminary classification (pre-classification) first stage investigates spectral information exclusively, *i.e.*, chromatic and achromatic (brightness) information that is the sole non-contextual level of information in a (2-D) image (unlike, say, texture, shape, spatial relationships between image-objects, *etc.*). For example, if a pixel’s color value is red (*i.e.*, if a pixel’s color value is [255, 0, 0] in a standard Red-Green-Blue color space), that color value depends not at all on the color values of neighboring pixels (although the way that pixel is perceived by a human observer may change with its context). To the best of these authors’ knowledge, in existing RS literature there are three examples of symbolic per-pixel pre-attentive vision first stage implementations, including one statistical and two syntactic models.
 - In their original works Shackelford and Davis implemented the non-contextual preliminary classification first stage as a pixel-based, statistical, parametric, plug-in (non-adaptive to input data) maximum-likelihood (MXLKL) classifier [28–30]. Unfortunately, OQIs (refer to Section 2 in [3]) featured by an MXLKL classifier are well known to score low, in particular: (i) the MXLKL (Gaussian) parameters must be plugged-in (set) by a human operator based on class-specific (Gaussian) parameter estimation from a reference data distribution, thus timeliness together with costs can be high due to the collection of reference samples and (ii) MXLKL is typically affected by salt-and-pepper classification noise effects, which means its accuracy, robustness to changes in the input data set and scalability tend to be low (refer to Section 2 in [3]) [28–30].
 - To improve the OQIs featured by a pre-attentive vision first-stage MXLKL classifier adopted by Shackelford and Davis, the syntactic, operational, automatic, near-real-time, multi-sensor, multi-resolution, application-independent, pixel-based SIAM™ preliminary classifier has been proposed at the symbolic pre-attentive vision first stage of an hybrid RS-IUS in recent years [15–23] (for more details about SIAM™, refer to Section 5 below).
 - The sole syntactic per-pixel MS image preliminary classifier alternative to SIAM™ found in commercial software toolboxes is SPECL, considered as a by-product in the ATCOR-2/3/4 [35,37], refer to Section 2.

It has been said that, according to the Marr conjecture [14], a symbolic pre-attentive human vision first stage accomplishes image sub-symbolic segmentation and image symbolic pre-classification

simultaneously (refer to Section 5 in [3]). In the case of a RS-IUS symbolic per-pixel pre-attentive vision first stage, its output products, namely, semi-symbolic and sub-symbolic image-objects (refer to Section 1), are similar to those of a traditional two-stage non-iterative GEOBIA system, namely, sub-symbolic and symbolic image-objects. Their difference is twofold: (i) they are generated in reverse order (in GEOBIA, sub-symbolic image-object detection comes before symbolic image-object labeling) and (ii) the semantic level of information of semi-concepts is never superior to that of concepts because the latter comprise the former as a special case, *i.e.*, the relation $\text{concepts} \supseteq \text{semi-concepts}$ always holds (refer to Section 5 in [3]).

3. An attentive vision second stage battery of stratified, hierarchical, context-sensitive, application- and sensor-dependent modules for class-specific feature extraction and one-class classification, identified as stage 2. This attentive vision second-stage stratified classification: (i) provides a possible instantiation of a focus-of-visual-attention mechanism to mimic that adopted by attentive vision in mammals (refer to Section 5 in [3]), which increases the overall degree of biological plausibility of the proposed hybrid RS-IUS, and (ii) allows second-stage inductive data learning algorithms, if any, to be better posed (conditioned) by symbolic prior knowledge (namely, semantic strata) stemming from the preliminary classification first stage (refer to Section 4.2 in [3]). Context-dependent image information to be investigated at the attentive vision second stage on a stratified class-, sensor- and application-specific basis exclusively, encompasses:

- ◆ texture [51],
- ◆ morphology [52],
- ◆ shape attributes of image-objects [25],
- ◆ inter-object non-spatial relations (e.g., subset-of, part-of) [25–30],
- ◆ inter-object spatial topological relations (e.g., adjacency, inclusion, *etc.*) [25–30],
- ◆ inter-object spatial non-topological relations (e.g., distance, angle) [25–27],
- ◆ inter-object temporal relations [25–27].

An instantiation of the proposed hybrid RS-IUS architecture is shown in Figure 2, where the operational, automatic, near-real-time SIAM™ preliminary classifier is adopted as its symbolic deductive, pixel-based pre-attentive vision first stage [15–23]. In this example, where a high spatial resolution (<10 m) spaceborne image is selected as input, man-made objects in the 3-D imaged-scene (e.g., roads, buildings, impervious surfaces, agricultural fields, *etc.*) are expected to be visible in the (2-D) image. A panchromatic (PAN) image, generated as a linear combination of the input MS bands [31], is employed as input by the SIAM™ pre-attentive vision first stage and, if required, by the attentive vision second stage. For example, in line with the works by Shackelford and Davis [28–30], the image local areas overlapping with the SIAM™ spectral category ‘*vegetation*’ are classified by the attentive vision second stage into the LC classes ‘*forest*’ and ‘*grassland*’ by means of a stratified class-specific texture detector run on the PAN image, which reduces computation time. In addition, Figure 2 shows that an inductive piecewise-constant image segmentation algorithm (provided with no texture model) is run at the attentive vision second stage exclusively and selectively, to become better posed, upon the image local areas overlapping with the SIAM™ spectral category ‘*bare soil or built-up*’, where image-objects belonging to the target LC classes ‘*roads*’, ‘*buildings*’, and ‘*impervious surfaces*’ can be

Table 1. Previously shown in [23]. SIAM™ system of systems: list of spaceborne imaging sensors eligible for use.

[illegible]

Table 1. Cont.

Q-SIAM™ (25 Sp. Cat.)	IKONOS-2	×	×	×	×				4	Y	1	
	QuickBird-2	×	×	×	×				2.4	Y	0.61	
	GeoEye-1	×	×	×	×				1.64	Y	0.41	
	OrbView-3	×	×	×	×				4	N	1	
	RapidEye-1 to -5	×	×	×	×				6.5	Y-I		
	ALOS AVNIR-2	×	×	×	×				10	Y		
	KOMPSAT -2	×	×	×	×				4	N	1	
	TopSat	×	×	×	×				5	N	2.5	
	FORMOSA T-2	×	×	×	×				8	Y	2	
	ENVISAT MERIS	×	×	×	×				300	Y		Super-spectral, 15 bands
D-SIAM™ (25 Sp. Cat.)	Leica ADS40/80	×	×	×	×				0.25	Y	0.25	Airborne, 4 bands + PAN
	Landsat-1/- 2/-3/-4/-5 MSS		×	×	×				79	Y		
	IRS-P6 LISS-IV		×	×	×				5.8	Y-I		
	SPOT-1/-2/- 3 HRV		×	×	×				20	Y-I	10	
	DMC		×	×	×				22-32	N		

To recapitulate, the main conceptual differences between the four aforementioned RS-IUS architectures and implementations, namely, GEOBIA (see Figure 2 in [3]), GEOOIA (see Figure 4 in [3]), Shackelford and Davis (see Figure 1) and the novel hybrid RS-IUS (see Figure 2), are summarized below.

- Both the two-stage non-iterative GEOBIA scheme (see Figure 2 in [3]) and the three-stage iterative GEOOIA design (see Figure 4 in [3]) adopt a sub-symbolic statistical model-based pre-attentive vision first stage (refer to Section 8.2 in [3] and Section 5.2 in this paper). Neither GEOBIA nor GEOOIA consider RS image radiometric calibration as compulsory, which disagrees with the QA4EO guidelines.
- Both the Shackelford and Davis RS-IUS design, shown in Figure 1, and the proposed hybrid RS-IUS architecture, instantiated in Figure 2, employ a symbolic pre-attentive vision first stage [14] (refer to Section 5 in [3]). Hence, these two RS-IUS schemes are both alternative to the GEOBIA and GEOOIA architectures. However, their symbolic pre-attentive vision first stage differs in terms of both algorithm design and implementation.

- The Shackelford and Davis pre-attentive vision first stage consists of a symbolic statistical model. It is implemented as a plug-in, parametric, pixel-based MXLKL classifier [28–30], which requires no radiometric calibration pre-processing Stage 0, in disagreement with the QA4EO guidelines, and whose OQIs are well known to score low [46,53].
- The proposed hybrid RS-IUS pre-attentive vision first stage consists of a symbolic syntactic model. It is implemented as an operational, automatic, near-real-time, per-pixel SIAM™ preliminary classifier, which requires a radiometric calibration pre-processing Stage 0 (see Figure 2), in compliance with the QA4EO guidelines, and whose OQIs are claimed to score high by existing literature [15–23], refer to Section 5 below.

4. Application Example of the Proposed Hybrid RS-IUS Implementation: Stratified Multi-Scale Texture Detection in the Preliminary Classification Map

The following application example is selected from existing literature to highlight the peculiar functional properties of the proposed hybrid RS-IUS architecture employing the operational automatic SIAM™ as its symbolic physical model-based pre-attentive vision first stage (refer to Figure 2).

In compliance with the Marr constraint (III) listed in Section 2, a SIAM™ preliminary classification map is expected to represent an abstract of the reality [54]. This means that SIAM™ is expected to aggregate (simplify) the pictorial information of an input MS image, such as texture, image-contours, *etc.*, without loss of genuine, but small image details (*i.e.*, without loss of high spatial-frequency image components). Based on a qualitative assessment, this appears to be the case, e.g., see Figures 3–5.

Figure 3. (a) Zoomed image extracted from a QuickBird-2 image of Campania, Italy (acquisition date: 2004-13-06, 09:58 GMT), depicted in false colors (R: band CH3, G: band CH4, B: band CH1), 2.44 m resolution, calibrated into TOARF values, pan-sharpened at 0.61m resolution. (b) Preliminary classification map automatically generated by Q-SIAM™ from the image shown in (a). Output spectral categories are depicted in pseudo colors. Map legend: refer to Table 3. The image texture information is well preserved in the preliminary classification map, e.g., the mapped forest area is high-texture while the mapped grassland is low-texture.

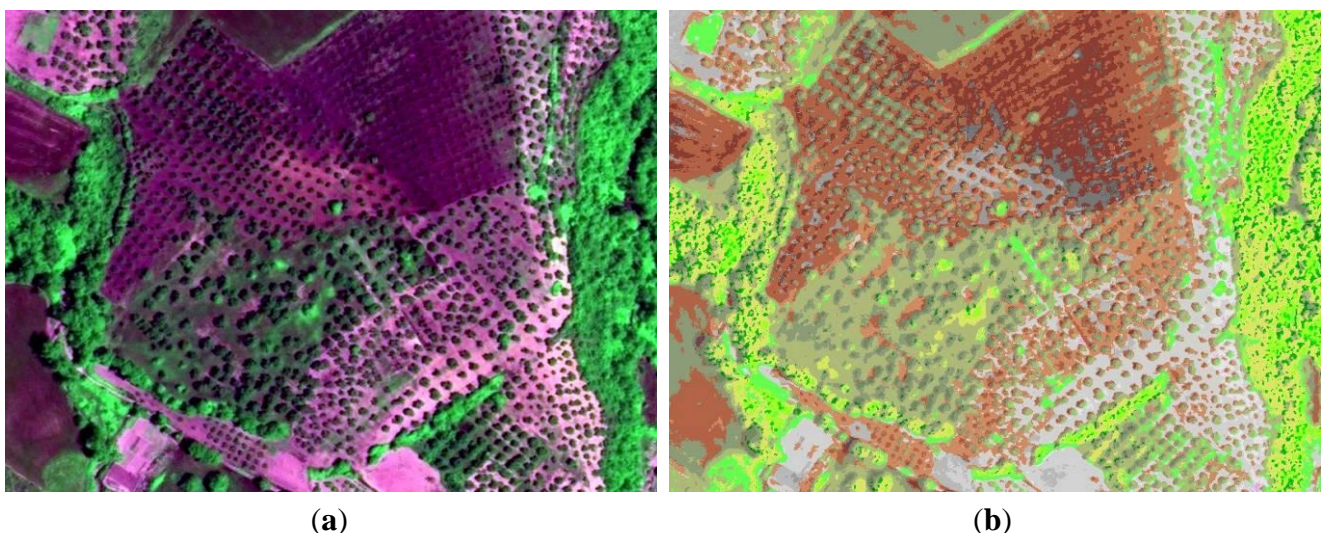


Figure 4. (a) Subset of a 7-band Landsat-like image generated from a MODIS image, radiometrically calibrated into TOARF values, acquired on 5 January 2007 (depicted in false colors, with Red channel: MODIS band 6 (Medium Infra-Red, MIR), Green channel: MODIS band 2 (Near-IR, NIR), Blue channel: MODIS band 3 (visible Blue)). The image subset covers an area of approximately $500 \text{ km} \times 500 \text{ km}$ in Northern Italy. Spatial resolution: 500 m. (b) Output map, consisting of 95 spectral categories, generated by L-SIAMTM from the radiometrically calibrated MODIS image shown in (a). Output spectral categories are depicted in pseudo colors. Map legend shown in Table 2. (c) Piecewise constant approximation of (a) based on segments extracted from the preliminary classification map shown in (b), such that each segment is replaced with its mean reflectance value in the radiometrically calibrated input image. It is noteworthy that small, but genuine image details appear well preserved, *i.e.*, L-SIAMTM performs simultaneously as a preliminary classifier in the symbolic space and an edge-preserving smoothing filter in the sensory data domain.

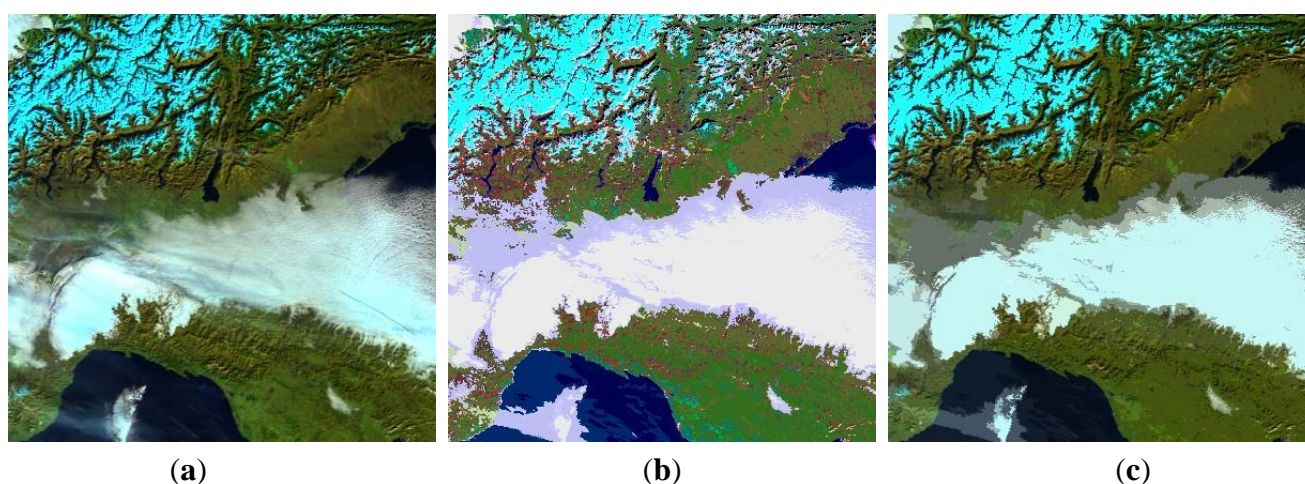
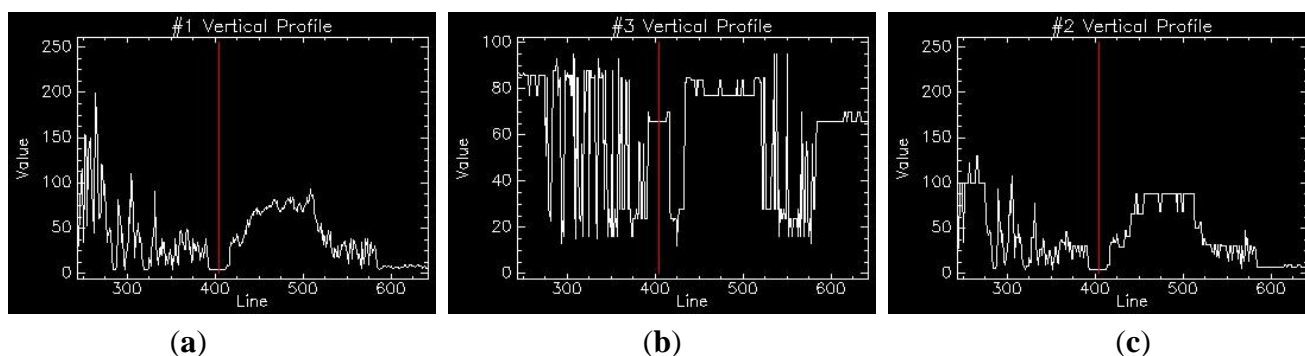


Figure 5. (a) Transect extracted from the MODIS Band 2 (NIR) of Figure 4(a). (b) Transect extracted from the L-SIAMTM preliminary classification map featuring 95 spectral categories, indexed from 1 to 95, and shown in Figure 4(b). (c) Transect extracted from Band 2 (NIR) of the piecewise constant approximation of the 7-band MODIS input image shown in Figure 4(c). In comparison with the original transect shown in (a), small but genuine image details appear well preserved. In practice, L-SIAMTM performs simultaneously as a preliminary classifier in the symbolic space and an edge-preserving smoothing filter in the sensory data domain.



If SIAM™ performs a thematic mapping of an input VHR image without loss of pictorial information, then the proposed hybrid RS-IUS attentive vision second stage (see Figure 2) can be implemented as a stratified texture detector whose input is the SIAM™ preliminary classification map in place of the traditional input image, either MS (chromatic) or PAN (pan-chromatic). In [21], to distinguish between low-texture ‘*annual crop or herbaceous rangeland*’ (AC/HR) from high-texture ‘*forest or woodland*’ (F/W) in very high resolution (VHR, <5 m) spaceborne imagery [21], an attentive vision second-stage stratified multi-scale texture detector is accomplished as follows.

1. Selection from the first-stage SIAM™ preliminary classification map of a target (F/W or AC/HR) class-specific semantic stratum (binary mask, focus of visual attention, refer to Section 5 in [3]), capable of capturing (mapping) the colors of the target class-specific texture foregrounds and backgrounds. For example, the binary mask considered as a candidate area for the presence of classes (F/W or AC/HR) is selected as the logical-OR combination of the SIAM™ spectral categories ‘*strong vegetation*’ or ‘*average vegetation*’ or ‘*dark vegetation*’ or ‘*shadow vegetation*’, etc. (refer to Section 5.2 below).
2. A stratified two-scale (7 m, 15 m in size) texture detector is run upon the SIAM™ preliminary classification map. A simple single-scale texture feature is estimated as the number of different spectral categories (NSC) whose occurrence is >0 in the local histogram collected from a semantic mask-conditioned moving window. Finally, a simple decision-tree separates low-texture AC/HR from high-texture F/W based on the multi-scale NSC values (e.g., if NSC_15m < 4 then AC/HR else if NSC_15m > 4 then F/W). The occurrence of specific spectral categories, e.g., ‘*strong vegetation*’, is checked in ambiguous texture cases (e.g., if NSC_15m == 4 AND ‘*strong vegetation*’ occurs in the local histogram then AC/HR). This simple attentive vision second-stage rule-set tested in 350 ground truth samples collected for each class F/W and AC/HR in ten VHR images collected across Europe provides omission and commission error between 4% and 6% in a computation time which is negligible.

When compared with RS-IUS academic or commercial solutions, the aforementioned attentive vision second-stage automatic, LC class-specific, stratified texture detection in the preliminary classification map appears quite unique. In literature, dozens of traditional texture detection approaches separate vegetation types based on a non-stratified (unconditional, driven-without-knowledge, image-wide) texture analysis in the MS or PAN image domain with varying degrees of success (e.g., [55,56]). With regard to GEOBIA (see Figure 2 in [3]) and GEOOIA systems (see Figure 4 in [3]), texture analysis is performed: (1) within sub-symbolic discrete 2-D objects generated at the pre-attentive vision first stage and (2) in the sensory image domain, either MS or PAN. As another example, the Shackelford and Davis RS-IUS, shown in Figure 1, computes second-stage texture: (I) within local areas selected as class-specific symbolic strata (image masks) generated from an input MS image and (II) in the sensory PAN image domain, which reduces computation time in comparison with the MS image domain [28–30]. None of these texture detection approaches is akin to that proposed in [21].

5. Degrees of Novelty of the Proposed Hybrid RS-IUS Architecture and Operational Implementation Employing SIAM™ as Its Symbolic Deductive Context-Insensitive Pre-Attentive Vision First Stage

This section objective is to verify whether the proposed hybrid RS-IUS architecture and its operational implementation, where SIAM™ is adopted as symbolic, deductive, context-insensitive pre-attentive vision first stage (refer to Section 3), meet the RS-IUS requirements specification (A) to (C) listed in Section 2.

In place of the SWOT analysis applied to the GEOBIA/GEOOIA paradigm [33,34] (refer to Section 8 in [3]), the proposed hybrid RS-IUS is investigated at the four levels of understanding of an information processing system, namely [14,21] (see Section 1 in [3]): (i) computational theory (system architecture, system design), (ii) information/knowledge representation, (iii) algorithm design and (iv) implementation.

About the level of analysis of system implementation, this non-experimental study must rely on existing literature to collect experimental evidence [15–23].

In practice, this section revises and extends previous investigations on the same subject proposed in related papers [18,21].

5.1. Computational Theory (System Architecture)

If the linchpin of success of a CV system or RS-IUS in tackling the inherently ill-posed vision problem (refer to Section 5 in [3]) is addressing computational theory rather than algorithms or implementation [10,14] (refer to Section 1 in [3]), then a deep understanding of the architectural differences between alternative RS-IUS schemes is of fundamental importance.

In this work, four alternative RS-IUS architectures have been considered for comparison purposes: GEOBIA (see Figure 2 in [3]), GEOOIA (see Figure 4 in [3]), Shackelford and Davis' (see Figure 1) and the proposed hybrid RS-IUS design (see Figure 2). Among these four RS-IUS architectures, the latter is the only one to adopt a symbolic, syntactic, pixel-based pre-attentive vision first stage (refer to Section 3).

In this section, the proposed hybrid RS-IUS architecture is investigated irrespective of the implementation of its pre-attentive vision first stage (e.g., SIAM™ or SPECL, refer to Section 3). Pointers to the RS-IUS requirements specification (A) to (C) listed in Section 2 are made explicit wherever possible.

- (i) RS-IUS requirements specification (A) and (C), OQI: ease of use. In compliance with the QA4EO guidelines, the proposed hybrid RS-IUS requires radiometric calibration of DN_s into TOARF or SURF values, with $TOARF \geq SURF$. Hence, it considers the inherently ill-posed (difficult-to-solve) atmospheric correction an optional rather than compulsory pre-processing step (refer to Section 3), which increases its ease of use. Among the existing RS-IUS commercial software products listed in Table 1 of [3], the physical model-based ATCOR-2/3/4 is the sole to require the radiometric calibration of the input MS imagery (refer to Section 3).
- (ii) RS-IUS pre-attentive vision sub-system requirements specification (B)-(I) to (III). To develop a new generation of operational hybrid RS-IUSs whose pre-attentive and attentive vision stages are

both symbolic and hybrid (refer to Section 2), a machine teaching (MAT)-by-rules paradigm, also called knowledge engineering in artificial intelligence (AI) and “fusion of horizons” in philosophical hermeneutics, must be adopted for the ignition of deductive inference capabilities. Unfortunately, syntactic pattern recognition requires significant human interaction, but once a physical model-based syntactic inference system is tuned and proved to be transferable, the effort pays off (refer to Section 4.1 in [3]).

- (iii) RS-IUS requirements specification (C), OQI: computation efficiency. A syntactic inference system driven from prior physical knowledge is static, *i.e.*, it is one-pass (non-iterative, non-adaptive to input data). In addition, the proposed symbolic syntactic pre-attentive vision first stage is pixel-based. Hence, it is eligible for being computationally efficient, its computation complexity increasing linearly with the number of pixels, the number of MS bands and the cardinality of the class taxonomy adopted by the pre-classification map products.
- (iv) RS-IUS pre-attentive vision sub-system requirements specification (B)-(I), RS-IUS requirements specification (C), OQI: computation efficiency. It is well known that the generation of a segmentation map from a binary or multi-level image (e.g., a classification map) is a well-posed problem (in the Hadamard sense, *i.e.*, it admits a solution and this solution is unique, refer to Section 4.2 in [3]) which can be solved efficiently by a two-pass connected-component image labeling algorithm ([10]; p. 197). Hence, a syntactic pixel-based preliminary classifier can accomplish image symbolic pre-classification and image sub-symbolic segmentation in sequence and efficiently.
- (v) RS-IUS requirements specification (C), OQI: degree of automation. A syntactic image preliminary classifier is prior knowledge-based and non-adaptive to input data, thus it tends to have few system-free parameters to be user-defined, possibly none (like SIAM™ and SPECL, which are both “fully automatic”, refer to Section 5.4 below). Hence, its degree of automation (ease of use) tends to be high.
- (vi) RS-IUS requirements specification (C), OQIs: mapping accuracy; robustness to changes in the input data set. Since it is pixel-based, the proposed symbolic syntactic pre-attentive vision first stage is not affected by the *uncertainty principle* according to which, for any contextual (neighborhood) property, we cannot simultaneously measure that property while obtaining accurate localization [57,58], refer to Section 8.2 in [3]. On the other hand, traditional pixel-based statistical classifiers (e.g., MXLKL, support vector machines (SVMs), *etc.*) are typically affected by a salt-and-pepper classification noise effect [46,53]. This is not the case of existing per-pixel physical model-based preliminary classifiers, like SIAM™ (or SPECL), whose robustness to changes in the input data set is claimed to be high by existing literature [15–23] (this is possible because, to score high in accuracy and robustness, these preliminary classifiers score “low” in informative content of their output spectral categories, refer to Section 5.4 below).
- (vii) RS-IUS requirements specification (C), OQIs: degree of automation; mapping accuracy; computation efficiency; robustness to changes in the input data set. The proposed hybrid RS-IUS scheme (see Figure 2) adopts (inherently ill-posed) statistical models in the attentive vision second stage if and only if they incorporate the “stratified” or “layered” approach, typical of decision-trees [45], to become better posed for numerical treatment. A possible disadvantage of stratification is that identification of appropriate strata may be difficult [44] (refer to Section 3).

This is not the case with existing per-pixel physical model-based preliminary classifiers, like SIAM™ (or SPECL), whose output spectral categories (symbolic strata, symbolic masks) are detected automatically (where automation does not come on the expenses of accuracy or robustness to changes in the input data set, but on the expenses of the informative content of the output spectral categories, refer to Section 5.4 below). To summarize, in RS common practice, exploitation of a pixel-based, symbolic, syntactic pre-attentive vision first stage allows the attentive vision second-stage classification to benefit from driven-by-knowledge regularization of the multiple solution space while avoiding the typical disadvantage of stratification (where identification of informative strata may be difficult).

- (viii) RS-IUS requirements specification (C), OQIs: mapping accuracy; robustness to changes in the input data set. In the proposed hybrid RS-IUS scheme (see Figure 2), a feedback loop feeds the symbolic deductive pre-attentive vision Stage 1 (categorical) output back to the pre-processing Stage 0 input for stratified RS image enhancement (e.g., stratified RS image TOC [20], *etc.*, refer to Section 3).
- (ix) RS-IUS requirements specification (A). Availability of symbolic information right at the output of the pre-attentive vision first stage allows the introduction of OQIs suitable for parameterizing categorical variables (e.g., preliminary classification map accuracy in range $[0, 1] \pm \delta_1$) in addition to traditional OQIs suitable for parameterizing sub-symbolic variables (e.g., image sub-symbolic segmentation map accuracy in range $[0, 1] \pm \delta_2$), in compliance with the international QA4EO guidelines [2] (refer to Section 2 in [3]). Examples of novel OQIs suitable for the parameterization of pre-attentive vision first-stage categorical variables can be found in [20] and [22].
- (x) RS-IUS requirements specification (C), OQIs: ease of use; mapping accuracy; efficiency; robustness to changes in the input data set. The proposed symbolic pre-attentive vision first stage generates as output symbolic information primitives featuring three spatial types (according to the nomenclature proposed by the Open Geospatial Consortium, OGC [59]): *symbolic pixels* belong to *symbolic polygons* which belong to *symbolic multi-part polygons*, also called *symbolic strata*. In any symbolic map these three symbolic spatial types co-exist, *i.e.*, they are not alternative. Thus, they can be made simultaneously available to the attentive vision second phase as viable inputs to choose from on a target LC class-specific basis (see Figure 2). For example, the Shackelford and Davis RS-IUS adopts as input to the attentive vision second stage a combination of symbolic pixels and symbolic polygons, depending on the target LC class [28–30] (see Figure 1). The following considerations hold.
 - Humans are naturally familiar with symbolic reasoning. Availability of semantic information right at the output of the symbolic pre-attentive vision first stage makes the proposed hybrid RS-IUS more powerful (in terms of symbolic reasoning) and more intuitive to use than traditional two-stage non-iterative GEOBIA systems (see Section 6 in [3]) and three-stage iterative GEOOIA systems (see Section 7 in [3]) whose pre-attentive vision first stage is sub-symbolic.
 - *Generated as output by the symbolic pre-attentive vision first stage, symbolic pixels, symbolic polygons and symbolic multi-part polygons co-exist as viable inputs to the attentive vision second stage. This would end the ill-fated antagonism between unlabeled sub-symbolic pixels versus labeled sub-symbolic image-objects which are used as alternative inputs to different*

attentive vision second-stage implementations, namely, traditional pixel-based classifiers, either inductive (e.g., artificial neural network, SVM, MXLKL, adaptive decision-tree [45,46,53]) or deductive (symbolic syntactic rule set, expert system [45,46,53]), or GEOBIA/GEOOIA classifiers, either inductive or deductive [47,60–63], , refer to Table 1 in [3].

5.2. Information/Knowledge Representation

According to Wang, “if knowledge representation is poor, even sophisticated algorithms can produce inferior outputs. On the contrary, improvement in representation might achieve twice the benefit with half the effort” [64]. This subsection investigates to what degree this fundamental statement is taken into account by the symbolic syntactic SIAM™ pre-attentive vision first stage adopted by the novel hybrid RS-IUS proposed in Section 3. Pointers to the RS-IUS requirements specification (A) to (C) listed in Section 2 are made explicit wherever possible.

- (i) RS-IUS requirements specification (A). SIAM™ (like SPECL) is a physical model-based preliminary classifier that requires input sensory data be provided with a community-agreed physical radiometric unit of measure, namely, TOARF or SURF values, in compliance with the QA4EO guidelines, refer to Section 3.
- (ii) RS-IUS pre-attentive vision sub-system requirements specification (B)-(I) and (II). SIAM™ (like SPECL) is application domain-independent, *i.e.*, it is a unifying preliminary classification first stage. This holds true because, as output, SIAM™ (like SPECL) provides (semi-)symbolic image-objects (namely, symbolic pixels in symbolic polygons in symbolic multi-part polygons, refer to Section 5.1) whose symbolic labels belong to a discrete and finite set of spectral-based *semi-concepts*, also called symbolic *spectral categories*, e.g., ‘*vegetation*’, ‘*either water or shadow*’, ‘*either bare soil or built-up*’, *etc.* The semantic content of spectral-based semi-concepts is superior to zero and not superior (*i.e.*, equal or inferior) to that of target (4-D) LC classes-through-time (concepts, e.g., ‘*deciduous forest*’) to be detected by the attentive vision second stage (refer to Section 5 in [3]). Symbolic spectral categories are equivalent to semantic conjectures based exclusively on non-contextual spectral properties, either chromatic or achromatic (since color is the sole pixel-based, context-insensitive level of information in an image, refer to Section 3) [18]. Each spectral category is also equivalent to one LC *class-set* comprising either one or more LC classes [18,29]. In particular, a spectral category (e.g., ‘*cloud*’) is one-to-one related with a single LC class if this class is separable from the rest of the world in a hyper-dimensional spectral space. A spectral category (e.g., ‘*either water or shadow*’) is related to more than one LC class if these LC classes feature a statistically relevant spectral overlap in a hyper-dimensional spectral space. Thus, by definition [18], different spectral categories do not overlap in the SIAM™ measurement space consisting of spectral bands together with spectral indexes [15–23]. In other words, spectral categories must be mutually exclusive by definition. As a consequence, spectral categories are eligible for splitting into their component LC classes in a context-sensitive attentive vision second stage, where additional sources of contextual evidence (e.g., texture, morphology, per-object geometric properties, inter-object spatial relations, *etc.*) are taken into consideration, see Figure 2. For example, the spectral-based semi-concept ‘*vegetation*’ identifies an image candidate area (semantic mask, semantic stratum or focus of visual attention, refer to Section 5 in [3]) where

image-objects belonging to a target LC class, say, ‘*deciduous forest*’, may be located, refer to Section 3. In SIAM™ there are six parent spectral categories (also called super-categories) or parent spectral-end-members. They are presented hereafter according to their order of detection (for comparison with the SPECL sorted set of class grammars, refer to Section 2):

- (I) ‘clouds’,
- (II) ‘either snow or ice’,
- (III) ‘either water or shadow’,
- (IV) ‘vegetation’, equivalent to ‘either woody vegetation or cropland or grassland or (shrub and brush) rangeland’,
- (V) ‘either bare soil or built-up’ and
- (VI) ‘outliers’ (for further comments about the presence of class ‘unknowns’ in compliance with the Congalton requirements of a classification scheme [65], refer to this text below).

Intuitively, the symbolic meaning of the six parent spectral categories is inferred from the following list of natural colors (whose wavelengths range from the visible to the medium infra-red (MIR) portion of the electromagnetic spectrum) found in the (4-D) real world-through-time: (1) white of type 1 (featuring high reflectance in MIR), (2) white of type 2 (featuring low reflectance in MIR), (3) blue, (4) green, (5) brown or gray, (6) others.

- (iii) RS-IUS pre-attentive vision sub-system requirements specification (B)-(II). Since the semantic content of spectral-based semi-concepts is (refer to this section above) superior to zero and equal or inferior to the semantic content of concepts (4-D object-models-through-time [25], LC classes-through-time, e.g., ‘*needle-leaf forest*’) belonging to a (4-D) world model (refer to Section 5 in [3]), then spectral-based semi-concepts are eligible for filling in the well-known *information gap* between sub-symbolic (2-D) image features (image-objects or, vice versa, image-contours) and symbolic concepts in the (3-D) imaged-scene, refer to Section 5 in [3,14,18,25,33].
- (iv) Due to the presence of the class ‘outliers’ (refer to this section above), SIAM™ provides a mutually exclusive and totally exhaustive mapping of the input MS image into a discrete and finite set of spectral categories. This is in line with the Congalton requirements of a classification scheme [65]; although, the definition of a rejection rate is a well-known objective of any RS image classification system, e.g., refer to [66], in RS common practice image classifiers are often applied without any outlier detection strategy.
- (v) Unlike the crisp SIAM™, the fuzzy SIAM™ is capable of modeling component cover classes of mixed pixels (class mixture) [22]. This means that the information/knowledge representation of the fuzzy SIAM™ is superior to that of its crisp counterpart.

5.3. Algorithm Design

An algorithm design is the bridge between analysis and implementation. In practice, structured software system design is considered “everything but code” [40]. This subsection investigates the algorithm design of the symbolic syntactic SIAM™ pre-attentive vision first stage adopted by the novel hybrid RS-IUS proposed in Section 3. Pointers to the RS-IUS requirements specification (A) to (C) listed in Section 2 are made explicit wherever possible.

- (i) RS-IUS requirements specification (C), OQIs: mapping accuracy; robustness to changes in the input data set; scalability to cope with changes in input data specifications and user requirements. Due to its internal design and implementation strategies [17], the physical model-based SIAM™ preliminary classifier inherits advantages of traditional static (non-adaptive to input data) syntactic classifiers, developed by symbolic AI [67], while overcoming their well-known limitations, refer to Section 4.1 in [3]. In particular:
- SIAM™ is more intuitive to debug than statistical models, refer to Section 4.1 in [3].
 - SIAM™ pursues convergence-of-evidence and information redundancy [25]. This design strategy makes SIAM™:
 - ◆ scalable, to cope with changes in input data specifications and user requirements, refer to Section 5.4 below, and
 - ◆ robust to changes in the input MS image acquired across time, space and sensors, e.g., SIAM™ is capable of mapping RS imagery acquired by all existing and future planned spaceborne optical imaging sensors provided with calibration metadata files, in agreement with the QA4EO guidelines and the visionary goal of a Global Earth Observation System of Systems (GEOSS) (refer to Section 1 in [3]) [68,69]. This achievement is made possible because SIAM™ is implemented as an integrated system of six sub-systems, refer to Table 1 and Section 5.4 below [17,18].
- Unfortunately, it is time-consuming for human experts to learn physical laws of the (4-D) world-through-time and tune physical models, comprising both structural and procedural knowledge (refer to Section 4.1 in [3]), based on human intuition, domain expertise and evidence from data observations ([54]; p. 2). The physical model-based SIAM™ preliminary classifier makes no exception to this rule. For example, the development of the SIAM™ software code dates back to the year 2002 [70].
- (ii) RS-IUS requirements specification (C), OQI: scalability to cope with changes in input data specifications and user requirements. Unlike the crisp SIAM™ implementation, the fuzzy SIAM™ version adopts an internal horizontal (flat) modular structure independent of hierarchy, which means that the latter requires no procedural knowledge and, therefore, benefits of a maintainability/ scalability/ reusability superior to that of the former [22].
- (iii) RS-IUS requirements specification (C), OQIs: mapping accuracy; scalability to cope with changes in input data specifications and user requirements. In [17], to reduce the number of the physical model-based system free-parameters to be set by the human system developer based on his intuition, expertise and evidence from data observations, SIAM™ adopts crisp (hard), rather fuzzy (soft) membership functions to pursue an irregular, but complete grid partitioning of the measurement space (see Figures 2–6 in [17]). In [17], Table 3 provides the hard thresholds employed to generate the crisp SIAM™ membership functions. In [22], these crisp decision rules are fuzzified in line with the principles of fuzzy logic [71,72].

5.4. Implementation

This subsection investigates the implementation of the symbolic syntactic SIAM™ pre-attentive vision first stage adopted by the novel hybrid RS-IUS proposed in Section 3. The SIAM™

implementation phase consists of computer programming exclusively (since no specific hardware is required). In practice, what was produced during structured system design (refer to Section 5.3) is now turned into software code [40]. Hence, the objective of this subsection is to verify whether the SIAM™ computer program executable meets the RS-IUS requirements specification (A) to (C) listed in Section 2.

To the best of these authors' knowledge, SIAM™ and SPECL are the only two pixel-based syntactic preliminary classifiers available among the RS-IUS software toolboxes listed in Table 1 of [3] (refer to Section 2). It means that implementation, in combination with information/knowledge representation (refer to Section 5.2) and algorithm design (refer to Section 5.3), make SIAM™ different from SPECL and capable of accomplishing high-value OQIs (refer to Section 2 in [3]) in compliance with the RS-IUS requirements specification proposed in Section 2.

About the SIAM™ implementation, the following considerations hold, where pointers to the RS-IUS requirements specification (A) to (C) listed in Section 2 are made explicit wherever possible.

- (i) RS-IUS requirements specification (C), OQI: degree of automation. To run, SIAM™ requires neither user-defined parameters nor reference samples, hence it is termed “fully automatic” [73] (refer to Section 1). This definition implies that the SIAM™ degree of automation cannot be surpassed by any alternative approach. It is noteworthy that the proposed definition of automatic system is more restrictive than those commonly adopted in the RS literature where so-called automatic inductive data learning classifiers, e.g., artificial neural networks, do not satisfy either one or both of the two requirements listed above [73–76]. In general, it is assumed that automation can come on the expenses of accuracy, efficiency or robustness (refer to Section 2 in [3]). This is not the case of SIAM™, which is considered operational because all of its OQIs score high according to existing literature [15–23] (refer to Section 1). *In the case of SIAM™ (and SPECL, refer to Section 2), automation comes on the expenses of the informative content of the output spectral categories, which is superior to zero, but equal or inferior to that of target LC classes to be detected by the attentive vision second stage* (refer to Section 5 in [3]).
- (ii) RS-IUS requirements specification (C), OQI: scalability, to cope with changes in input data specifications and user requirements. To employ as input a radiometrically calibrated MS image acquired by almost any of the ongoing or future planned satellite optical missions, SIAM™ is implemented as an integrated system of systems, in line with the visionary goal of a GEOSS [68,69] (refer to Section 1 in [3]). In particular, SIAM™ comprises a “master” 7-band Landsat-like SIAM™ (L-SIAM™) together with five down-scaled (“slave”, derived) versions of L-SIAM™ whose input is a MS image featuring a spectral resolution that overlaps with, but is inferior to, Landsat's. It is important to observe that the high-degree of scalability of L-SIAM™ is made possible by the high-degree of redundancy of its class grammars implemented in compliance with a convergence-of-evidence approach (refer to Section 5.3). To summarize, SIAM™ combines the following six sub-systems (refer to Table 1) [15–23].
 - I. A “master” 7-band L-SIAM™ capable of detecting 95/47/18 mutually exclusive and totally exhaustive spectral categories at fine/ intermediate/ coarse semantic granularity (see Figure 6), where symbolic parent-child relationships can be leveraged to improve the RS image interpretation process (see Figure 7). The legend of the preliminary classification map

generated by L-SIAM™ at fine semantic granularity and consisting of 95 spectral categories is shown in Table 2.

Figure 6. Previously shown in [23]. (a) Joint NASA and USGS Web-Enabled Landsat Data (WELD) Project (<http://landsat.usgs.gov/WELD.php>) [79], providing seamless consistent mosaics of fused Landsat-7 Enhanced TM Plus (ETM+) and MODIS data radiometrically calibrated into top-of-atmosphere reflectance (TOARF) values. Weekly, monthly, seasonal and annual composites are freely available to the user community. Each consists of 663 fixed location tiles. Spatial resolution: 30 m. Area coverage: Continental USA and Alaska. Period coverage: 7-year. (b) and (c) Preliminary classification map of Alaska and continental USA automatically generated by L-SIAM™ from the 2006 annual WELD mosaic. L-SIAM™ was run overnight on a standard desktop computer. To the best of these authors' knowledge, this is the first example of such a high-level product automatically generated at both the NASA and USGS. Map legend: refer to Table 2.

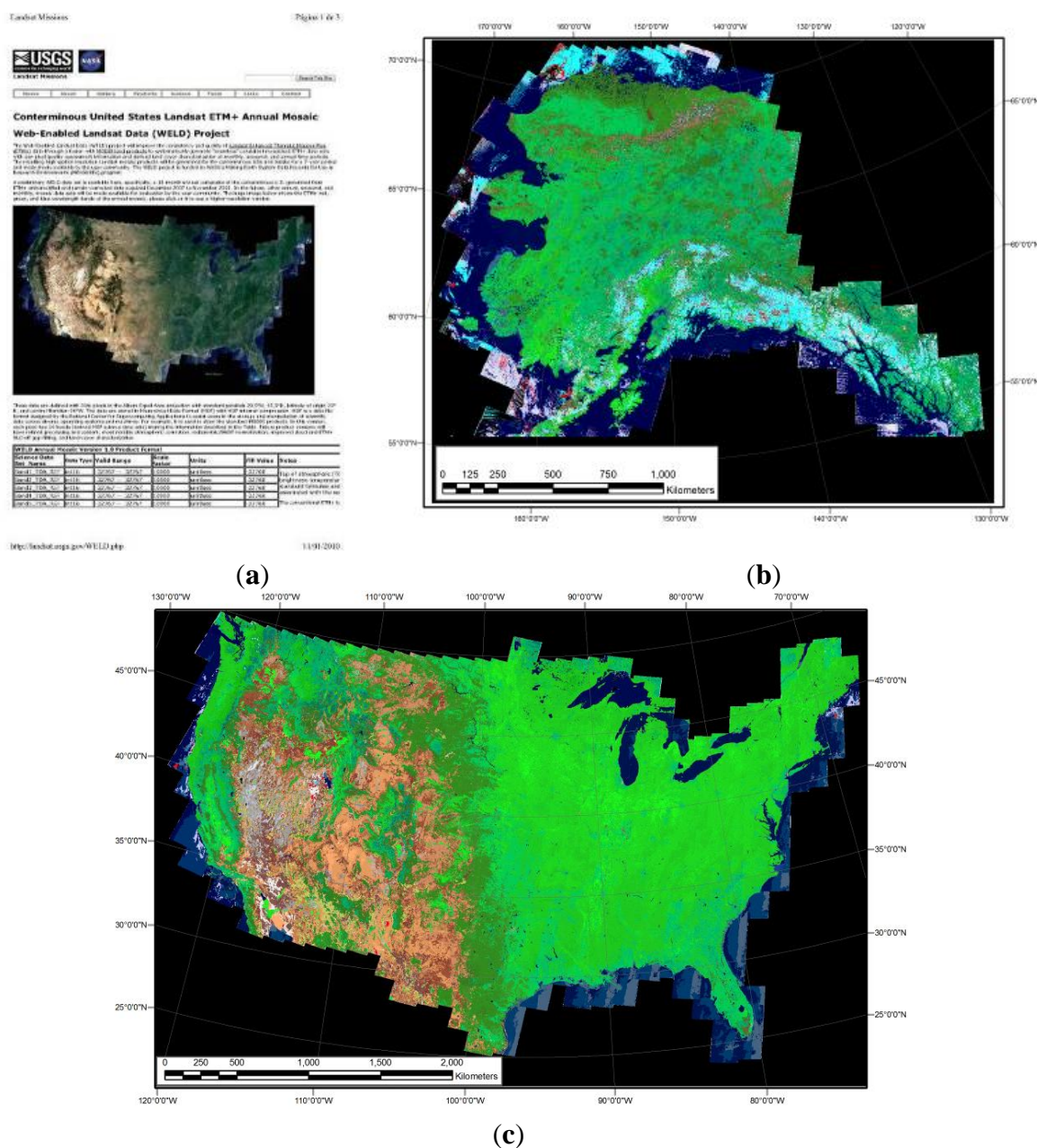
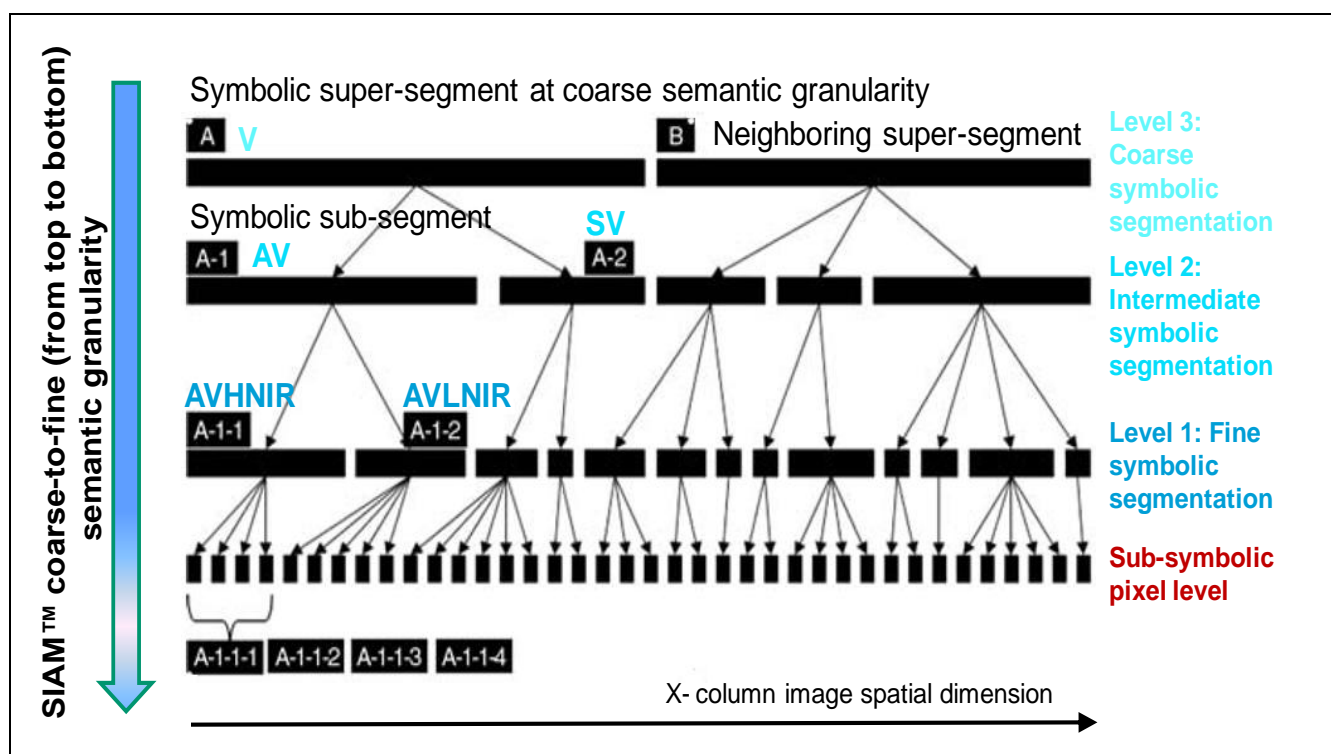


Figure 7. Adapted from K. Navulur (2007). SIAM™ implementation at three different granularity levels of classification (fine, intermediate and coarse): symbolic 2-D object-based parent-child relationships across spatial scales. Legend of the SIAM™ symbols. V: Vegetation, AV: Average Vegetation, SV: Strong Vegetation, NIR: Near Infra-Red, AVHNIR: AV with High NIR, AVLNIR: AV with Low NIR. For example, starting from the fine granularity classification level, the OR-combination of the “children” spectral categories AVLNIR, AV with Medium NIR (AVMNIR) (not shown) and AVHNIR generates the “parent” spectral category AV at the intermediate semantic granularity level. Starting from the intermediate granularity classification level, the OR-combination of the “children” spectral categories SV, AV and Dark Vegetation (DV) (not shown) generates the “parent” spectral category V at the coarse semantic granularity level, *etc.* It is noteworthy that the SIAM™ symbolic 2-D object-based parent-child multi-scale relationships are detected automatically and provided with semantic labels, unlike the parent-child relationships detected by traditional semi-automatic sub-symbolic multi-scale image segmentation algorithms (e.g., Definiens’s). In practice, SIAM™ accomplishes image sub-symbolic segmentation and image symbolic pre-classification simultaneously (refer to Section 3).



- II. A four-band Satellite Pour l'Observation de la Terre (SPOT)-like SIAM™ (S-SIAM™), which detects 68/40/15 mutually exclusive and totally exhaustive spectral categories at fine/intermediate/coarse semantic granularity (see Figure 8).
- III. A four-band National Oceanic and Atmospheric Administration (NOAA) Advanced Very High Resolution Radiometer (AVHRR)-like SIAM™ (AV-SIAM™), which detects 82/42/16 mutually exclusive and totally exhaustive spectral categories at fine/intermediate/coarse semantic granularity (see Figure 9).

Table 2. Previously shown in [23]. Preliminary classification map legend adopted by L-SIAM™ at fine semantic granularity consisting of 95 spectral categories (refer to Table 1). Pseudo-colors of the spectral categories are grouped on the basis of their spectral end member (e.g., ‘*bare soil or built-up*’) or parent spectral category (e.g., “high” leaf area index (LAI) vegetation types). The pseudo-color of a spectral category is chosen so as to mimic natural colors of pixels belonging to that spectral category. This legend gives a clue about the semantic “parent-child” relationship supported by L-SIAM™ at different semantic granularity levels (refer to Figure 7 below). For example, a line-specific OR-combination of the 95 “child” spectral categories detected at the fine semantic granularity level across the 12 lines of Table 2 would provide 12 “parent” spectral categories at a coarser level of semantic granularity. Since it deals with symbolic reasoning, then this semantic aggregation is inherently subjective (equivocal, arbitrary, fuzzy) in nature, refer to Section 3 in [3].

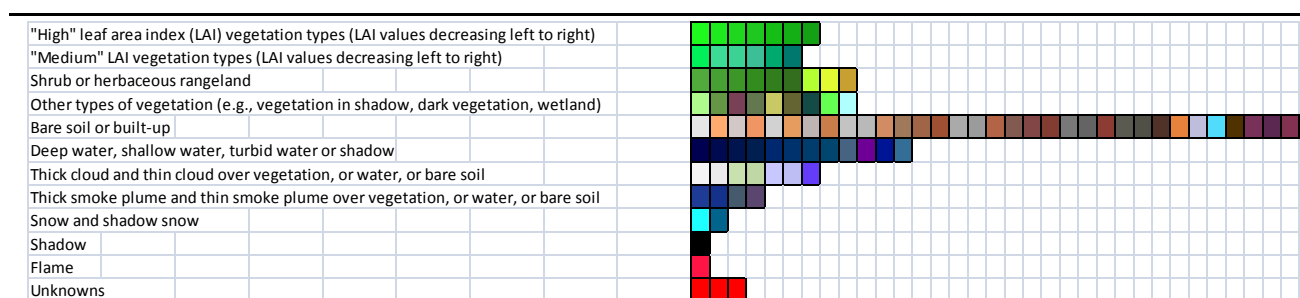


Figure 8. Previously shown in [23]. **(a)** 4-band GMES-IMAGE 2006 Coverage 1 mosaic, consisting of approximately two thousand 4-band IRS-P6 LISS-III, SPOT-4, and SPOT-5 images, mostly acquired during the year 2006, depicted in false colors: Red: Band 4 (Short Wave InfraRed, SWIR), Green: Band 3 (Near IR, NIR), Blue: Band 1 (Visible Green). Down-scaled spatial resolution: 25 m. **(b)** Preliminary classification map automatically generated by S-SIAM™ from the mosaic shown in (a). To the best of these authors’ knowledge, this is the first example of such a high-level product automatically generated at the European Commission-Joint Research Center (EC-JRC). Output spectral categories are depicted in pseudo colors. Map legend: similar to Table 2.

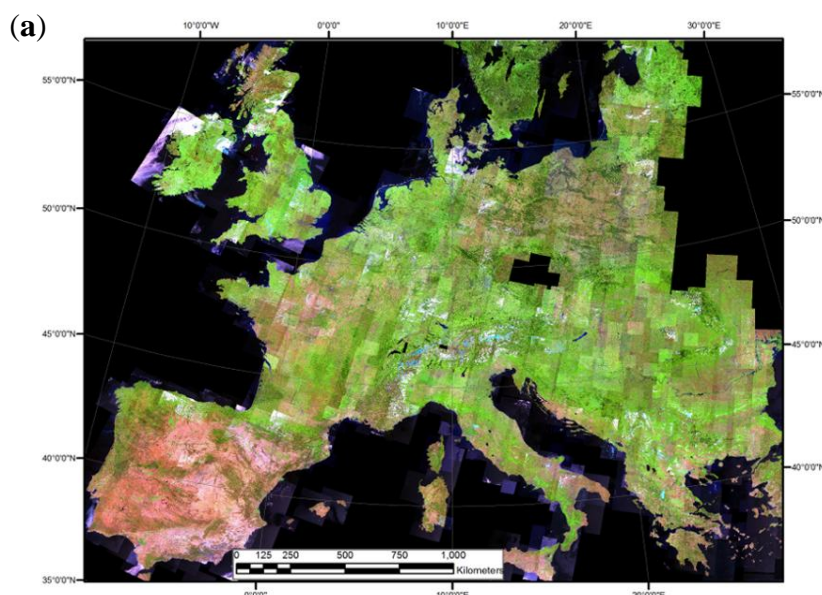


Figure 8. Cont.

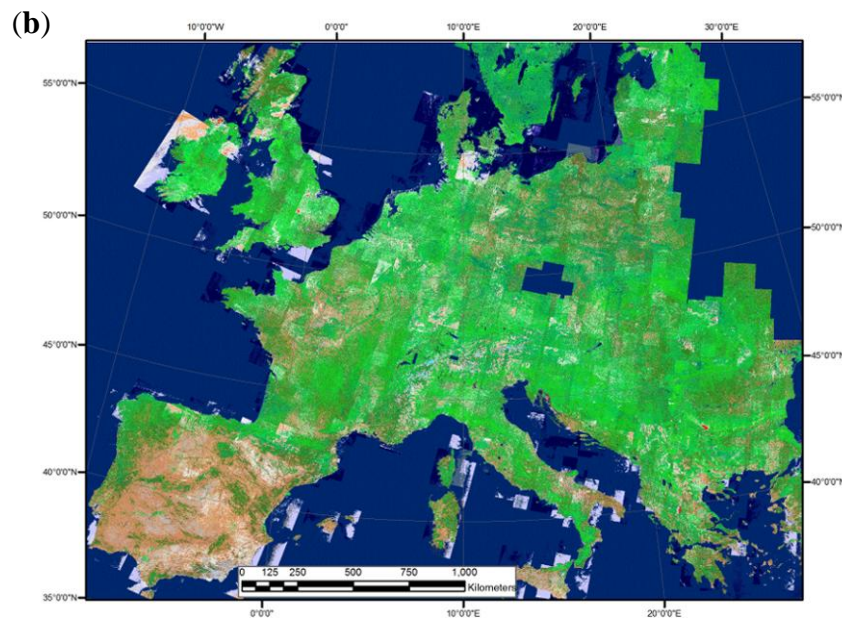
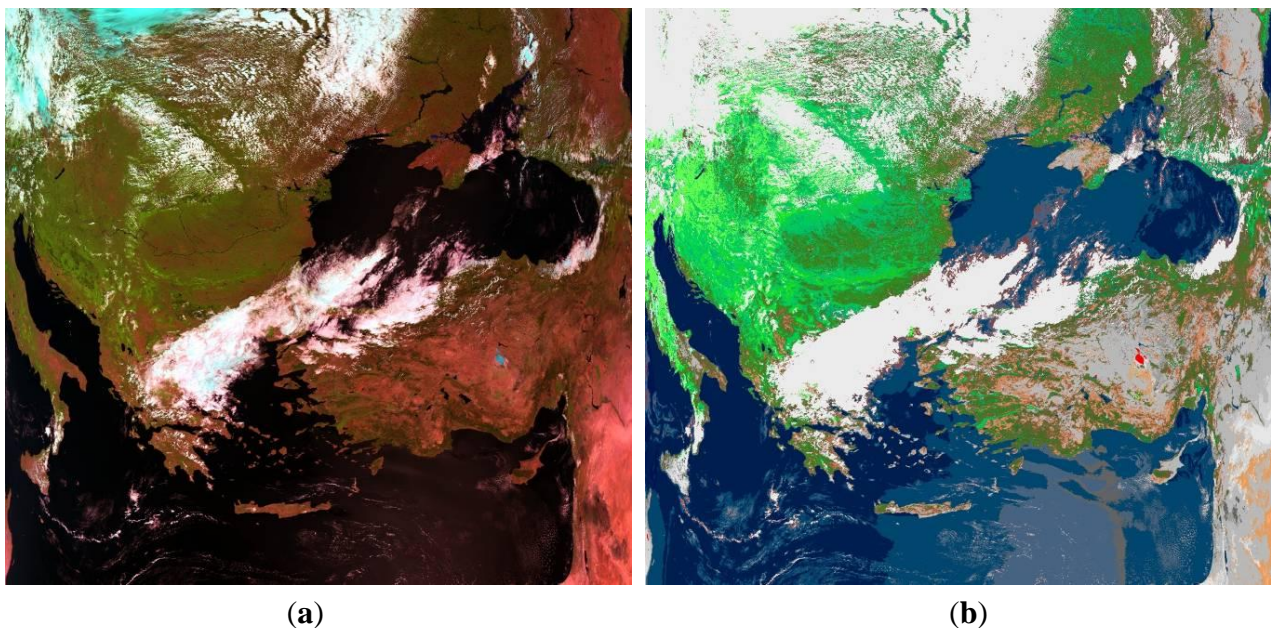


Figure 9. (a) NOAA AVHRR (Sat. 17) image acquired on 2005-08-09 covering Turkey, the Balkans and part of Italy (R: band 3a, G: band 2, B: band 1), radiometrically calibrated into TOARF values. The image is in the original, highly non-linear swath projection with spatial resolution at nadir of 1.1 km. (b) Preliminary classification map automatically generated by AV-SIAMTM from the image shown in (a). Output spectral categories are depicted in pseudo colors. Map legend: similar to Table 2.



- IV. A five-band ENVISAT Advanced Along-Track Scanning Radiometer (AATSR)-like SIAMTM (AA-SIAMTM), which detects 82/42/16 mutually exclusive and totally exhaustive spectral categories at fine/ intermediate/ coarse semantic granularity (see Figure 10).

- V. A four-band QuickBird-like SIAM™ (Q-SIAM™), which detects 52/28/12 mutually exclusive and totally exhaustive spectral categories at fine/intermediate/coarse semantic granularity (see Figure 11). The legend of the preliminary classification map generated by Q-SIAM™ at fine semantic granularity and consisting of 52 spectral categories is shown in Table 3. In Figure 12 a zoom of Figure 11 is shown together with two of the output products, such as a segment contour map and a binary semantic mask (e.g., a binary vegetation mask), automatically generated by SIAM™ from its preliminary classification maps.
- VI. A three-band Disaster Monitoring Constellation (DMC)-like SIAM™ (D-SIAM™), which detects 52/28/12 mutually exclusive and totally exhaustive spectral categories at fine/intermediate/coarse semantic granularity (see Figure 13).

Figure 10. Previously shown in [18]. (a) ENVISAT AATSR image acquired on 2003-01-05, covering part of the Caucasus and the Black sea (R: band 7, G: band 6, B: band 4), radiometrically calibrated into TOARF values, spatial resolution: 1 km. (b) Preliminary classification map automatically generated by AA-SIAM™ from the image shown in (a). Output spectral categories are depicted in pseudo colors. Map legend: similar to Table 2.

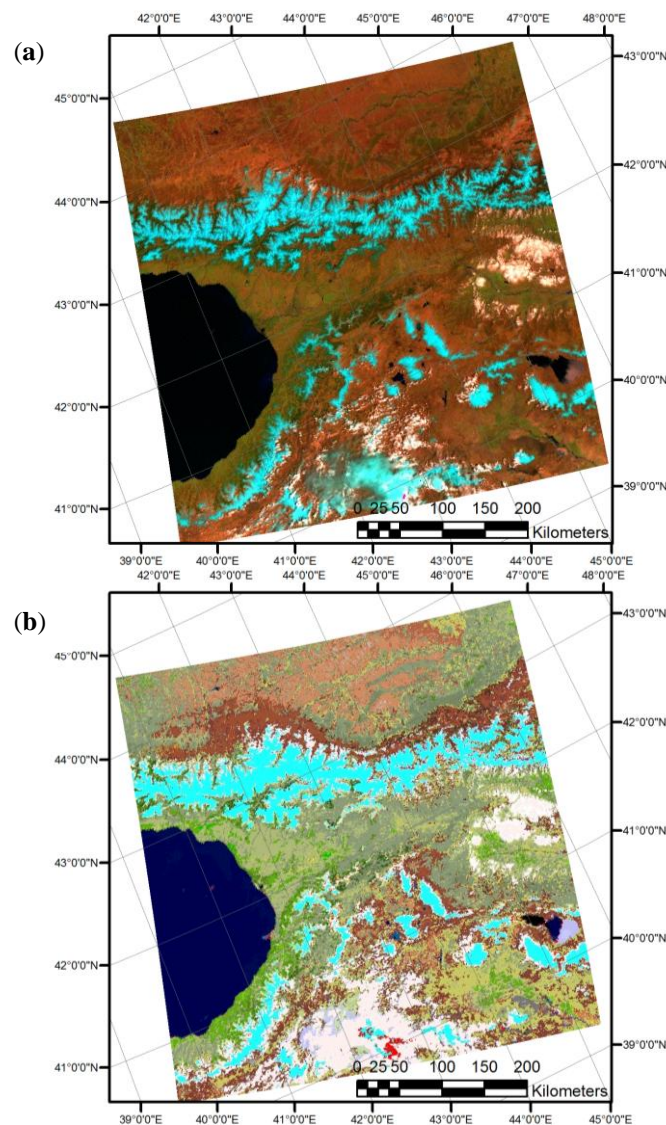
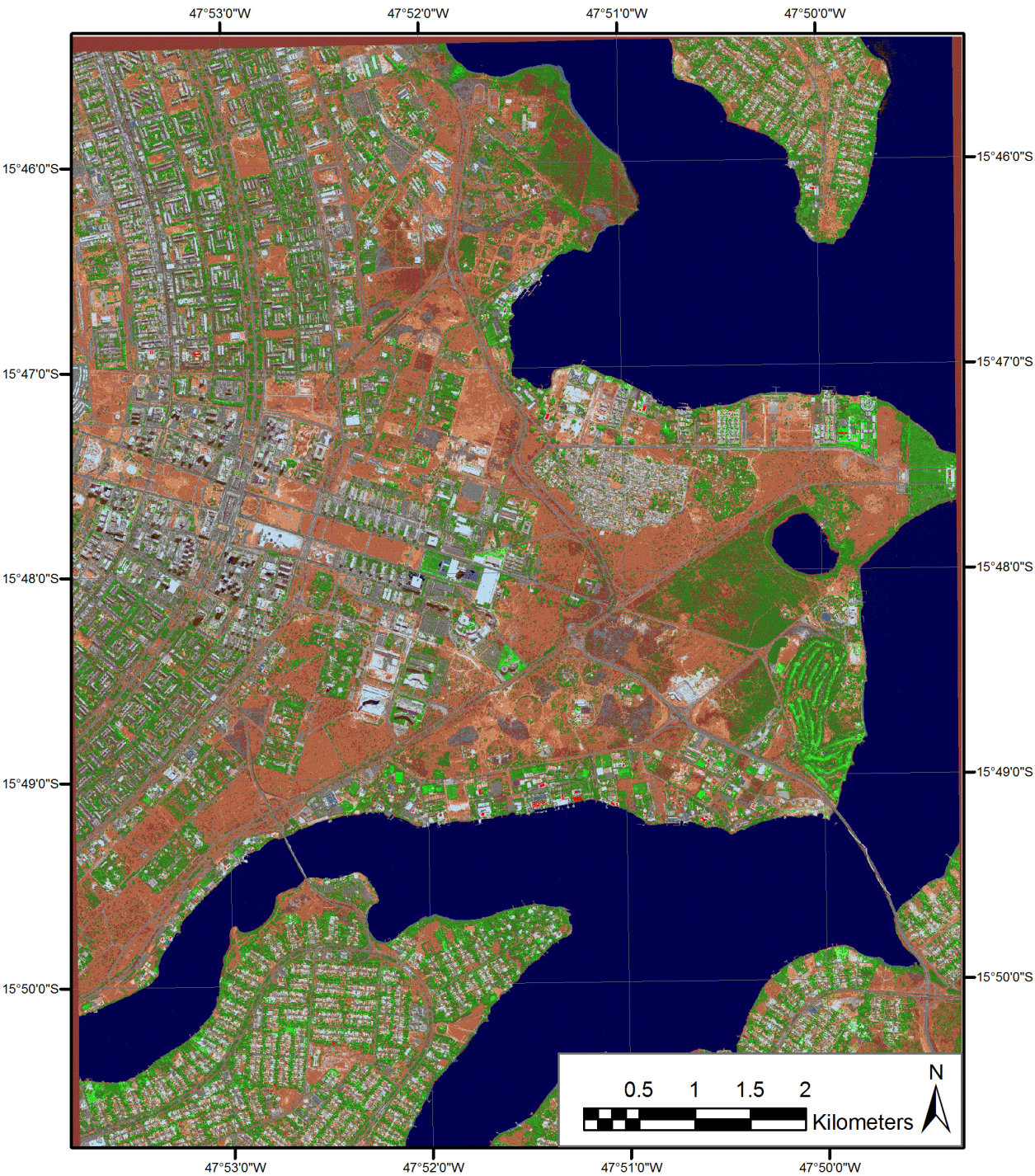


Figure 11. Previously shown in [27]. (a) WorldView-2 T1 image, 2 m spatial resolution, acquisition date 2010-08-04, at 13:32 GMT, radiometrically calibrated into TOARF values, depicted in false colors (R: 5, G: 7, B: 2). A linear contrast stretch is automatically applied by the adopted image processing commercial software toolbox, which alters the spectral content of the image for visualization purposes (e.g., in this case greenness is augmented). An expert photointerpreter must be aware of this spectral distortion affecting the depicted image. (b) Q-SIAM™ preliminary map of the WorldView-2 image shown in (a). Output spectral categories are depicted in pseudo colors. Map legend: refer to Table 3.



(a)

Figure 11. Cont.



(b)

Figure 12. (a). Zoom of the WV-2 image, 2 m spatial resolution, acquisition date 2010-08-04, radiometrically calibrated into TOARF values and shown in Figure 11(a), depicted in false colors (R: 5, G: 7, B: 2). (b) Zoom of the Q-SIAM™ preliminary map, shown in Figure 11(b), corresponding to the WV-2 sub-image shown in (a). Map legend: refer to Table 3. (c) Segment contours depicted as a 4-adjacency cross-aura measure generated from the Q-SIAM™ preliminary map shown in (b). Cross-aura values range in $\{0, 4\}$. (d). Binary vegetation mask generated from the Q-SIAM™ preliminary map shown in Figure (b). This is an example of semantic stratum equivalent to a symbolic multi-part polygon defined accordingly to the OGC standards.

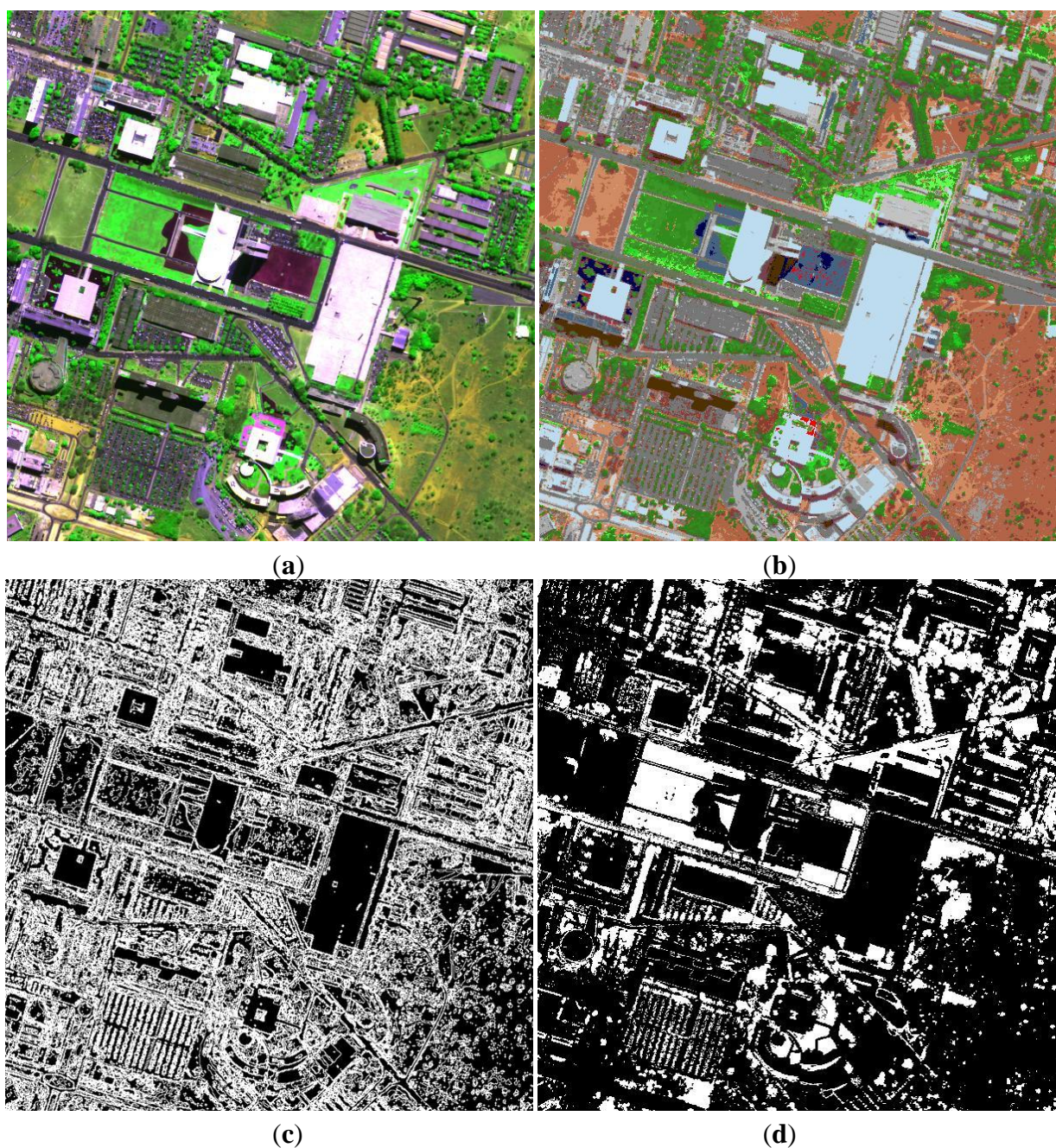


Table 3. Previously shown in [23]. Preliminary classification map legend adopted by Q-SIAM™ at fine semantic granularity consisting of 52 spectral categories (refer to Table 1). Pseudo-colors of the spectral categories are grouped on the basis of their spectral end member (e.g., ‘*bare soil or built-up*’) or parent spectral category (e.g., “high” leaf area index (LAI) vegetation types). The pseudo-color of a spectral category is chosen so as to mimic natural colors of pixels belonging to that spectral category. This legend gives a clue about the semantic “parent-child” relationship supported by Q-SIAM™ at different semantic granularity levels (refer to Figure 7 below). For example, a line-specific OR-combination of the 52 “child” spectral categories detected at the fine semantic granularity level across the 9 lines of Table 3 would provide 9 “parent” spectral categories at a coarser level of semantic granularity. Since it deals with symbolic reasoning, then this semantic aggregation is inherently subjective (equivocal, arbitrary, fuzzy) in nature, refer to Section 3 in [3].










"High" leaf area index (LAI) vegetation types (LAI values decreasing left to right)	
"Medium" LAI vegetation types (LAI values decreasing left to right)	
Shrub or herbaceous rangeland	
Other types of vegetation (e.g., vegetation in shadow, dark vegetation, wetland)	
Bare soil or built-up	
Deep water or turbid water or shadow	
Smoke plume over water, over vegetation or over bare soil	
Snow or cloud or bright bare soil or bright built-up	
Unknowns	

Figure 13. Previously shown in [18]. **(a)** SPOT-2 HRV (High Resolution Visible) scene of Senegal (acquisition date: 2006-03-01), depicted in false colors (R: band 3, G: band 2, B: band 1), radiometrically calibrated into TOARF values, spatial resolution: 20 m. **(b)** Preliminary classification map automatically generated by D-SIAMTM from the image shown in (a). Output spectral categories are depicted in pseudo colors. Map legend: refer to Table 3.

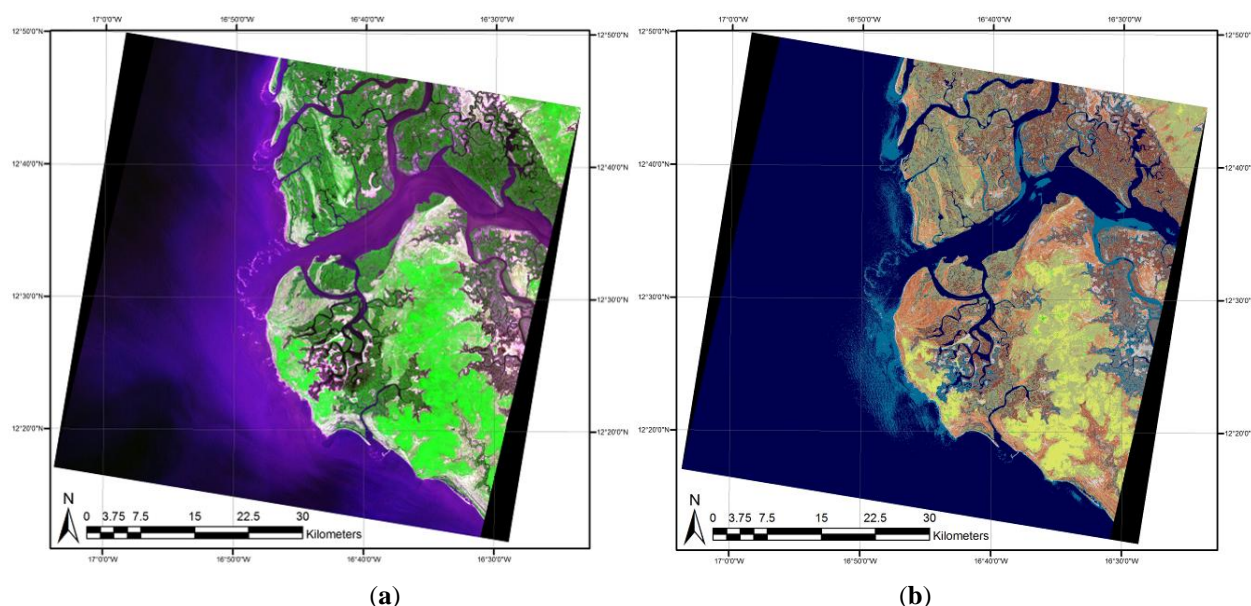


Table 4. SIAMTM system of systems. Summary of input bands and output spectral categories reported in Table 1. (*) Employed in sensor-independent bi-temporal land cover change detection.

SIAM TM	Input Bands (B: Blue, G: Green, R: Red, NIR: Near Infra-Red, MIR: Medium IR, TIR: Thermal IR)	Preliminary Classification Map Output Products: Number of Output Spectral Categories.			
		Fine Semantic Granularity	Intermediate Semantic Granularity	Coarse Semantic Granularity	Inter-Sensor Semantic Granularity (*)
L-SIAM TM	7 – B, G, R, NIR, MIR1, MIR2, TIR	95	47	18	33
S-SIAM TM	4 – G, R, NIR, MIR1	68	40	15	
AV-SIAM TM	4 – R, NIR, MIR1, TIR	82	42	16	
AA-SIAM TM	5 – G, R, NIR, MIR1, TIR	82	42	16	
Q-SIAM TM	4 – B, G, R, NIR	52	28	12	
D-SIAM TM	3 – G, R, NIR	52	28	12	

The output spectral categories detected by the six SIAMTM sub-systems at fine, intermediate and coarse semantic granularities, described in Table 1 are summarized in Table 4. It is noteworthy that since its 2006 release presented in [17], L-SIAMTM has increased its number of output spectral categories from 46 to 95 (see Table 4). This shows that, in line with theory [32,54], there is a slow “learning curve” in the development and fine-tuning of the SIAMTM physical model (refer to Section 4.1 in [3]).

- (iii) In [17], enough information is provided for the crisp L-SIAMTM implementation to be reproduced. The down-scaled S-SIAMTM, AV-SIAMTM and Q-SIAMTM versions generated from L-SIAMTM (refer to Table 1) are described in [18]. In [22], the crisp-to-fuzzy SIAMTM transformation is explained in detail.
- (iv) RS-IUS requirements specification (C), OQIs: mapping accuracy; robustness to changes in the input data set. Unlike traditional pixel-based statistical classifiers (e.g., plug-in MXLKL, SVMs, *etc.*), which are typically affected by a salt-and-pepper classification noise effect [46,53], the pixel-based syntactic SIAMTM preliminary classifier is, according to existing literature, effective (accurate) and robust to changes in the input data set acquired across time, space and sensors at local, regional and continental spatial scale [15–23,77,78]. For example, in a test area of approximately 2,000 km² located in central Italy, a vegetation/nonvegetation (V/NV) binary map generated by SIAMTM from a Landsat-7 ETM+ scene provided an overall accuracy of 98.2% ± 0.0% in comparison with a reference data set of 500 random samples validated with 1 m resolution orthophotos [17]. At the University of Maryland, the validation of the SIAMTM preliminary map generated from the 2006 Web-Enabled Landsat Data (WELD) project mosaic at the US scale [79] (e.g., see Figures 6 and 14(b)) has been recently accomplished in comparison with the reference National Land Cover Dataset (NLCD) 2006 provided by the US Environmental Protection Agency (EPA) [80], see Figure 14(d) [77,81]. An additional quantitative assessment of SIAMTM at regional scale can be found in [78]. Finally, a comparison between the syntactic SIAMTM and the ATCOR-2/3/4 SPECL preliminary classifier (refer to Section 2) is currently on-going based on a

test data set consisting of both spaceborne and airborne images radiometrically calibrated into TOARF or SURF values [82].

- (v) RS-IUS pre-attentive vision sub-system requirements specification (B)-(III), RS-IUS requirements specification (C), OQI: mapping accuracy. An intuitive proof of the SIAMTM mapping accuracy stems from the assessment of the SIAMTM capability of providing a lossy (almost lossless) compression of a sensory image onto a discrete and finite set of semi-concepts. This means that when an input image is reconstructed from the SIAMTM preliminary classification map, then the piecewise constant approximation of the input image must preserve small, but genuine image details (refer to Section 5 in [3]). For example, a piecewise constant approximation of the input MS image, called 2-D object-based mean image, is generated by replacing each symbolic polygon detected in the SIAMTM preliminary classification map with its mean radiometric value extracted from the input image. Results, shown in Figures 4 and 5, reveal that SIAMTM is a MS image preliminary classifier provided with an edge-preserving smoothing capability [18–20] (refer to Section 5 in [3]).
- (vi) RS-IUS requirements specification (C), OQI: efficiency, namely, computation time and memory occupation. In 2010, to increase its computational efficiency and decrease its dynamic and central memory requirements, the integrated SIAMTM system of systems was submitted to a source-to-source code transformation from the Interactive Data Language (IDL) to the C programming language. In September 2010, the University of Maryland, College Park, Maryland, filed the Invention Disclosure No. IS-2010-103 entitled: Satellite Image Automatic MapperTM (SIAMTM) (patent pending, © Andrea Baraldi and University of Maryland). In 2012, a parallel implementation of the SIAMTM code has been released. On a standard laptop computer provided with an Intel Core i7 processor @ 2.67 GHz the SIAMTM implementation in the C programming language requires less than three minutes to map a 7-band Landsat scene of approximately $8,000 \times 7,000$ pixels in size at the three standard levels of semantic granularity (fine, intermediate and coarse). The SIAMTM computational complexity increases linearly with the number of pixels, bands and output spectral categories. The parallel implementation of the SIAMTM reduces computation time by 15% to 40%, depending on the image size. The well-posed two-pass connected-component image labeling algorithm [10] takes approximately three more minutes to generate three sub-symbolic segmentation maps and contour maps from the three SIAMTM standard preliminary classification maps (at fine, intermediate and coarse semantic granularity, see Table 4. In comparison with the crisp SIAMTM implementation, the fuzzy SIAMTM is affected by a computation time increased by 30% in a single-process single-thread implementation [22]. This computation overload reduces to 15% in a single-process multi-thread implementation. Hence, both the crisp and the fuzzy SIAMTM implementations can be considered near-real-time. In fact, without considering stereo acquisitions in satellite sensors such as GeoEye-1 and WorldView-2, a time interval between two consecutive spaceborne image acquisitions may be as short as 15 min in a geostationary spaceborne platform such as the Meteosat Second Generation (MSG) [83].
- (vii) RS-IUS requirements specification (C), OQIs: all (refer to Section 2 in [3]). Table 5 shows that, based on existing literature [15–23], the OQIs (to be maximized) featured by the syntactic automatic SIAMTM preliminary classifier are superior to those of existing commercial RS-IUS software products that employ a sub-symbolic statistical model-based pre-attentive vision first stage, refer to Table 1 in [3]. This means that SIAMTM can be termed *operational* (refer to Section 2 in [3]).

Table 5. Previously shown in [23]. OQIs of SIAM™ in comparison with those of state-of-the-art RS-IUSs. Legend of fuzzy sets: Very Low (VL), Low (L), Medium (M), High (H) and Very High (VH). Legend of color highlights: Red: L, VL; Blue: M; Green: H, VH.

Quality Indicators of Operativeness (OQIs)	Existing RS-IUS Software Products	SIAM™ Software Product
Degree of automation: (a) number, physical meaning and range of variation of user-defined parameters, (b) collection of the required training data set, if any.	VL, L	VH (fully automatic, it cannot be surpassed)
Effectiveness : (a) semantic accuracy and (b) spatial accuracy.	M, H, VH	VH
Semantic information level.	Land cover class (e.g., deciduous forest)	Spectral semi-concept (e.g., vegetation)
Efficiency: (a) computation time and (b) memory occupation.	VL, L in training (hours per images)	VH (5 m to 30 s per Landsat image in a laptop)
Robustness to changes in the input image.	VL (site-specific)	VH
Robustness to changes in input parameters.	VL	VH (it cannot be surpassed)
Scalability to changes in the sensor's specifications or user's needs.	VL	VH (it works with any existing spaceborne sensor)
Timeliness (from data acquisition to high-level product generation, increases with manpower and computing power).	VH (e.g., the collection of reference samples is a difficult and expensive task)	VL, i.e., timeliness is reduced to almost zero
Costs (increasing with manpower and computing power).	VL, L, high costs in manpower and also computing power	VH, i.e., costs in manpower and computing power are reduced to almost zero

- (viii) The operational automatic near real-time SIAM™ preliminary classifier is not useful exclusively for categorical variable estimation from MS imagery, but also for MS image pre-processing (enhancement), e.g., stratified topographic correction [20], stratified image mosaic enhancement [17], stratified image pair co-registration [50], *etc.* (refer to Section 5.1).
- (ix) A symbolic syntactic pre-attentive vision first stage, like SIAM™ (or SPECL), is preliminary to the exploitation of inherently ill-posed, semi-automatic and site-specific statistical models (refer to Section 4.2 in [3]), to be run exclusively at the attentive vision second stage on a stratified basis to become better posed for numerical treatment, refer to Section 3. This is tantamount to saying that *the syntactic SIAM™ preliminary classifier is by no means alternative, but complementary in nature to any (inherently ill-posed) inductive data learning system, either symbolic or sub-symbolic* (refer to Section 4.2 in [3]). For example, the automatic SIAM™ preliminary classifier is alternative to the Definiens semi-automatic multi-scale image segmentation algorithm proposed by Baatz *et al.* [5–9] if and only if the latter is employed as sub-symbolic pre-attentive vision first stage in a GEOBIA/GEOOIA approach. On the contrary, the stratified use of the Definiens image segmentation algorithm in series with the SIAM™ preliminary classifier is perfectly reasonable according to the hybrid RS-IUS architecture proposed in Figure 2. As another example of complementarity of the symbolic syntactic pre-attentive vision first stage with statistical models, consider the case of supervised data learning classifiers traditionally adopted in the attentive vision second stage (e.g., MXLKL, nearest neighbor, neural networks, SVMs, adaptive decision-trees, *etc.*) [6,33,34,53,54,67,84–90]. The output thematic maps of the former, consisting of spectral-based semi-concepts (e.g., ‘vegetation’), should never be compared with thematic maps of the latter, consisting of concepts (namely, LC classes-through-time, e.g., ‘deciduous forest’), unless the taxonomy of the former coincides as a special case with the taxonomy of the latter, since the relation concepts \supseteq semi-concepts always holds (refer to Section 5 in [3]).

- (x) The symbolic, syntactic, pixel-based SIAMTM pre-attentive vision first stage generates as output, automatically and in near real-time, first, multi-granularity image pre-classification maps and, second, multi-scale image segmentation maps (refer to Section 1). The SIAMTM output products, namely, multi-granularity semi-symbolic and multi-scale sub-symbolic image-objects, are similar to those of a traditional two-stage non-iterative GEOBIA system, namely, multi-scale sub-symbolic and symbolic image-objects. Their difference is twofold: (i) they are generated in reverse order (in GEOBIA, sub-symbolic image-object detection comes before symbolic image-object labeling), (ii) the semantic level of information of semi-concepts is never superior to that of concepts because the relation concepts \supseteq semi-concepts always holds (refer to Section 5 in [3]) and (iii) semi-concepts are provided at multiple granularities while concepts belong to a target LC class taxonomy, which must be unique.

Figure 14. (a) WELD Tile h07v02, 2007 yearly composite, depicted in true colors (R: band ETM3, G: band ETM2, B: band ETM1), 30 m resolution, radiometrically calibrated into TOARF values. (b) Preliminary output map, generated from (a) by L-SIAMTM, depicted in pseudo colors. Map legend: refer to Table 2. (c) Attentive vision second stage context-sensitive classification in series with the context-insensitive SIAMTM pre-attentive vision first stage, see (b), generated from (a). This 2nd-stage map consists of 11 vegetated land cover classes (in black: non-vegetated pixels), depicted in pseudo-colors, including: cropland or grassland, broad-leaf forest, needle-leaf forest, mixed forest and shrubland. Inputs to the attentive vision second-stage classification are: SIAMTM spectral categories, brightness (PAN image) and multi-scale wavelet-based PAN image decomposition. Map legend: shown below. (d) Reference dataset: US Environmental Protection Agency (EPA) National Land Cover Dataset (NLCD) 2006. Map legend: shown below.

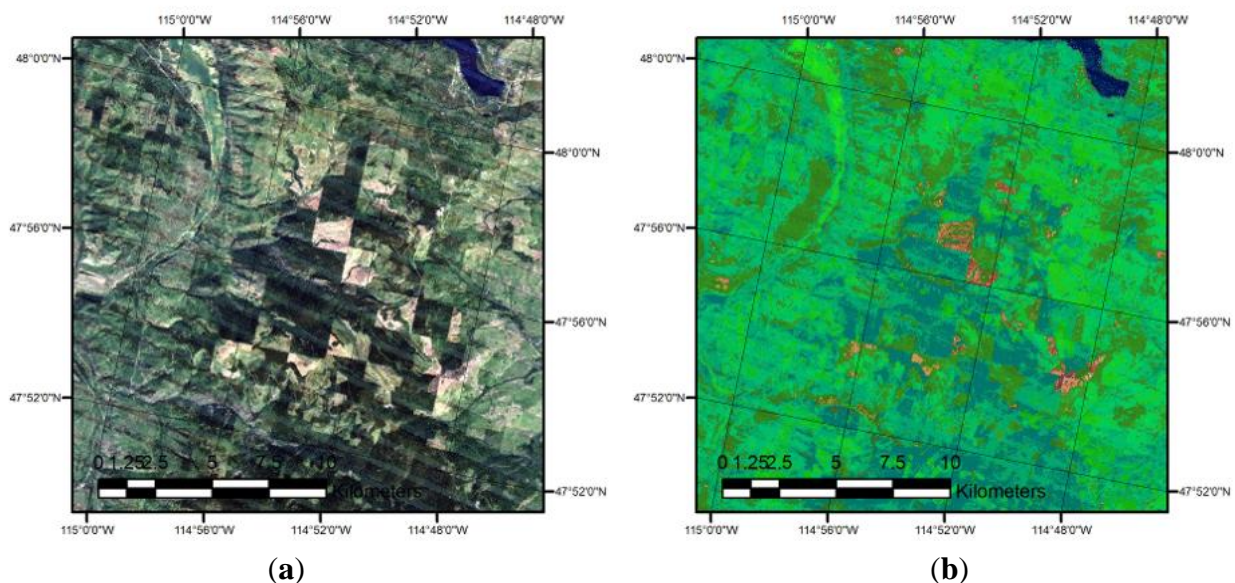
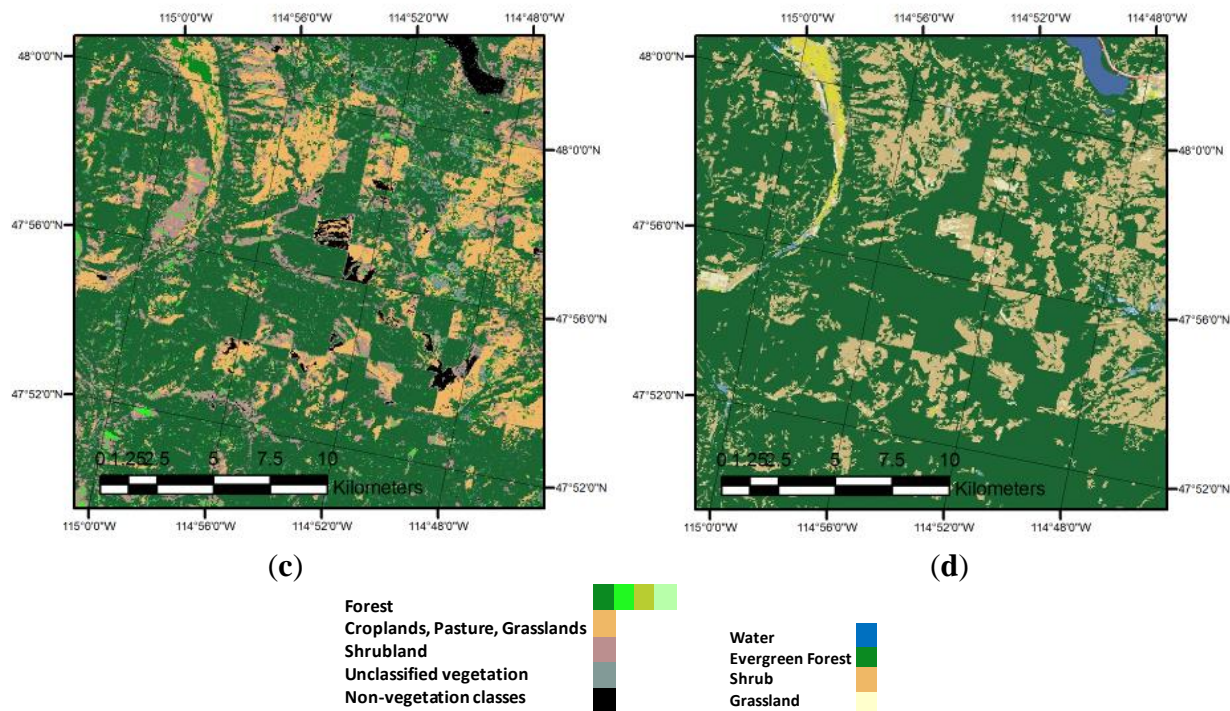


Figure 14. Cont.



- (xi) It is worth mentioning that recent developments in the attentive vision second stage in series with the syntactic SIAMTM preliminary classification (refer to Section 3) encompass driven-by-knowledge, class-specific, multi-scale texture detection in the PAN image by means of a multi-scale, multi-orientation wavelet filter bank with mirror padding to remove boundary effects due to stratification [51]. For example, Figure 14(a) shows a 30 m resolution MS Landsat-7 ETM+ image tile of the 2006 WELD mosaic [79] where the PAN image local areas overlapping with the SIAMTM spectral category (symbolic stratum) ‘*vegetation*’, see Figure 14(b), are classified into several vegetated LC classes (e.g., “*broad-leaf forest*”, “*needle-leaf forest*”, “*grassland*”, “*rangeland*”, etc.) by the attentive vision second stage employing: (i) a stratified multi-scale texture feature extraction from the 30 m resolution PAN image generated from the MS Landsat image and (ii) a decision rule-set whose inputs comprise: (a) continuous variables, namely, the stratified multi-scale texture features and (b) categorical variables, namely, the child spectral categories forming the parent-category ‘*vegetation*’ in the SIAMTM preliminary classification map (also refer to Figure 7). For validation purposes, the attentive vision second stage LC map, shown in Figure 14(c), is compared with the NLCD 2006 reference map shown in Figure 14(d) [77,80].

6. New Inter-Disciplinary Research and Market Opportunities

According to [22], OQIs (refer to Section 2 in [3]) featured by the fuzzy and crisp SIAMTM implementations are different, but both SIAMTM versions are suitable for development of operational automatic near-real-time satellite-based information/knowledge processing systems. This would open up new inter-disciplinary research and market opportunities such as those listed below [18,22].

1. The proposed hybrid RS-IUS employing SIAMTM as its symbolic, deductive, pixel-based pre-attentive vision first stage (see Figure 2) should be integrated with a graphic user interface

(GUI) into a complete desktop RS-IUS software product. It would consist of: (i) an automatic, unifying (e.g., application- and sensor-independent) and standardized (e.g., based on RS data calibrated into physical radiometric units of measure) SIAM™ pre-attentive vision first stage and (ii) an automatic, but incremental (e.g., user-, application-, class- and sensor-dependent) battery of attentive vision second-stage feature extractors and one-class classification modules. Based on an original combination of physical knowledge-based syntactic inference with stratified statistical data analysis capabilities, this novel hybrid RS-IUS software product would make spaceborne MS image understanding automatic, more intuitive to understand (since the RS-IUS is physical model-based and goes symbolic right at the output of the pre-attentive vision first stage, refer to Section 5 in [3]), accurate and robust to changes in the input data set. In line with the QA4EO guidelines [2], the new hybrid RS-IUS software product would contain metrological/statistically-based OQIs provided with a degree of uncertainty in measurement, in addition to a novel set of OQIs suitable for the accuracy assessment of preliminary classification maps, to achieve a seamless quantitative intercomparison with alternative pertinent results [15–23].

2. Integration of internet-based satellite mapping on demand with virtual earth geo-browsers such as the hugely popular Google Earth, NASA's World Wind and Microsoft Virtual Earth, see Figure 15.
3. Automatic transformation of sub-symbolic raster RS imagery into symbolic vector geospatial information in a Geographic Information System (GIS)-ready format, see Figure 16. In other words, SIAM™ provides seamless integration of RS imagery with Geographic Information science (GIScience).
4. Development of operational satellite-based information processing systems of systems, such as those envisaged under the ongoing international research programs GEOSS, Global Monitoring for the Environment and Security (GMES) [91], WELD [79] and Land Cover Land Use Change (LCLUC) [77] (refer to Section 1 in [3]).
5. Development of semantic querying systems of large-scale multi-source RS image databases, where SIAM™ can be exploited as an automatic source of reference classification maps. This would represent a dramatic improvement over non-semantic query modes currently available in image database retrieval systems based on text-driven query strategies and query by either an image, object or multi-object example [92,93].
6. Development of so-called fourth generation future intelligent earth observation satellites (FIEOSs, [94]) where the operational automatic near-real time RS-IUS software proposed herein can be mounted on board. The same consideration holds for ground receiving stations which could be provided with an operational automatic “intelligent” data processing chain.
7. Dissemination of advanced EO expertise, science and technology in developing countries and emerging countries. EO researchers and institutions should perceive operational automatic EO image understanding technologies like SIAM™ as a novel technical opportunity to pursue ethical goals.

Figure 15. Preliminary classification map, depicted in pseudo colors, generated by L-SIAM™ from a Landsat-7 ETM+ image of the Venice lagoon, Italy, radiometrically calibrated into TOARF values, spatial resolution: 30 m. The L-SIAM™ map is transformed into the kml data format and loaded as a thematic layer in a commercial 3-D earth viewer (e.g., Google Earth).

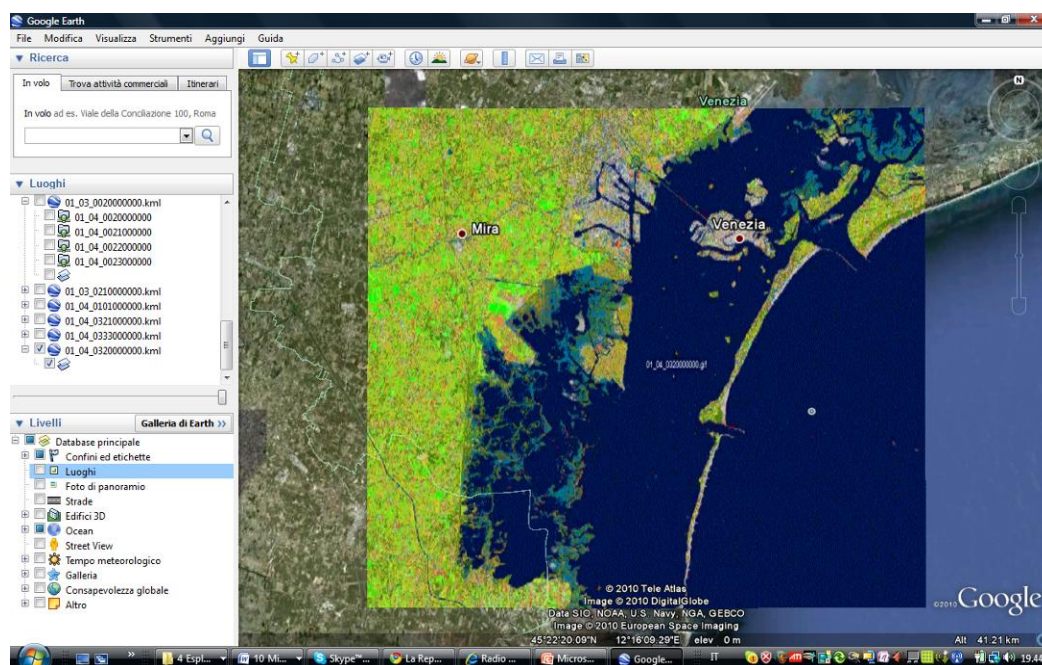
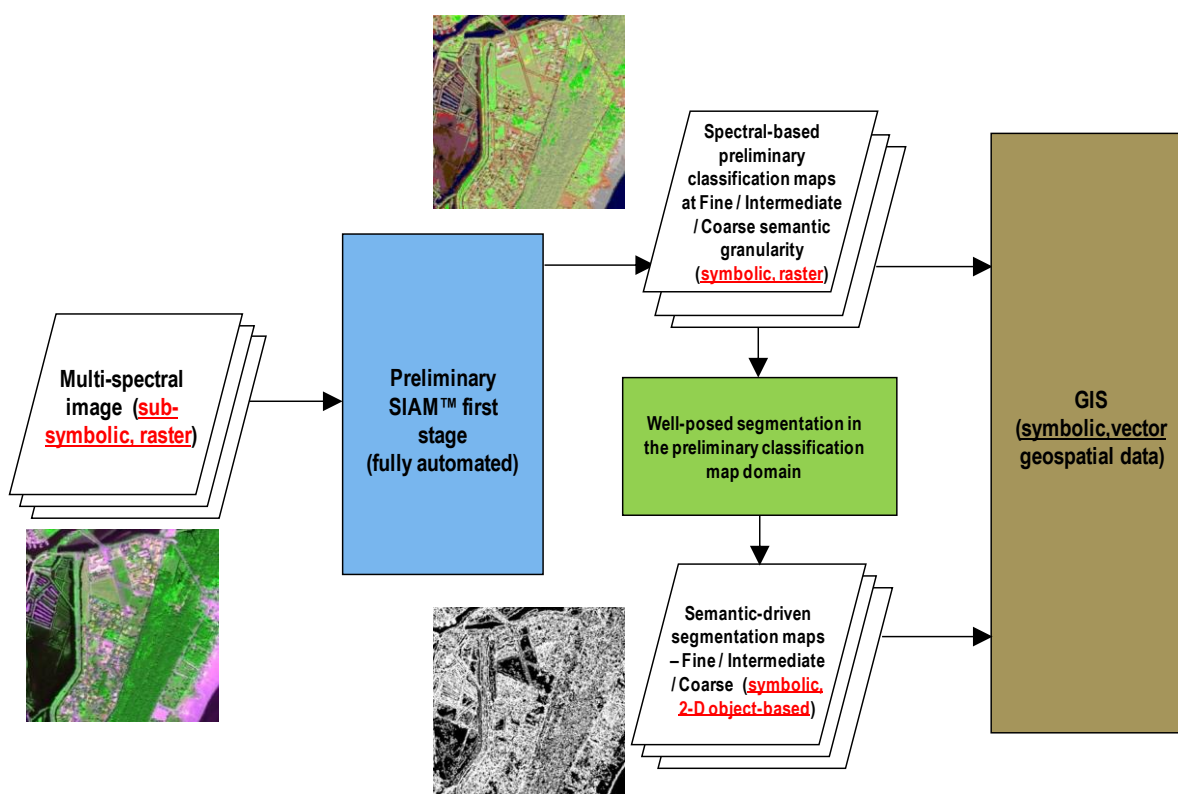


Figure 16. Automatic transformation of sub-symbolic raster RS imagery into symbolic vector geospatial information available in a Geographic Information System (GIS)-ready format through SIAM™.



7. Conclusions and Summary

Split into two papers for publication purposes, this methodological work provides the remote sensing (RS), computer vision (CV), artificial intelligence (AI) and machine learning (MAL) communities with several multi-disciplinary conclusions of practical interest for developing operational RS image understanding systems (RS-IUSs) eligible for use in real-world applications, including RS image understanding at large (e.g., global) spatial scale and fine semantic granularity, in accordance with the Group on Earth Observations (GEO)-Committee on Earth Observation Satellites (CEOS) Quality Assurance Framework for Earth Observation (QA4EO) guidelines.

The degree of novelty of the proposed conclusions can be considered relevant because:

- they encompass the four levels of understanding of an RS-IUS considered as an information processing system, namely: (a) computational theory (system architecture), (b) information/knowledge representation, (c) algorithm design and (d) implementation (refer to Section 1 in [3]);
- they are complementary to conclusions proposed by a large portion of existing literature where RS data mapping solutions are tested in toy problems at small (e.g., local) spatial scale and/or coarse semantic granularity. Unfortunately, scalability of these latter approaches to real-world RS applications at large (e.g., global) spatial scale and fine semantic granularity appears questionable or remains unknown (refer to Section 1 in [3]).

This section provides a useful summary of the multi-disciplinary conclusions of both parts of this theoretical work together with links to the text.

According to existing literature and despite their commercial success, state-of-the-art two-stage non-iterative geographic (2-D) object-based image analysis (GEOBIA) systems [30–34] and three-stage iterative geographic (2-D) object-oriented image analysis (GEOOIA) systems [30], where GEOBIA is a special case of GEOOIA, *i.e.*, $GEOOIA \supset GEOBIA$, remain affected by a lack of productivity, general consensus and research [4,33,34], (refer to Section 2 in [3]). To explain these lacks, the Strengths, Weaknesses, Opportunities and Threats (SWOT) analysis of the GEOBIA/GEOOIA systems conducted in the first part of this methodological approach has reached the following conclusions (refer to Section 9 in [3]).

1. Popular GEOBIA and GEOOIA commercial software products, like those listed in Table 1 of [3], do not comply with the QA4EO requirements, where radiometric calibration of RS imagery is considered a pre-requisite (refer to Section 2 in [3]). As a consequence, these RS-IUS commercial software products are based on statistical rather than physical models. In the MAL and RS literature it is well known that, due to their inherent ill-posedness, inductive inference systems are intrinsically semi-automatic and site-specific and require prior knowledge in addition to sensory data to become better posed (conditioned) for numerical treatment (refer to Section 4.2 in [3]).
2. Both GEOBIA and GEOOIA systems are not biologically plausible, which is in contrast with their original goal of attempting to replicate human vision (refer to Section 6.1 in [3]). A human vision system is a symbolic hybrid inference system where symbolic deductive inference is ignited in both the pre-attentive vision first stage (in compliance with a quote from Marr [14]) and the attentive vision second stage to deal with the well-know *information gap* and the *intrinsic insufficiency* of image features (refer to Section 5 in [3]). If vision goes symbolic at the pre-attentive vision first stage, then symbolic pre-attentive vision accomplishes image sub-symbolic

segmentation (image feature extraction) and image symbolic pre-classification simultaneously (refer to Section 5 in [3]). On the contrary, both GEOBIA and GEOOIA systems:

- ✓ Employ the same sub-symbolic pre-attentive vision first stage implemented as a sub-symbolic (inherently ill-posed) statistical approach,
- ✓ May or may not employ symbolic syntactic inference at the attentive vision second stage. If they do not, they are fully statistical systems.

To recapitulate, when compared to human vision GEOBIA and GEOOIA systems lack deductive inference mechanisms starting at their pre-attentive vision first stage.

To outperform existing GEOBIA and GEOOIA systems, the present second part of this methodological work provides, at the four levels of understanding of an information processing system (refer to Section 1 in [3]), a critical analysis of a novel hybrid RS-IUS design and implementation, selected from existing literature [15–23], where the operational, automatic, near real-time Satellite Image Automatic Mapper™ (SIAM™) is adopted as its symbolic, syntactic, pixel-based pre-attentive vision first stage, to comply with:

(A) the QA4EO guidelines (refer to Section 2 in [3]).

(B) The symbolic pre-attentive vision sub-system constraints driven from human vision (refer to Section 5 in [3]). In the CV literature, according to Marr “vision goes symbolic almost immediately, right at the level of zero-crossing (pre-attentive primal sketch) ... without loss of information” [14] (p. 343). If this conjecture holds true, then the symbolic hybrid (combined deductive and inductive) human vision system comprises a symbolic hybrid pre-attentive vision sub-system subjected to the following constraints (refer to Section 5 in [3]).

- (I) Symbolic pre-attentive vision is general-purpose (application-independent), parallel and rapid (efficient). It generates as output a (symbolic) preliminary classification (pre-classification) map of the input image. Hence, the symbolic pre-attentive vision first stage accomplishes image feature extraction (image segmentation) and image pre-classification simultaneously.
- (II) Symbolic pre-attentive semantic labels belong to a discrete and finite set of semi-concepts whose degree of semantic information must be superior to zero and equal or inferior to that of concepts detected by the attentive vision second phase.
- (III) The inverse mapping of the pre-classification map back to the input image domain generates a piecewise constant approximation of the input image equivalent to an edge-preserving smoothing filter where image details featuring high spatial-frequency components are well preserved.

(C) The requirements specification for an RS-IUS to be considered operational. In particular, all Quality Indexes of Operativeness (OQIs) featured by an operational RS-IUS (e.g., degree of automation, accuracy, efficiency, robustness to changes in the input data set, robustness to changes in input parameters, scalability and timeliness) must score “high” (*i.e.*, be superior to community-agreed reference standards) in real-world applications, including RS image classification at large (e.g., continental, global) spatial scale and fine semantic granularity (refer to Section 2 in [3]).

Based on theoretical considerations in combination with experimental evidence collected from existing literature [15–23], this methodological work concludes that, as proof-of-concept of symbolic,

syntactic, pixel-based pre-attentive vision first stage, SIAM™ meets the aforementioned RS-IUS requirements specification (A) to (C).

To the best of these authors' knowledge, this is the first time a symbolic syntactic inference system, like SIAM™, is made available to the RS community for operational use in a RS-IUS pre-attentive vision first stage, to accomplish multi-scale image segmentation and multi-granularity image pre-classification simultaneously, automatically and in near real-time.

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