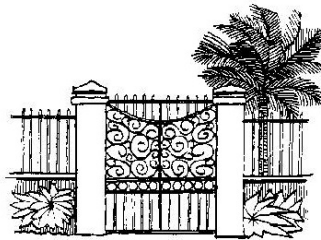


# The Charleston Conference Proceedings 2021



Edited by  
Beth Bernhardt  
Leah Hinds  
Lars Meyer



# **Charleston Conference<sup>TM</sup>**

**ISSUES IN BOOK AND SERIAL ACQUISITION**

# Charleston Conference Proceedings, 2021

*EDITED BY BETH BERNHARDT, LEAH  
HINDS, AND LARS MEYER*

AGAINST THE GRAIN MEDIA  
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# Making an (im)PACT on Scholarly Publication at UMD

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## Abstract

Researchers at the University of Maryland (UMD) provide cutting edge solutions to problems on a variety of topics. However, the current state of scholarly publishing has made it challenging to make that research accessible, and licensing resources where research is published has become increasingly complex. To address these issues, in spring 2020, the Publishing, Access, and Contract Terms (UMD PACT) working group was formed. A subgroup of the University Library Council (ULC) and sponsored jointly by the ULC, the Office of the Provost, Faculty Affairs, and the Division of Research, UMD PACT is a cross-divisional group charged with developing and enacting a new rights-retention, open-access licensing policy based on the Harvard University model to support and encourage sustainable open access of faculty research. This paper provides background on how and why PACT was formed, its membership and timeline, its work to craft and adopt licensing principles and a new framework for licensing of scholarly content for UMD researchers and communicate these changes with campus researchers. The speakers will offer a model for successful campus engagement. Model elements include strategies to engage librarians, collegiate faculty, governance bodies, and college- and university-level administrators; to develop a strategic

communications plan; and to implement policies and procedures that effectively support new campus-wide initiatives.

## About UMD PACT

(<https://pact.umd.edu/>)

UMD PACT (University of Maryland Publishing, Access, and Contract Terms) is a working group of the University Library Council (ULC), the governance body jointly charged by the University of Maryland's University Senate and the Senior Vice President and Provost with providing oversight of the University of Maryland Libraries. The ULC's role is advisory to the Dean of Libraries (<https://senate.umd.edu/counciltaskforces/librarycouncil>). UMD PACT is sponsored jointly by the ULC, the Office of the Provost, Faculty Affairs, and the Division of Research. UMD PACT was formed in Spring 2020 and has been working continuously since that time. Membership in UMD PACT includes faculty and administrators from across the University of Maryland, including several members from the faculty of the University of Maryland Libraries; see full list of members at: <https://pact.umd.edu/about/membership>.

UMD PACT is charged with advancing the movement for open research and scholarship. Representing the State of Maryland's flagship institution and one of the nation's preeminent public research universities, UMD PACT is committed to action that will make Maryland's research more visible, accessible, affordable, and transparent; see UMD PACT's charge at <https://pact.umd.edu/about>. UMD PACT's work focuses on several issues:

- Review current and changing the University of Maryland's scholarly publishing practices, licensing terms, and contracts.
- Consult with faculty and administrators via focus group interviews, surveys, and other means to gather input and

feedback about scholarly communication issues, ideas, and challenges.

- Consider how the Senate, Academic Affairs, Faculty Affairs, and the Libraries can lead and collaborate with others, including the University System of Maryland (USM), the Big Ten Academic Alliance (BTAA), and other consortia, to improve equitable access to the University of Maryland's research and scholarship.
- Recommend a new framework for licensing of scholarly content and the means by which more equitable access to the University of Maryland's scholarly publishing and data can be achieved.

## UMD PACT Timeline and Activities

UMD PACT has been active since early 2020 in working toward its goal of advancing open scholarship at the University of Maryland. The group has consulted with external experts as well as the University of Maryland's Office of General Counsel, reviewed the professional literature, benchmarked against peer institutions, educated and updated its sponsors on key issues, conferred with and sought feedback from internal stakeholders, created recommendations, authored public statements, advocated for open and sustainable scholarship, and drafted policy for legislative approval by the University Senate. UMD PACT's activities have followed several phases as outlined below:

- Phase 1 (March 2020 through Fall 2020): Review and consultation

o Met with external consultants from consortia, publishers, and other libraries: see list of consulting sessions at <https://pact.umd.edu/taxonomy/term/8>

- o Website launched—<https://pact.umd.edu/>
  - o Overviews of main issues to educate internal stakeholders:
    - licensing principles and terms
    - major collections expenditures
    - scholarly publishing models and UMD Libraries' related services
    - Phase 2: Winter 2021: Information gathering
  - o Library members of the group compile the data and information gathered during Phase 2 and prepare for Phase 3
    - Phase 3: Spring 2021 through December 2021: Discuss issues and devise recommendations; draft report and get feedback
  - o Drafted scholarly publishing principles based on work of MIT at <https://libraries.mit.edu/scholarly/publishing/framework/>
    - o Adopted core licensing principles: <https://pact.umd.edu/key-issues/licensing-principles>
    - o Drafted “Equitable Access to Scholarly Articles Authored by University Faculty” policy; reviewed by Office of General Counsel
    - o FAQ (Portions adapted with permission from the Harvard University FAQ on OA Policies): <https://pact.umd.edu/key-issues/equitable-access-policy-faq>
    - o Continue meetings with academic colleges and departments
    - o Bring policy to University Senate for deliberation and approval
- On November 10, 2021, members of UMD PACT, including the Dean of Libraries and the Chair of the ULC, delivered a special-order presentation to the University Senate about its work. The presentation included a discussion of the draft “Policy on Equitable Access to Scholarly Articles” ([www.senate.umd.edu/system/files/resources/meetings/materials/2021to2022/111021/UMD\\_PACT\\_Draft\\_Policy\\_Equitable\\_Access\\_to\\_Scholarly\\_Articles.pdf](http://www.senate.umd.edu/system/files/resources/meetings/materials/2021to2022/111021/UMD_PACT_Draft_Policy_Equitable_Access_to_Scholarly_Articles.pdf)). UMD PACT has continued to meet with academic



departments and programs to discuss the policy. The group expects the University Senate to vote on the policy sometime in 2022.

## UMD PACT Campus Engagement Model

In order to advance its work, UMD PACT has developed a robust program of campus engagement. This model consists of four components:

- Stakeholders
- Issues
- Methods
- Outcomes

Key stakeholders include:

- University of Maryland administrators: Provost and Vice President for Research, Deans of academic colleges, chairs and directors of academic departments, programs, institutes, and centers.
- Governance: ULC, an official council of the University Senate, and the Library Assembly, the University of Maryland Libraries' official governance body.
- Faculty: faculty of all types and ranks across the University of Maryland, including Libraries faculty.
- Students: undergraduate, graduate, and other students of the University of Maryland, including the Libraries' Student Advisory Group: [www.lib.umd.edu/about/deans-office/student-advisory-group](http://www.lib.umd.edu/about/deans-office/student-advisory-group).
- Libraries: faculty, staff, and administrators in the University of Maryland Libraries

Issues within UMD PACT's charge include:

- Licensing: fighting for affordable and fair licensing of content.
- Authors' rights: educating and advocating for the rights of University of Maryland authors to retain copyright, use, reuse, and disseminate their own research.
- Open-Access models: analyzing, assessing, and educating the University of Maryland on Open-Access models.
- Costs: advocating for fair and sustainable costs for access to content, article processing fees, and other expenditures.
- Funding: addressing how the University of Maryland Libraries allocate funds to support the curriculum and research enterprise of the University.

Methods employed by UMD PACT to promote its work include:

- Website: UMD PACT's online web presence.
- Meetings: UMD PACT meets as a group regularly, as well as conducting meetings with various groups of stakeholders. UMD PACT's work also appears as a regular item on the ULC's agenda.
- Email: the main venue for internal communication.
- News outlets: items posted to the University's and Libraries' news outlets.
- Social media: posting to the University's and Libraries' various social media platforms; UMD PACT's work has also appeared on various institutional and personal Twitter, Facebook, Reddit, and other accounts.

Outcomes of UMD PACT's efforts include:

- Informed partners: both internal stakeholders and external partners, including vendors and consortia, understand our expectations.
- Advocacy support: develop a strong group of advocates for the proposed policy, and for open and equitable scholarship in general, among the students, faculty, staff, and administration

of the University of Maryland.

- Policy change: UMD PACT's goal is for the policy to come to the University Senate for a formal vote in 2022.