Acquiring Content: Adding ETDs to Your Digital Repository

Terry M. Owen DRUM Coordinator University of Maryland Libraries

> ALA Annual 30 June 2008



DRUM Background

- Initial proposal to Provost May 2003
- Mission: store, index, distribute, and preserve the research works of UM faculty
- Developed using DSpace
 - open source
 - active user community
 - out-of-the-box implementation
- Launched in August 2004
 - 1100 documents
 - 7900+ documents as of June 2008



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DRUM

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Digital Repository at the University of Maryland

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- Communities & Collections
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- By Date

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- About DRUM
- About Download Statistics

Welcome to the repository for University of Maryland research.

Any UM Faculty member can make digital works permanently accessible and available across the Internet with DRUM. Find out more about depositing your work.

Learn more:

- About DRUM
- About Institutional Repositories

The following communities of digital works are available:

Collections Organized by Department

A. James Clark School of Engineering

College of Agriculture & Natural Resources

College of Architecture, Planning, & Preservation

College of Arts & Humanities

College of Behavioral & Social Sciences

College of Chemical & Life Sciences

College of Computer, Mathematical & Physical Sciences

College of Education

College of Information Studies

Philip Merrill College of Journalism

Robert H. Smith School of Business

School of Public Health

School of Public Policy

University Libraries (faculty)

NIH Public Access Policy

In early January 2008, the National Institutes of Health (NIH) announced a revision to its Public Access Policy that ensures that published results of NIH-funded research are publicly available.

Effective April 7, 2008, all peer-reviewed articles arising from NIH funds are required to be submitted to PubMed Central within 12 months of publication. Please visit the <u>NIH Public Access</u> <u>site</u> for further information.

Thesis/Dissertation Deposit Timeline

New submissions to the thesis/dissertation collections are added automatically as they are received from the Graduate School. Currently, the Graduate School deposits all theses and dissertations from a given semester after the official graduation date. This means that there may be up to a 4 month delay in the appearance of a given thesis/dissertation in DRUM.

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delay in the appearance of a given thesis/dissertation in DRUM.

College of Arts & Humanities

College of Behavioral & Social Sciences

College of Chemical & Life Sciences

College of Computer, Mathematical & Physical Sciences

College of Education

College of Information Studies

Philip Merrill College of Journalism

Robert H. Smith School of Business

School of Public Health

School of Public Policy

University Libraries (faculty)

UM Community-managed Collections

Center for Food, Nutrition, and Agriculture Policy

Center for Advanced Study of Language

Center for International and Security Studies at Maryland

Institute for Systems Research

Tech Reports in Computer Science and Engineering

Theses and Dissertations from UM

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name to view that community or collection home page. Collections Organized by Department

• A. James Clark School of Engineering

- Aerospace Engineering
 - Aerospace Engineering Research Works
 - Aerospace Engineering Theses and Dissertations

O Chemical & Biomolecular Engineering

- Chemical and Biomolecular Engineering Research Works
- Chemical and Biomolecular Engineering Theses and Dissertations

Shown below is a list of communities and the collections and sub-communities within them. Click on a

O Civil & Environmental Engineering

- Civil & Environmental Engineering Research Works
- Civil & Environmental Engineering Theses and Dissertations

• Electrical & Computer Engineering

- Electrical & Computer Engineering Research Works
- Electrical & Computer Engineering Theses and Dissertations

• Fire Protection Engineering

- Fire Protection Engineering Research Works
- Fire Protection Engineering Theses and Dissertations

• Fischell Department of Bioengineering

- Fischell Department of Bioengineering Research Works
- Fischell Department of Bioengineering Theses and Dissertations

O Materials Science & Engineering

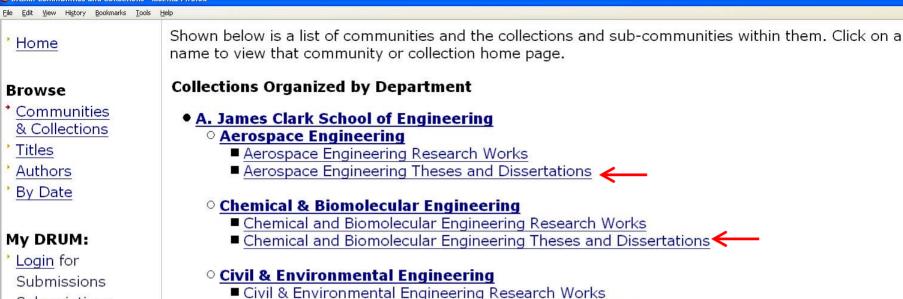
- Materials Science & Engineering Research Works
- Materials Science & Engineering Theses and Dissertations

O Mechanical Engineering

- Mechanical Engineering Research Works
- Mechanical Engineering Theses and Dissertations







Civil & Environmental Engineering Theses and Dissertations

Computer Engineering

- Electrical & Computer Engineering Research Works
- Electrical & Computer Engineering Theses and Dissertations

• Fire Protection Engineering

- Fire Protection Engineering Research Works
- Fire Protection Engineering Theses and Dissertations

O Fischell Department of Bioengineering

- Fischell Department of Bioengineering Research Works
- Fischell Department of Bioengineering Theses and Dissertations

O Materials Science & Engineering

- Materials Science & Engineering Research Works
- Materials Science & Engineering Theses and Dissertations

O Mechanical Engineering

- Mechanical Engineering Research Works
- Mechanical Engineering Theses and Dissertations

Subscriptions

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DRUM Content

4046	Theses & Dissertations
3487	Technical Reports
400	Faculty Contributions
7933	TOTAL (as of June 2008)



ETD Stakeholders

- Students
- Faculty Advisors
- Graduate School
- Library
- IT Department



ETD Software Options

Commercial

- ProQuest / BEPRESS
- Open Source
 - ETD-db (Virginia Tech & NDLTD)

NDLTD

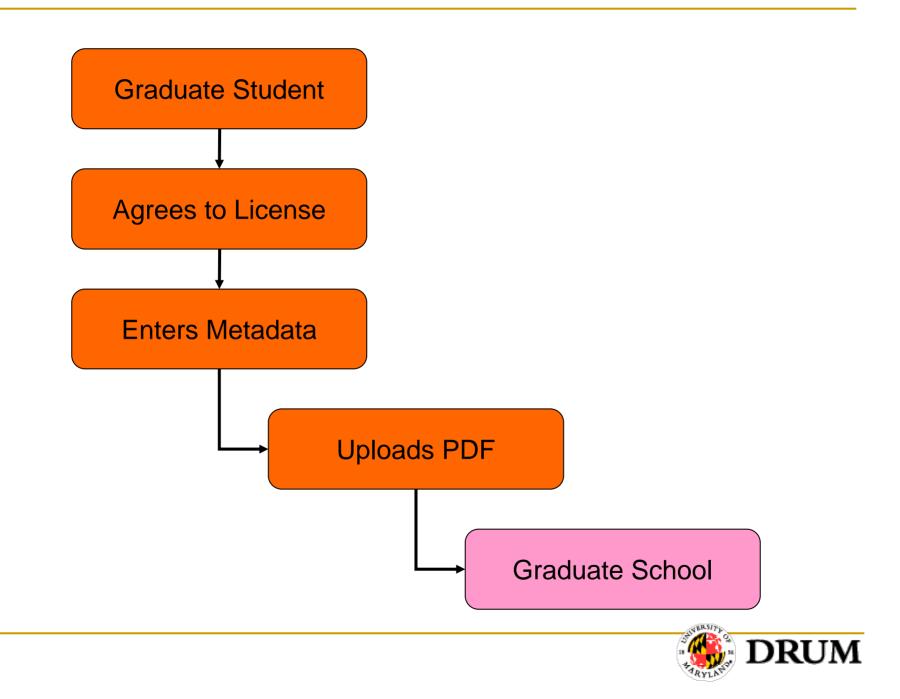
- Networked Digital Library of Theses and Dissertations
- http://www.ndltd.org/

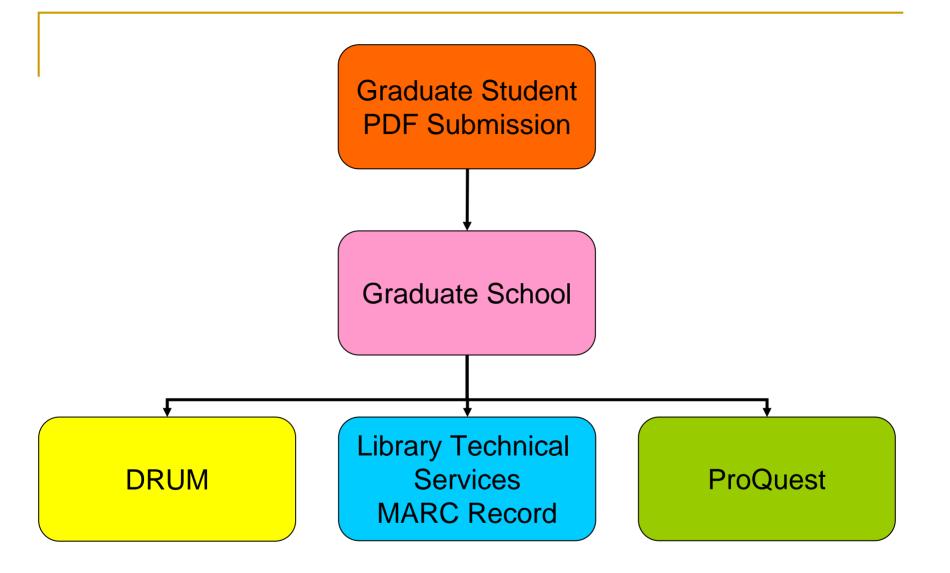


ETD Benefits

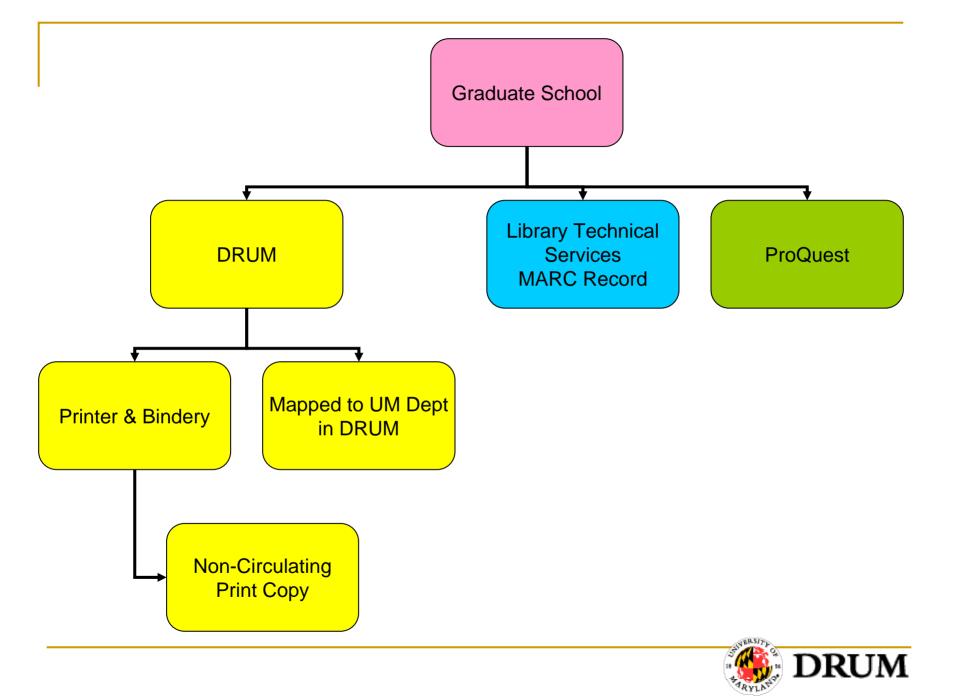
- 1) Research can be found, read, and used by a global audience
- 2) Greatly increases the chances of the research being cited
- 3) Lower printing and copying costs
- 4) Allows students to interact more efficiently with faculty
- 5) Students can be more creative
- 6) Easy to deposit works along with associated content
- 7) Educates students on electronic publishing
- 8) Showcases an institution's research

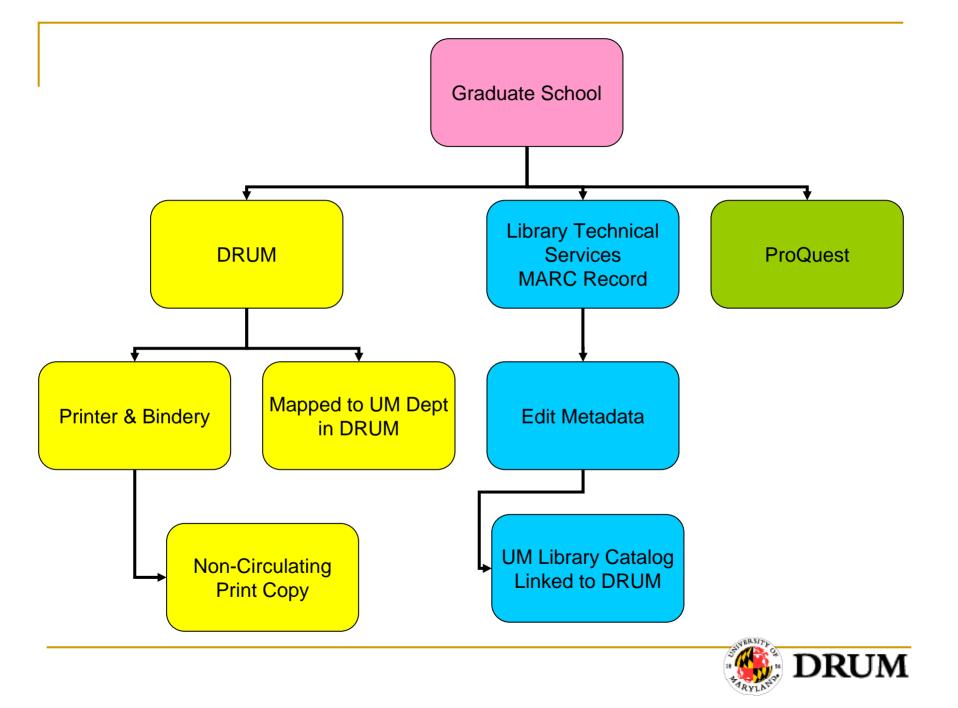












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author Adams, Heather L. published 2006 format Theses/Dissertations Book

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author Abdallah, Mohamed Mahmoud.

published 2006

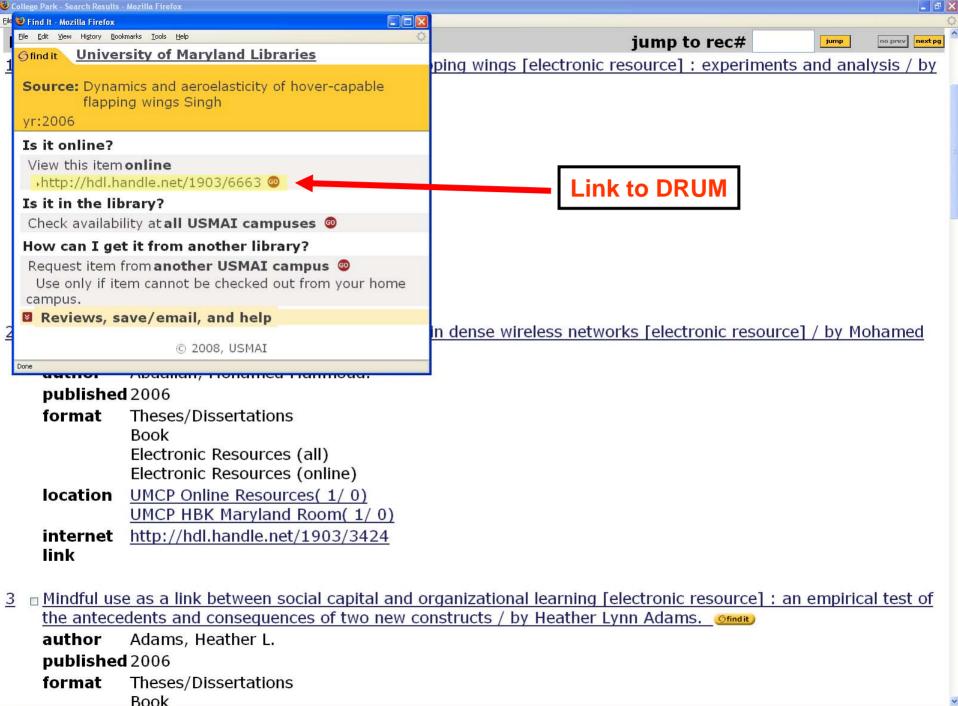
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 Adams, Heather L.

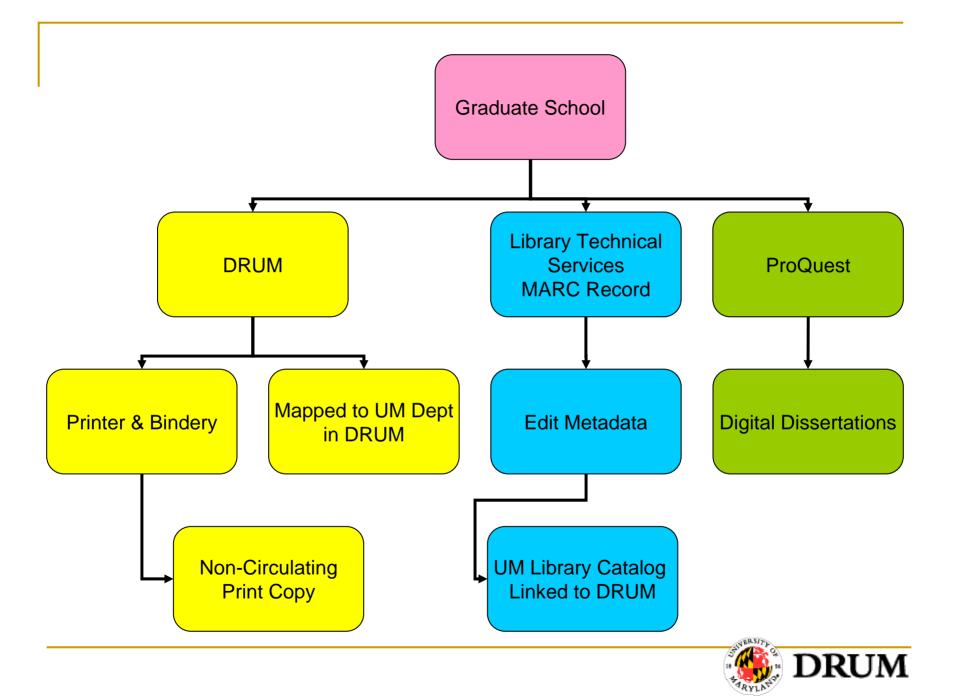
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Submissions		Leadership, Safety, Climate, Military, Accidents, Behavior					
Subscriptions	Issue Date:	25-Apr-2007					
Profile	Abstract:	Preventable accidents and mishaps continue to degrade the readiness of U.S. military forces. In 2006, the National Safety					
		Council reported an annual rate of over 30 accidental fatalities per 100,000 Department of Defense members and					
A United		estimated that preventable injuries and illnesses cost the department approximately \$21 billion per year. Reducing these occurrences was the policy mandate of the Secretary of Defense in 2003. He challenged the military service secretaries to					
• <u>Help</u>		reduce their mishap rates by 50 percent over a two-year period ending September 30, 2005. While each of the military					
About DRUM		services formulated its own compliance strategy, none of them met the reduction goal. In some cases, the mishap rate					
About Download		actually increased. The purpose of this dissertation is to evaluate the Department of the Navy's (DON) policy compliance	1				
Statistics		strategy and to assess its shortcomings and areas for future improvements. The Navy focused their efforts on					
		leadership-intervention best practices designed to el					
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ETD Concerns

- Will journal publishers still accept my article if it is available electronically?
- What if I want to submit a patent based on my research?
- What if I want to write a book related to my thesis of dissertation?
- Won't it be easier for someone to plagiarize my research if it is freely available online?



UM ETD Embargo Options

- Restrict access for one year
- Restrict access for six years
- Restrict access indefinitely
 - Requires written approval by the Dean of the Graduate School
- Non-circulating copy still available in the library



Why Embargo?

- For 1-year embargoes
 - Seek patent protection for material in the thesis or dissertation
 - Publish in a journal that has restrictions for depositing in an open access repository
- For 6-year embargoes
 - Publish a book based on your dissertation



DSpace Embargo Options

- 1) Withhold entire record and PDF from the digital repository
- 2) Create "open" and "closed" collections



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or		browse	Titles	Authors	By	Date		

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Collections in this community

- UM Theses and Dissertations (CLOSED)
- UM Theses and Dissertations (OPEN)

Recent Submissions

The effects of phonological neighborhoods on spoken word recognition in Mandarin Chinese

Molecular phylogenetic analysis of the hawkmoths (Lepidoptera: Bombycoidea: Sphingidae) and the evolution of the sphinaid proboscis

Norie (Game)

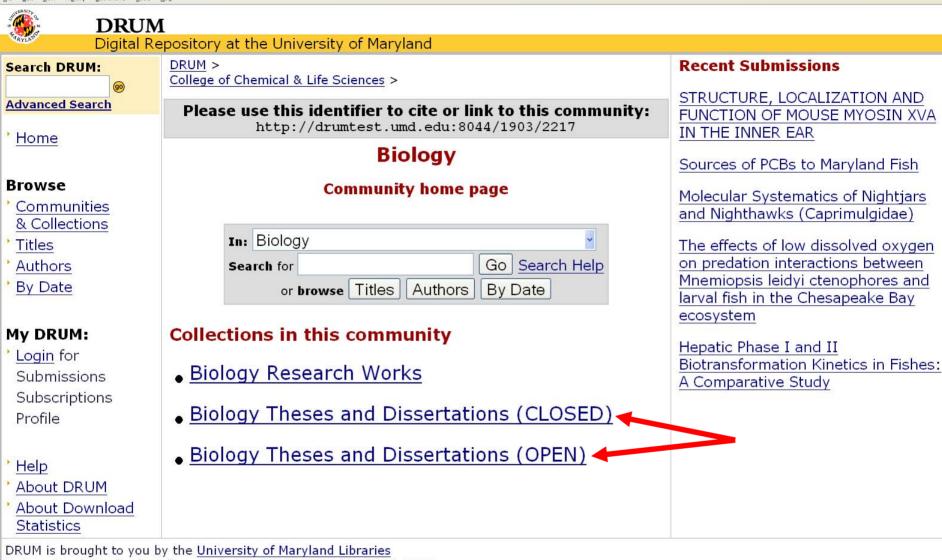
Modeling Multi-Band Effects of Hot-Electron Transport in Simulation of Small Silicon Devices by a Deterministic Solution of the Boltzmann Transport Equation Using Spherical Harmonic Expansion

STRUCTURE, LOCALIZATION AND FUNCTION OF MOUSE MYOSIN XVA IN THE INNER EAR

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Subscriptions		Abstract:	An open and foam	-filled mi	crogap cool	er, providing dire	ct liquid cooling for a
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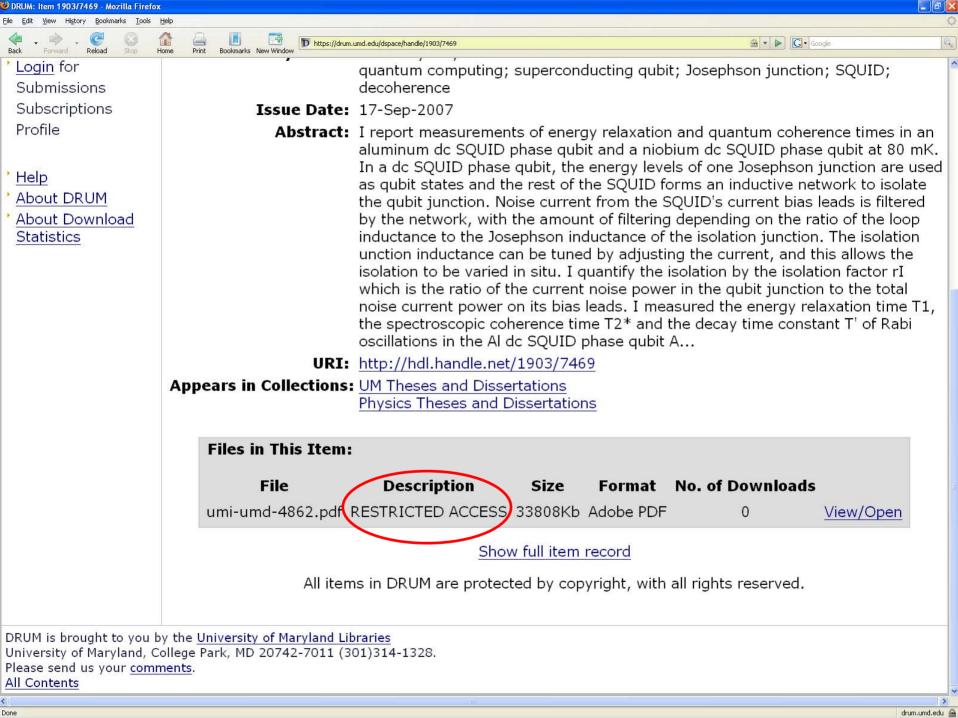
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Help

Embargo Process

- Form submitted to Grad School
- Four options
 - allow immediate access
 - 1-year embargo
 - 6-year embargo
 - indefinite embargo
- Must be signed by faculty advisor
- Supplemented by 2page info sheet

Student Information First Name Last Name Middle Degree Program Graduation Date Street Address State Zip Daytime Phone Number Email Address Zip Distribution Information Please check only one: Number Site Zip I requests for withholding (embargo) of your thesis or dissertation from DRUM require approvide a list of the Graduate School. I authorize access to my work through DRUM immediately. I lintend to submit my research for book publication Therefore, I request the University of Maryla to dissertation from DRUM for 5 years. (Please provide a list of the ublisher(s) to whom you intend to submit the work for consideration) I request the University of Maryland to disallow DRUM circulation of my thesis or dissertation indefinitely. (Requires written petition describing the extenuating circumstances that warant extende embargo. If grante	THE GRADUATE SCH THE UNIVERSITY OF MARYLAND COLI			s and Dissertation ic Distribution Form
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	Degrees Awarded	1-year	6-year	Total	Percent
Fall 2006	266	47	25	72	27%
Spring 2007	398	79	47	126	32%
Summer 2007	293	55	26	81	28%
Fall 2007	300	71	33	104	35%
Spring 2008	266	51	40	91	34%
TOTALS	1523	303	171	474	31%



Embargoes by College

	Degrees	1-yr	6-yr	Total	Percent
Engineering	389	85	27	(112)	29%
Ag & Natural Res	61	14	14	28	46%
Architecture	44	1	3	4	9%
Arts & Humanities	214	20	63	83	39%
Behavioral & Soc Sci	179	24	16	40	22%
Chem & Life Sci	163	74	12	86	53%
Comp, Math & Phy Sci	208	41	7	48	23%
Education	162	30	15	45	28%
Journalism	11	0	2	2	18%
Business	27	8	6	14	52%
Public Health	47	4	5	9	19%
Public Policy	14	2	1	3	21%



ILL Requests

- Embargoed ETDs available via ILL
- But **NOT** the electronic version
- Developed new process to print and mail documents
- 45 requests since Sept 2007

FUTURE:

- ILL electronic copy
- Allow campus access to embargoed ETDs



Special Cases – Copyrighted Works

- Works of visual or theatrical art, dance or music performances
- Art or architectural images
- Complete document must be submitted in print or on CD / DVD
- Redacted version submitted electronically for inclusion in DRUM
- Student adds "disclaimer" to front matter
- Note added to DRUM record that the complete version is available in the library



In Summary...

- ETDs require regular attention
- Build a good relationship with the Graduate School
- Important to educate faculty advisors and students about open access issues
- Be prepared to implement embargoes
- Link ETDs to library catalog
- Have plans in place for special cases (copyrighted works)
- Efficient and capable IT department





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