

## Article

# On the Mechanism of Electron Beam Radiation-Induced Modification of Poly(lactic acid) for Applications in Biodegradable Food Packaging

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**Featured Application:** Electron beam radiation induces C-O-C bond scissions on the backbone of the amorphous poly(lactic acid) (PLA) used for food packaging. The radiolytically produced alkoxy radicals abstract hydrogen atoms from the neighboring PLA molecules, leading to the formation of carbon-centered radicals. Since all PLA samples were exposed to air after irradiation and electron paramagnetic resonance (EPR) measurements, the carbon-centered radicals react with oxygen to form the corresponding peroxy radicals. The resultant PLA is suitable for food irradiation and is biodegradable after the packaging is discarded. The radiation of sterilization has no detrimental effect on the water vapor diffusion through the PLA membrane.

**Abstract:** Poly(lactic acid) (PLA) is a biodegradable polymer used for food packaging. The effects of electron beam radiation on the chemical and physical properties of amorphous PLA were studied. In this study, amorphous, racemic PLA was irradiated at doses of 5, 10, 15, and 20 kGy in the absence of oxygen. Utilizing electron paramagnetic resonance spectrometry, it was found that alkoxy radicals are initially formed as a result of C-O-C bond scissions on the backbone of the PLA. The dominant radiation mechanism was determined to be H-abstraction by alkoxy radicals to form C-centered radicals. The C-centered radicals undergo a subsequent peroxidation reaction with oxygen. The gel permeation chromatography (GPC) results indicate reduction in polymer molecular mass. The differential scanning calorimetry and X-ray diffraction results showed a subtle increase in crystallinity of the irradiated PLA. Water vapor transmission rates were unaffected by irradiation. In conclusion, these results support that irradiated PLA is a suitable material for applications in irradiation of food packaging, including food sterilization and biodegradation.

**Keywords:** electron beam radiation; poly(lactic acid); alkoxy free radical decay

## 1. Introduction

Every year, 1.3 billion tons of food are wasted globally [1,2]. This figure corresponds to almost one third of all food produced for humans [2]. In theory, global food production can provide for every living person, indicating that ineffective food distribution systems are

substantial contributors to world hunger [3]. According to the United States Department of Agriculture, 31 percent of U.S. food supply at the retail and consumer levels is wasted [4]. Of the United States' total food waste, packaging accounts for 29.5% of municipal solid waste, suggesting that a more efficient material for the transport and storage of foods may contribute to less food wasted [5]. Simultaneously, our waste management systems face an overwhelming influx of discarded plastic products [6]. Even so-called recyclable materials have a limited number of cycles before they undergo phase separation and become unusable, meaning that recycling is not an effective solution to plastic waste [7].

A solution to both food spoilage and plastic waste is a biodegradable polymer that extends the shelf life of produce through selective permeability of the membrane. Currently, finding an appropriate bio-based polymer can be rather challenging because they are typically hydrophilic in nature, leading to high water vapor transmission rates [8,9]. The concentrations of oxygen gas and water vapor must remain low to prevent spoilage due to oxidation [10,11]. Within the localized packaging environment, a modified, carbon dioxide-rich environment is necessary to preserve food and delay rancidity [12–14]. Carbon dioxide maintains a low pH, which decreases microbe growth [12–14].

A polymer capable of the aforementioned tasks is poly(lactic acid) (PLA). PLA is a polyester that is classified as Generally Recognized as Safe (known as GRAS) by the U.S. Food and Drug Administration [15]. Commercial synthesis of PLA via the condensation of lactic acid is an environmentally friendly, inexpensive, and energy efficient process [16–21]. For these reasons, PLA has been established as a viable biodegradable polymer for food packaging applications [22–27]. PLA has two naturally occurring stereoregular forms, PDLA and PLLA [28]. A great deal of current research is focused on characterizing these forms with techniques such as nuclear magnetic resonance [29]. A racemic mixture of PLA leads to an amorphous polymeric structure [28]. The water vapor permeability of the racemic PLA is 14–23% lower than for the homopolymers, making racemic PLA an ideal choice for food packaging applications [30]. Moreover, dipole–dipole interaction and hydrogen bonding between the two stereoregular forms lead to a stronger, denser material with improved thermal stability [30].

Food packaging is inextricably linked to radiation in multiple ways. First, ionizing radiation is already utilized as a safe method of extending shelf life and ensuring the safety of food products [31]. Food is irradiated inside its packaging once it is ready for retail, and this process serves several purposes including the delay of sprouting and ripening, reduction of spoilage-causing organisms, control of insects, and the prevention of foodborne illness through elimination of pathogenic bacteria [31,32]. Electron beam radiation for the treatment of fruits and vegetables is typically achieved with doses up to 10 kGy [31]. After the consumer has discarded the food packaging, radiation is used to enhance the slow biodegradation rate of PLA [33].

Elucidating the radiation chemistry mechanism of PLA via identification of the radiolytically produced free radicals and their decay will further the understanding of the physical properties, permeability, and biodegradability of PLA. It is expected that radiation-induced C-O-C bond scissions in the PLA will occur under the radiation conditions used in this work.

Previous research showed that the molar mass of PLA decreased when irradiated at 25 °C compared to an increase in molar mass at 80 °C and 170 °C [34]. Malinowski states that crosslinking of PLA is optimized at a dose of 40–200 kGy and 3–5 wt% triallyl isocyanurate (TAIC) additive [35]. Chain scission is the primary reaction when neat PLA is irradiated from 1–100 kGy [36]. As a result of irradiation, the gel fractions were between 0.5% and 0.9%, the heat of fusion increased, and the glass transition temperature decreased slightly, all indicating the presence of chain scission and a reduction in molar mass [36]. The chain scission occurs predominantly at the C-O-C bonds on the backbone of the PLA chain [37,38].

Electron beam radiation in the range of 1 to 30 kGy in the presence of oxygen prompts PLA degradation; a decrease was seen in the molar mass, tensile strength, break elongation

and elastic modulus, and water vapor permeability of the polymer [39]. Another group found that electron beam irradiation at doses below 25 kGy did not cause any changes in the mechanical properties of PLA [40]. PLA has a resistance to radiation treatment that adds to its potential for applications in food packaging [40]. Another group observed that the degree of crystallinity for poly-L-lactic acid increased with dose up until 50 kGy [33]. Overall, the previous literature shows varied results depending on the irradiation conditions. For the scope of this research, samples were irradiated at doses of 5, 10, 15, and 20 kGy in the absence of oxygen.

## 2. Materials and Methods

### 2.1. Background on Material Used in Experimentation

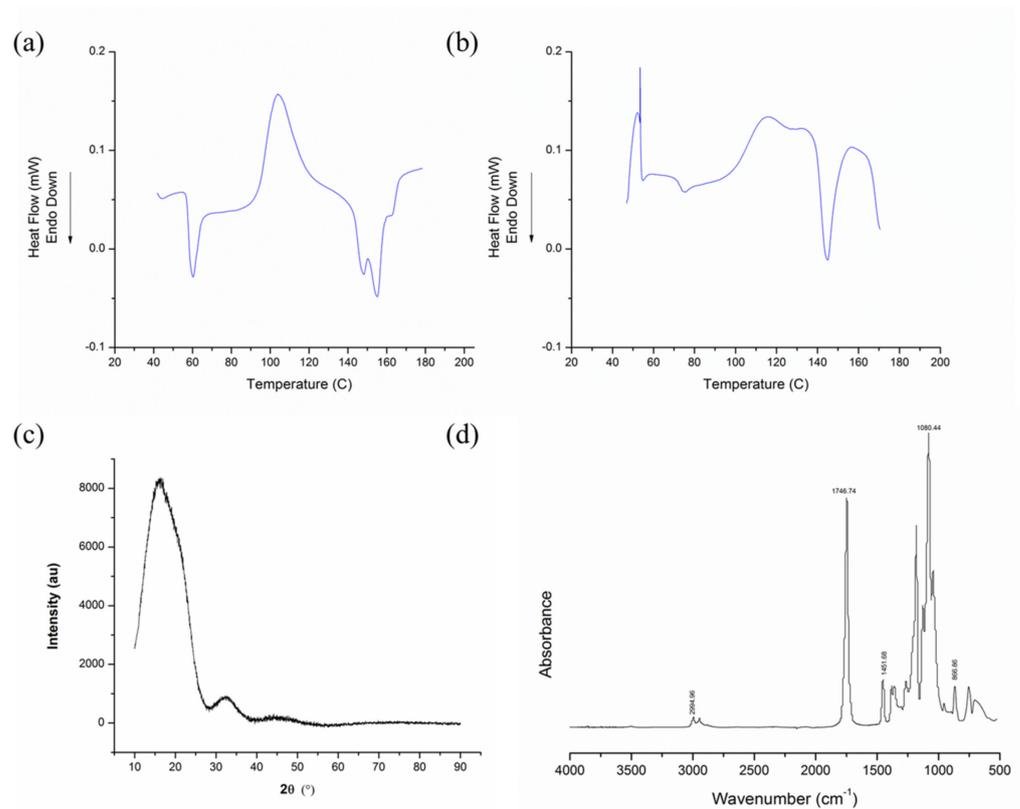
A commercial-grade sheet of polylactic acid was graciously donated by Ex-Tech Plastics. The PLA sheets were approximately 25.4 cm × 20.3 cm with a thickness of 0.0304 cm. The material was identified and characterized as received using optical microscopy (Olympus BX40 microscope, Tokyo, Japan), X-ray diffraction (XRD) (Rigaku Miniflex 600 with D/teX Ultra silicon strip detector, Tokyo, Japan), Fourier transform infrared spectroscopy (FTIR) (Nicolet iS50 FTIR spectrometer, Thermo Scientific, Waltham, MA, USA), differential scanning calorimetry (DSC) (DSC Q100, TA Instruments, New Castle, DE, USA), and gel permeation chromatography (GPC)(VE 2001 GPCMax, Viscotek, Houston, TX, USA). The L and D composition of the amorphous PLA was determined to be racemic after analysis of optical microscope images. We used XRD to confirm that we had highly amorphous PLA, as shown in Figure 1 by the two wide peaks and no sharp peak corresponding to a crystalline phase. We explain these results in detail in Section 3.5. We subsequently performed GPC and obtained a number average molar mass ( $M_n$ ) of 119,500 Da, weight average molar mass ( $M_w$ ) of 196,400 Da, and polydispersity index of 1.646 for the unirradiated PLA samples. As shown in Figure 1, DSC results demonstrated that the material is not a stereocomplex, but rather a copolymer of P(DL)LA with equal proportions of L and D subunits. The double melting peak due to stereocomplexation would occur at a higher temperature than we observed, indicating that the double peak in our DSC results can be attributed to perfected spherulites. We expand on this analysis in Section 3.3. PLA without plasticizers and additives is used, as confirmed by FTIR spectral analysis on unirradiated PLA, shown in Figure 1 and analyzed in Section 3.2.

### 2.2. Optical Microscopy

Optical microscopy was utilized to determine the L and D composition of the PLA. Microscope images were taken on the Olympus BX40 microscope (Japan), both with and without polarized light. The objectives used were 4×, 10×, and 40×. Prior to optical microscopy, the PLA was spin coated to prepare a thin polymer film. The PLA samples were dissolved in dimethyl sulfoxide at a concentration of 8 mg/mL. The spin coater was run for 30 s at 3000 rpm to deposit the solution onto a silicon wafer.

### 2.3. Sample Preparation and Irradiation

The sheets of PLA were cleaned with deionized water and cut into two different-sized pieces for sample treatment, the smaller being 52 mm × 4 mm and the larger being 52 mm × 16 mm. The 52 mm × 4 mm sample was used for electron paramagnetic resonance (EPR) characterization, while the 52 mm × 16 mm sample was divided between FTIR and water vapor transmission rate (WVTR) testing. FTIR is a non-destructive test, and it was performed before the WVTR tests. Each pair of small and large PLA strips was placed into an individual 40 mL septum vial and purged at 7 psi for 20 min with inert gas, which was either nitrogen or argon. These septum vials were then sealed with parafilm prior to irradiation.



**Figure 1.** Characterization of unirradiated PLA. (a) Average DSC cycle 1; (b) average cycle 2; (c) XRD diffractogram; (d) FTIR spectra (labels: 2994.96, 1746.74, 1451.68, 1080.44, 866.86  $\text{cm}^{-1}$ ).

Irradiation was conducted at the Medical Industrial Radiation Facility at the National Institutes of Standards and Technology Physical Measurement Laboratory using a Sagataire traveling-wave electron linear accelerator. The pulse width was maintained at 6  $\mu\text{s}$  with a pulse repetition of 100 pulses/s and an energy of 11.5 MeV. The samples were irradiated to a total dose of 5, 10, 15, and 20 kGy and at an average dose rate of 68 kGy/h. Dosimetry was conducted using strips of alanine placed in the path of the electron beam. Samples were placed on a foam block 100 cm from the exit beam. The electron beam was run at room temperature. Once irradiated, the samples were kept on dry ice in the absence of oxygen prior to transfer from septum vials to EPR tubes for subsequent EPR analysis. This sample transfer was conducted in a glove box purged with nitrogen at 7 psi.

#### 2.4. Radical Structure and Concentration Decay Characterization Using Electron Paramagnetic Resonance (EPR) Spectrometry

2,2-diphenyl-1-picrylhydrazyl (DPPH) was used to calibrate the frequencies from the microwave generator, and EPR measurements were taken for each of the irradiated samples. DPPH was chosen as it contains a stable free radical which is possible through stabilization provided by the three rings in its structure. The following instrument parameters were used: microwave power of 5 mW, frequency modulation of 100 kHz, modulation amplitude of 3.12 G, receiver gain of  $6.32 \times 10^3$ , center field at 3500 G, sweep width of 1000 G, conversion time of 40.96 ms, and time constant of 20.48. The parameters were selected to avoid overmodulation or signal distortion, and four sweeps over the magnetic field spectrum were conducted for each sample to maintain a high level of signal resolution. The expected number of hyperfines for an EPR spectrum can be described by Equation (1):

$$\text{number of hyperfines} = \prod 2n_i I_i + 1 \quad (1)$$

where  $n_i$  denotes the number of equivalent nuclei and  $I_i$  denotes the nuclear spin of the equivalent nuclei. The concentration of radicals in spins per gram was determined from Equation (2):

$$[x] = \frac{[std]A_x R_x (scan_x)^2 G_{std} M_{std} (g_{std})^2 [s(s+1)]_{std}}{A_{std} R_{std} (scan_{std})^2 G_x M_x g_x^2 [s(s+1)]_x} \quad (2)$$

where  $[x]$  denotes radical concentration,  $A_x$  denotes the double integral of the EPR first derivative curve,  $scan_x$  denotes the magnetic field sweep width in gauss,  $G$  is the gain of the signal amplifier (Hz),  $M$  is the modulation amplitude (G),  $g$  is the Lande factor,  $s$  is the radical spin quantum number, and  $R$  is the Kramers degeneracy of the EPR spectrum. The subscript *std* refers to the parameters measured from the manganese sulfate monohydrate ( $MnSO_4 \cdot H_2O$ ) standard sample and the subscript  $x$  refers to the parameters measured from the experimental samples.

### 2.5. Bond Structure Characterization via Fourier Transform Infrared Spectroscopy (FTIR)

FTIR spectroscopy was used to measure the oxidation index of the irradiated samples. Infrared spectra of PLA samples were taken on the Thermo Scientific Nicolet iS50 FTIR spectrometer (USA) from 525–4000  $cm^{-1}$  with a resolution of 4  $cm^{-1}$  and analyzed via the OMNIC software. An attenuated total reflectance (ATR) accessory, the SmartiTx with diamond, was used. Ninety-six scans at a resolution of 4  $cm^{-1}$  were performed for each spectrum to obtain averages, and two spectra were taken per sample. Backgrounds were taken every 30 min, and the changes in peaks were analyzed thereafter. All FTIR spectra were normalized to the peak at about 1450  $cm^{-1}$  corresponding to the C-H stretching in the methyl groups. This was done by dividing the range of absorbance values from 500 to 4000  $cm^{-1}$  by the absorbance at 1450  $cm^{-1}$ . The C-H stretch at 1450  $cm^{-1}$  was chosen due to the expected lack of change in C-H bonds in methyl groups as a result of irradiation [41]. FTIR was used to calculate the oxidation index for C-O and C=O bonds. Integrals of the C-O peak at approximately 1100  $cm^{-1}$ , C=O peak at approximately 1750  $cm^{-1}$ , and  $CH_3$  peak at approximately 1450  $cm^{-1}$  were calculated using the Origin8 Software. Oxidation index for the C-O and C=O peaks was found by dividing the integrated area of their respective peaks by the integrated area of the  $CH_3$  peak, the reference peak.

### 2.6. Thermal Analysis Using Differential Scanning Calorimetry (DSC)

DSC analysis was performed on samples of about 5 mg in sealed aluminum pans in a nitrogen atmosphere using a TA Instruments DSC Q100 (USA). The samples were subjected to two heating and cooling cycles. In the first run, the samples equilibrated at 40 °C and then increased to 190 °C. They were held isothermal for five minutes at 190 °C before being cooled back down to 40 °C. The samples were again held isothermal for five minutes at 40 °C before increasing to 190 °C, where they were held isothermal for five minutes. Finally, the samples were cooled to 40 °C. For both heating cycles, the scanning rate was 5 °C  $min^{-1}$ . From the resulting thermograms, attempts were made to measure the glass transition temperature ( $T_g$ ), cold crystallization temperature ( $T_c$ ), cold crystallization enthalpy ( $H_c$ ), melting temperature ( $T_m$ ), and melting enthalpy ( $H_m$ ). Despite the fact that the unirradiated PLA samples were almost completely amorphous, efforts were made to measure the percent crystallinity ( $\%X_c$ ) using Equation (3) after irradiation in case any crystallization had occurred in the irradiated samples:

$$\%X_c = \frac{\Delta H_m - \Delta H_c}{\Delta H_m^\circ} \times 100 \quad (3)$$

where  $H_m$  is the experimentally determined melting enthalpy ( $Jg^{-1}$ ),  $H_c$  is the experimentally determined cold crystallization enthalpy ( $Jg^{-1}$ ), and  $H_m^\circ$  is the melting enthalpy for 100% crystalline PLLA, stereoregular L-oriented PLA (93  $Jg^{-1}$ ) [42].

### 2.7. Characterization of Crystallinity with X-ray Diffraction (XRD)

XRD diffractograms (Rigaku Miniflex 600 with D/teX Ultra silicon strip detector, Japan) were taken for an unirradiated and a 20 kGy irradiated sample two weeks post-irradiation to confirm equilibrium crystallinity of the polymer samples. The measurements were conducted using  $2\theta$  values between  $10^\circ$  and  $90^\circ$  with a step size of  $0.2^\circ$ , and a Rietveld refinement based on an SI standard was conducted to analyze diffracted peak locations.

### 2.8. Gel Permeation Chromatography (GPC)

GPC was used to obtain molar mass ( $M_n$  and  $M_w$ ) and polydispersity index (PDI) of PLA films using Viscotek VE 2001 GPCMax (USA) equipped with three columns (Styragel HR-4, HR-3, and HR-1) in a column oven and differential refractometer (maintained at  $40^\circ\text{C}$ ). Tetrahydrofuran (HPLC grade) was used as the eluent with a flow rate of 1 mL/min. Polystyrene standards (from Polymer Laboratories Inc., 580 Da–3039 kDa) were used for calibration. For GPC sample preparation, 2–4 mg of dry PLA film sample was dissolved in 2 mL of THF (HPLC grade).

### 2.9. Water Vapor Transmission Rate (WVTR) Testing

Materials included a 40 mL septum vial, a desiccant (2–5 mm silica beads), fume hood, a balance showing uncertainty to four decimal places ( $\pm 0.0001$  g), adhesive silicone or other water-resistant sealant, and the testing material: a sheet of PLA with a width of 0.0304 cm. The scale used was a New Classic MF model MS105DU, produced by Mettler Toledo. The following methodology is a modified version of ASTM Standard E96: Standard Test Methods for Water Vapor Transmission of Materials [43]. PLA was cut from a sheet to completely cover the surface area of the opening of the 40 mL septum vial. The septum vial was weighed, and the initial weight was recorded. The silica beads were added to the vial and filled to within 1/2 inch of the top of the vial. The weight of the vial with the additional silica beads was measured. The PLA sample was then attached to the top of the vial using the silicone sealant. A continuous layer of sealant was applied to the edges of the top of the vial, and the sample was pressed to the sealant and allowed to dry. The vial was weighed again for the initial testing weight and placed in the test chamber. After initial weighing, the sample was weighed once every day for the following six days. The weight of the vial was plotted over time. WVTR was calculated using Equation (4):

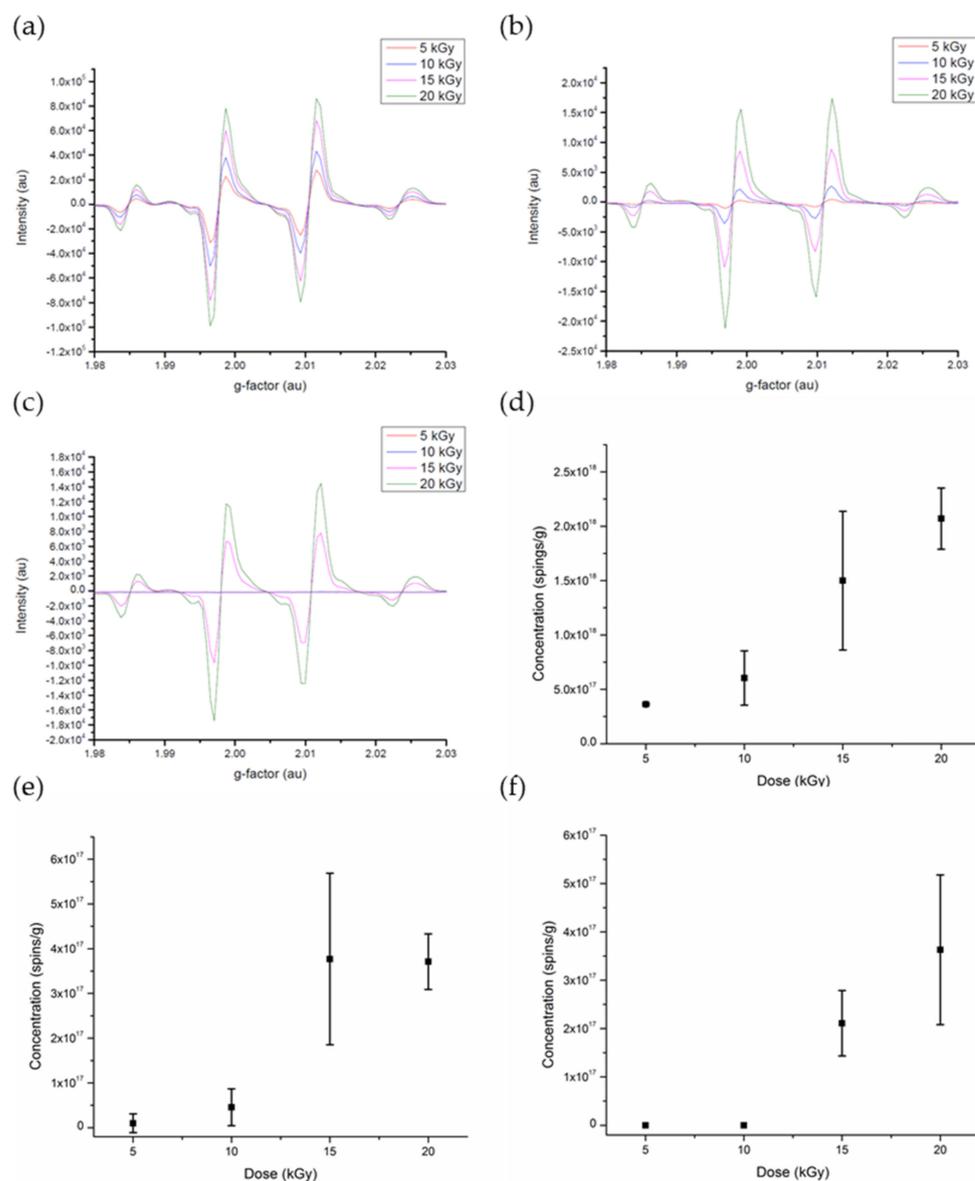
$$WVTR = \frac{G}{t \times A} \quad (4)$$

where  $G$  is the weight change in grams,  $t$  is time in days, and  $A$  is the test area of the vial mouth in meters squared. WVTR is measured in  $\text{gd}^{-1}\text{m}^{-2}$ .

## 3. Results and Discussion

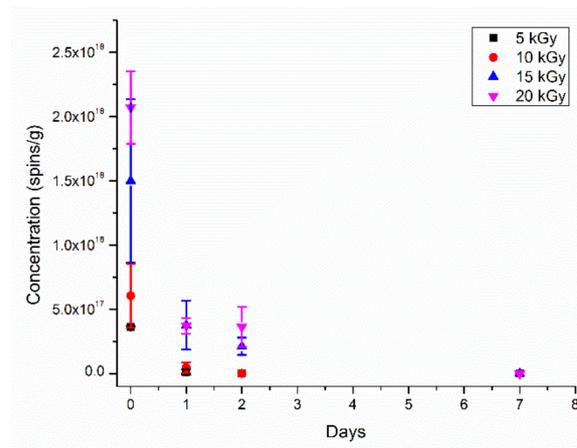
### 3.1. Identification of the PLA Radiolytically Produced Free Radicals and Their Kinetics Decay

Representative EPR spectra from each of the four doses were taken for comparison and plotted in Figure 2. Upon examination of each of the spectra, it is apparent that the dominant splitting pattern is four peaks with relative intensity magnitudes of 1:3:3:1. Assuming interactions between atoms less than three bond-lengths away from the radical contribute to the hyperfine structure, this splitting pattern matches the predicted result from Equation (1). H-abstraction from PLA results in three equivalent hydrogen atoms located two bond-lengths away from the carbon-centered radical. Substitution of  $n = 3$  for the number of equivalent hydrogen atoms and  $I = 1/2$  for the nuclear spin of hydrogen yields four hyperfine peaks, which is in agreement with experimental results.

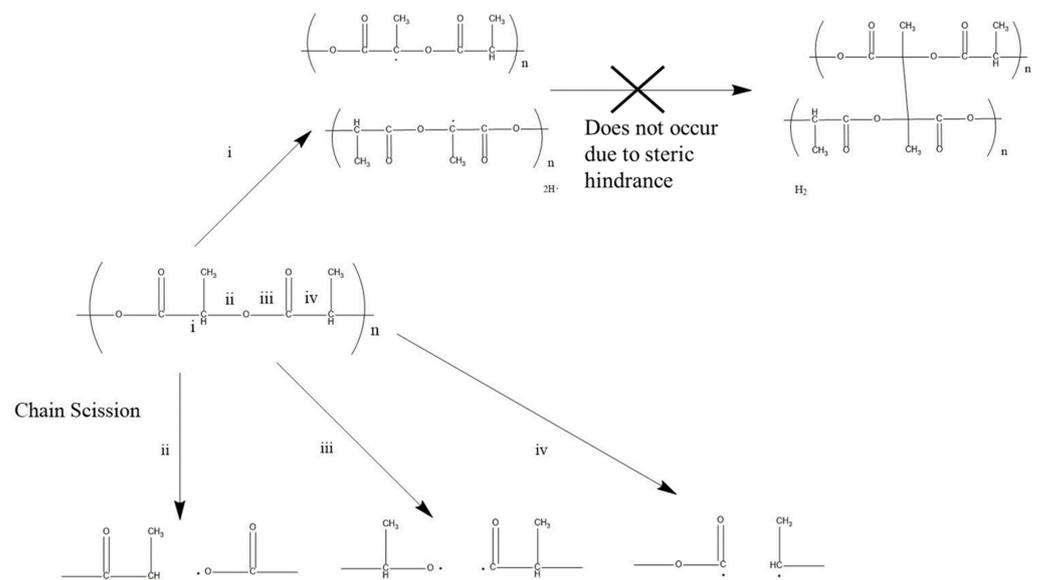


**Figure 2.** (a–c) Time series of EPR spectra for PLA irradiated at 4 different doses: 5 kGy (red), 10 kGy (blue), 15 kGy (pink), and 20 kGy (green). There were three time points used: (a) same day as irradiation (day 0); (b) day following irradiation (day 1); (c) second day following irradiation (day 2). (d–f) Dose–yield plots over the first three days. These measurements were taken twice at 5 kGy, twice at 10 kGy, four times at 15 kGy, and twice at 20 kGy. (d) Day 0; (e) day 1; (f) day 2.

This dose dependence can be further expanded upon by calculating the number of radicals present in the sample over time, with concentration expressed in spins per gram. Figure 3 illustrates this calculation. Even on day 2, in the absence of oxygen, relatively stable free radicals are still present. We believe it is a mixture of alkoxy radicals, including radical (b) in the proposed mechanism of Figure 4. After one week, the radical concentration had decreased below the effective detection limit of the spectrometer and all yields were approximately zero spins per gram. For the reactions that occur after the irradiation of PLA, the rate-determining step is the abstraction of hydrogen by alkoxy radicals. The decay fits neither first nor second order reaction kinetics due to complexity of the free radicals present.

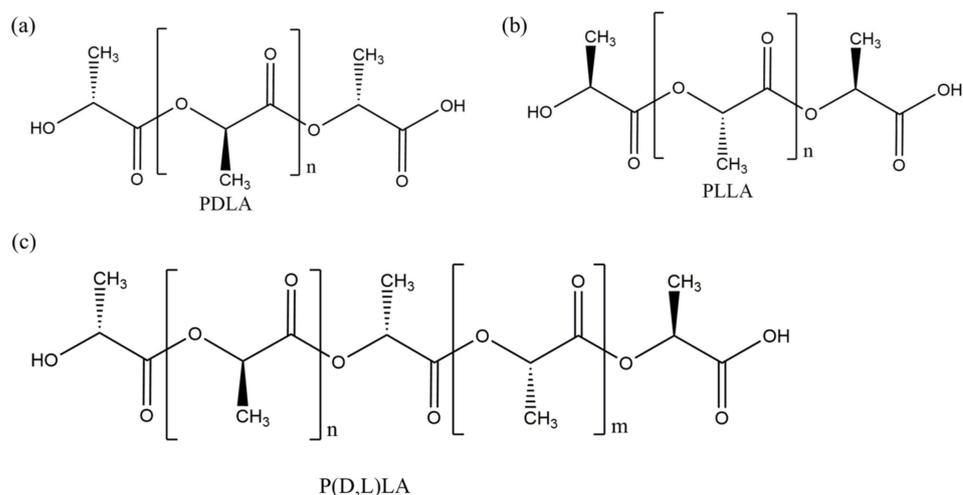


**Figure 3.** Time dependence radical concentration decay for PLA samples after irradiation at 5 kGy, 10 kGy, 15 kGy, and 20 kGy. The values at day 0 on the x-axis correspond to spectra that were taken a couple of hours after irradiation and kept in dry ice.



**Figure 4.** Proposed mechanism for chain scission of PLA after electron beam irradiation.

Figure 4 shows the overall proposed reaction mechanisms of the radiolysis of PLA in the absence of oxygen. The EPR results demonstrate the radiation-induced C-O-C bond scissions that lead to the formation of the oxidative alkoxy radicals and the reductive C-centered radicals. The alkoxy radicals abstract H atoms from the neighboring molecule, giving rise to the formation of more C-centered radicals. The carbon-centered radicals on nearby PLA chains are not expected to undergo crosslinking reactions (reaction pathway i) because of steric hindrance. After chain scission (reaction pathways ii and iii), the alkoxy radical abstracts a hydrogen from an adjacent polymer chain, giving rise to the formation of C-centered radicals. In addition, alkoxy radicals undergo  $\beta$ -fragmentation and 1,2-H-shift reactions [44]. Since oxygen is not present during the EPR measurements only, the peroxy radicals are not expected to be present, thus limiting the potential for chain scission [37]. In this investigation, dry ice was used to slow radical concentration decay kinetics until they could be characterized by EPR, and the inert atmosphere was used to prevent the formation of undesired radical species, such as the peroxy radical, that could impact the resultant PLA structure. As expected, the presence of the L and D stereoregular forms of PLA shown in Figure 5 did not impact the shape of the EPR spectra.



**Figure 5.** Stereoregular forms of PLA: (a) poly-D-lactic acid; (b) poly-L-lactic acid; (c) copolymer of P(D,L)LA with equal proportions L and D subunits.

### 3.2. Oxidation Index Based on FTIR Spectroscopy

The characteristic absorption bands of each PLA functional group were found, corresponding to what is expected in the literature [45–48]. As shown in Figure 6, every spectrum contains an absorption band just below 3000  $\text{cm}^{-1}$  corresponding to the C-H stretch and a weaker band at 1250  $\text{cm}^{-1}$  corresponding to C-H deformation. Spectra also contain an absorption band around 1750  $\text{cm}^{-1}$  for the C=O stretch, and weaker bands at 1380  $\text{cm}^{-1}$  and 770  $\text{cm}^{-1}$  correspond to the C=O bend. The absorption band near 1450  $\text{cm}^{-1}$  corresponds to the CH<sub>3</sub> bend, and the intense absorption bands in the 1180 and 1080  $\text{cm}^{-1}$  range are representative of the C-O stretch. The absorption band at 1040 corresponds to the OH bend. The absorption bands around 860  $\text{cm}^{-1}$  correspond to C-C stretches.

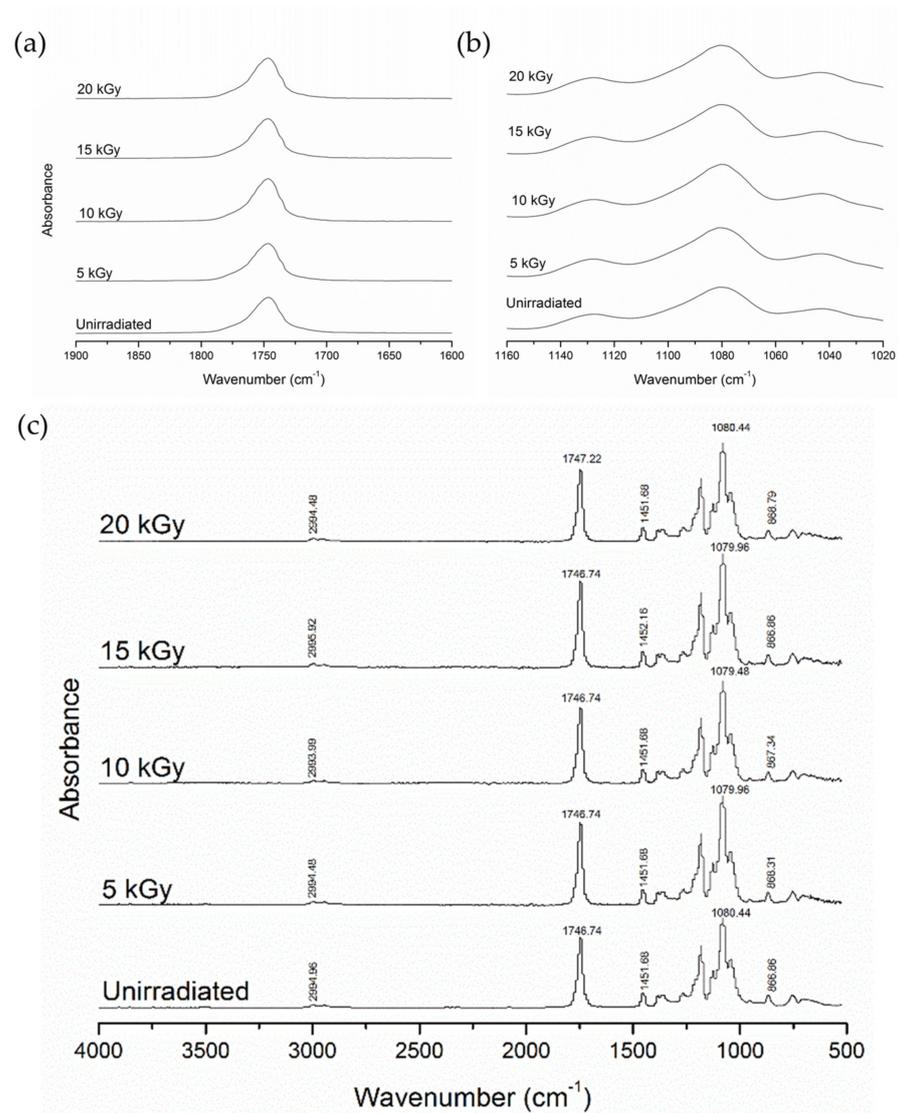
FTIR spectroscopy was used primarily to determine the oxidation index (OI) in the irradiated samples (Table 1). OIs which include the formation of aldehydes, ketones, and carboxylic groups were found to increase with irradiation for all doses. Although these samples were irradiated in the absence of oxygen, they were exposed to the air after irradiation. Therefore, it is expected that peroxidation of the free radicals will take place.

**Table 1.** The OIs of the C-O bond, using the peak at approximately 1100  $\text{cm}^{-1}$ , and the C=O bond, using the peak at approximately 1750  $\text{cm}^{-1}$ . Calculated using the CH<sub>3</sub> peak at approximately 1450  $\text{cm}^{-1}$  as a reference [49].

Sample	Oxidation Index of C-O	Oxidation Index of C=O
Unirradiated	6.27 ± 0.13	15.4 ± 0.31
5 kGy	6.64 ± 0.13	18.5 ± 0.37
10 kGy	7.57 ± 0.15	20.1 ± 0.40
15 kGy	6.97 ± 0.14	18.4 ± 0.37
20 kGy	7.24 ± 0.14	18.0 ± 0.37

### 3.3. DSC Results

The thermal behavior of the unirradiated and irradiated samples from the thermal analysis is given in Table 2. The glass transition of unirradiated samples was  $60.8 \pm 1.2$  °C on average. Therefore, the samples were in a glassy phase during irradiation. Since the total dose used in this study was comparatively low, as a result, the degradation was minimal and there was no effect on  $T_g$ .



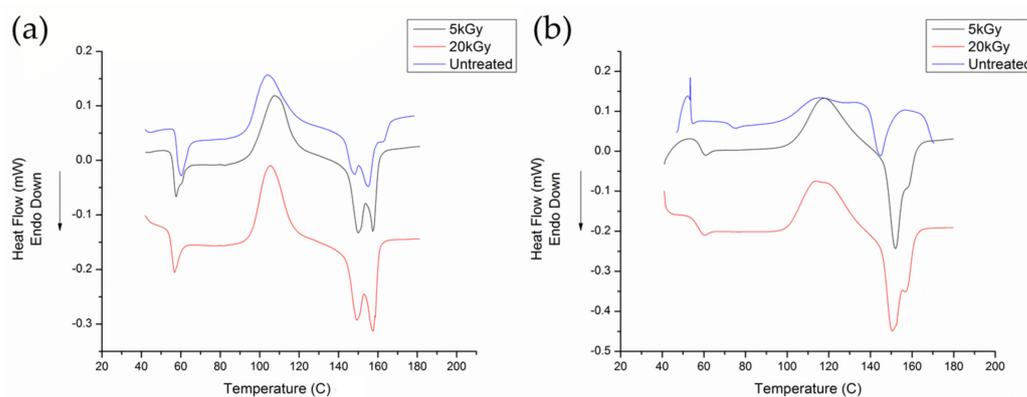
**Figure 6.** FTIR spectra from samples irradiated at 0, 5, 10, 15, and 20 kGy in the absence of oxygen, followed by exposure to air after irradiation. (a) Comparison of spectra within the range of the C=O peak; (b) comparison of spectra within the range of the C-O peak; (c) full spectra.

**Table 2.** Average values of key thermal properties and crystallinity changes as a function of irradiation dose, calculated using DSC spectra obtained from the second heating cycle.

Sample	Glass Transition (°C)	Softening Point (°C)	Percent Crystallinity (%)
Unirradiated	60.8 ± 1.2	153.3 ± 2.2	1.2 (negligible)
5 kGy	59.6 ± 0.7	152.3 ± 1.5	2.4 ± 0.12
20 kGy	59.5 ± 0.9	151.2 ± 0.8	7.3 ± 0.4

The cold crystallization and softening points were found to be on average  $122.1 \pm 1.7$  °C and  $153.3 \pm 2.2$  °C, respectively. The average transition temperatures for irradiated samples slightly decreased with increasing dose due to chain scissions resulting in shorter chains. A negligible ratio of crystallinity was found to be 1.2% on average in unirradiated samples. With increasing radiation dose, an increase in crystallinity was observed, with crystallinity of  $2.4 \pm 0.12\%$  at 5 kGy and  $7.3 \pm 0.4\%$  at 20 kGy. A larger increase in crystallinity was expected [35]. However, the steric hindrance on the backbone of the PLA chains impeded crystallization of these broken chains.

As shown in Figure 7, a pronounced double melting peak was observed in the first heating cycle, with the two peaks occurring at approximately 155 °C and 161 °C. The stereocomplex consisting of both PLLA and PDLA has a higher melting point than tactically pure PLA due to interlocking of oriented chains [50]. A double peak due to stereocomplexation would be found around 220 °C, much higher than the second peak we observed [50–52]. Therefore, it is more likely that the double peak is due to perfected spherulites. The melt-recrystallization model has been used to describe similar behavior in PLA and semicrystalline polymers in the literature [53,54]. Small imperfect crystalline spherulites realign and become more stable perfected spherulites, which creates an exothermic peak that divides the melting curve into two [53,55]. This process is dependent on heating rate, as recrystallization is a slow process. The shoulder behavior seen in our second heating curve agrees with the behavior seen at 10 °C as outlined by Yasuniwa et al. [53].



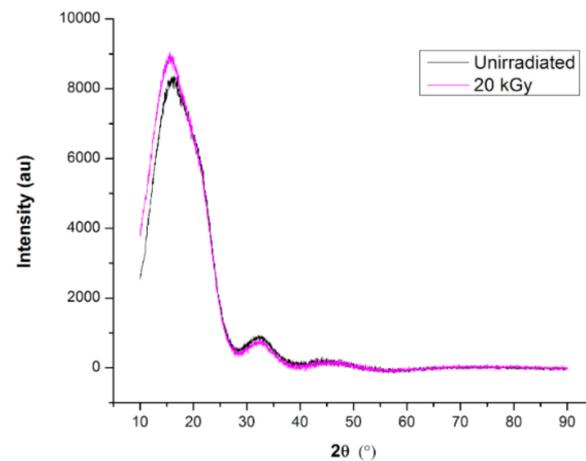
**Figure 7.** (a) Average cycle 1; (b) average cycle 2 for the unirradiated, 5 kGy, and 20 kGy samples.

### 3.4. Characterization with Optical Microscopy

To investigate the composition of the PLA samples, optical microscopy was used. Microscopy showed no optical activity when viewed with and without the polarized light. This indicates that the polymer is a racemic mixture of PDLA and PLLA because optical activity would be expected at other compositions. Optically active materials exhibit this property because they can rotate the plane of polarization due to differing amounts of enantiomers and their chirality; racemic mixtures of enantiomers are optically inactive because the equal and opposite rotations cancel out [28,56]. There were no changes in optical properties of irradiated samples.

### 3.5. XRD Analysis of Polymer Matrix Structure and Crystallinity

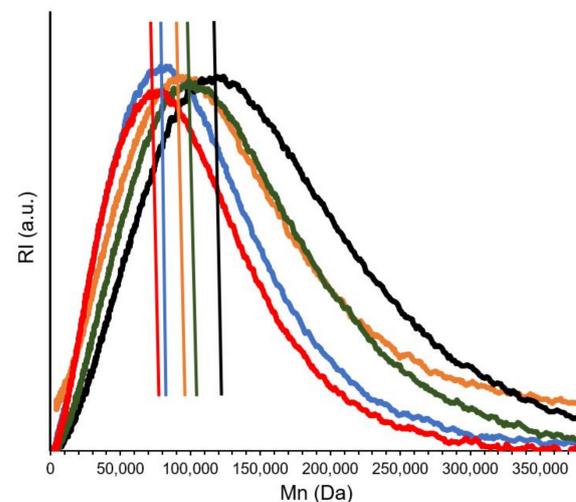
The diffractograms from both an unirradiated PLA sample and a 20 kGy irradiated PLA sample are shown in Figure 8. Both diffractograms demonstrate two wide peaks or halos: one from about 10° to 28° and the other from about 28° to 40°. Previous literature on the X-ray diffraction of highly amorphous PLA demonstrated that wide halos, such as the two observed in both samples measured, arise from diffraction patterns caused by the amorphous phase [57,58]. No sharp peaks corresponding to the presence of crystalline phase are observed, meaning that the PLA films, both before and after irradiation, are highly amorphous. However, there is a slight increase in the peak intensity of an initial wide amorphous halo from 10° to 28°. Previous X-ray diffraction studies of PLA indicate that an increase in peak intensity of this amorphous peak can be attributed to increases in crystallinity of the sample [59]. However, because this difference in peak intensity between samples is only on the order of 5%, more detailed characterization is necessary to ascertain more details about the crystallinity of the PLA.



**Figure 8.** Diffractograms for unirradiated and 20 kGy irradiated PLA.

### 3.6. GPC Results

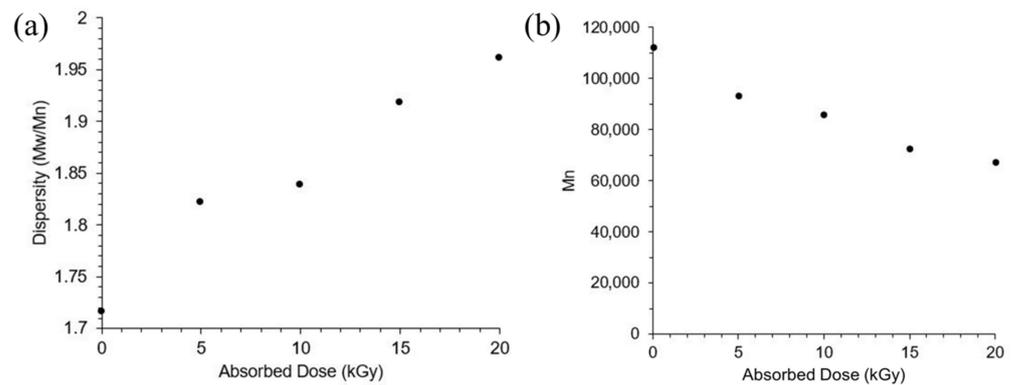
As shown by the molar mass distributions in Figure 9, there is no indication of an increase in molar mass with dose; thus, scission reactions are predominant under these conditions. Additionally, in Figure 10, we observed a loosely linear relationship between increasing dose (0–20 kGy) and a corresponding increase in the dispersity and decrease in  $M_n$  of the PLA films.



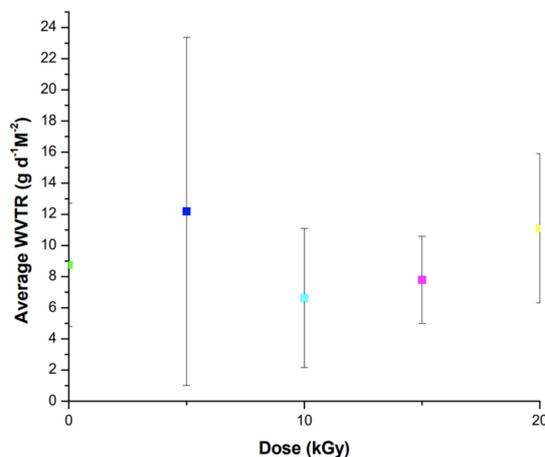
**Figure 9.** Number average molar mass distributions for the unirradiated sample (black) and samples irradiated at doses of 5 kGy (green), 10 kGy (orange), 15 kGy (blue), and 20 kGy (red). The lines represent the number average molar mass  $M_n$  value (center) of each trace at the peak of the distribution.

### 3.7. WVTR Calculation Results

For the WVTR analysis, three samples of each dose were weighed for six days. The WVTR was calculated using Equation (4), averaged, and plotted with the standard deviation shown in Figure 11. No significant trend was observed, as the standard deviation indicates high variability in the data. The thickness of the sample is higher than that of samples more commonly found in the literature while still meeting the requirements for the ASTM procedure [60–62].



**Figure 10.** (a) Polymer dispersity as a function of absorbed dose of 0, 5, 10, 15, and 20 kGy, where dispersity is the weight average over number average molar mass; (b) number average molar mass  $M_n$  versus absorbed dose of 0, 5, 10, 15, and 20 kGy.



**Figure 11.** Water vapor transmission rate of PLA at 5 different doses: 0 kGy (light green), 5 kGy (dark blue), 10 kGy (light blue), 15 kGy (pink), 20 kGy (yellow). Each point represents the average of samples measured at each dose.

Analysis of the data indicates that there is no notable effect of irradiation dosage on WVTR of PLA. Based on the EPR and GPC results, an increase in the WVTR was expected after irradiation of the PLA. It has been shown that chain scission increases the WVTR of the polymer as it reduces the pathway tortuosity, or the number of barriers that the water vapor molecules must overcome [63,64]. However, in this study PLA was irradiated only up to a dose of 20 kGy and this resulted in low chain scission yields which did not have an impact in the WVTR.

#### 4. Conclusions

Despite the fact that electron beam radiation provides a high dose rate and the absence of oxygen, the EPR and GPC results demonstrate that the predominant effect of radiation is the scission. The EPR results clearly show the formation of alkoxy and C-centered radicals upon irradiation. The GPC data confirm the EPR results that the predominant effect of radiation is the scission. Upon irradiation with 20 kGy,  $M_n$  and  $M_w$  of the unirradiated samples decreased from 126,200 Da and 201,400 Da to 74,200 Da and 141,000 Da, respectively. The abstraction of H-atoms by alkoxy radicals from neighboring molecules can also contribute to the formation of C-centered radicals. The GPC results also reveal the absence of higher-molecular-weight chains, which directly demonstrate the absence of crosslinking reactions of these C-centered radicals. This can be explained by the fact that these C-centered radicals react with the oxygen very rapidly since the irradiated samples

were exposed to air after irradiation. Due to the relatively low dose (maximum 20 kGy) and, consequently, the small induced scission yields, we have found that there is no change in  $T_g$ , only negligible (minor) changes in crystallinity, and no effect on water vapor transmission rate. Gel fraction measurements to measure crosslinking density were unsuccessful, as the gel point could not be reached. The absence of the formation of the three-dimensional gels supports the GPC findings. The presence of oxygen after irradiation can also contribute to the prevention of gel formation, since the reaction of C-centered radicals with oxygen compete to produce the corresponding peroxy radicals. Finally, the change in oxidation index is very low, which is a good indicator that the irradiated PLA within this range of doses undergoes minimal degradation.

The main objective of this study is to evaluate the viability of PLA as a biodegradable food packaging material. Our results show that the irradiation has no effect on the aforementioned physical properties of the PLA. Therefore, this small degradation will enhance the biodegradability of this polymer for food irradiation and sterilization applications.

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