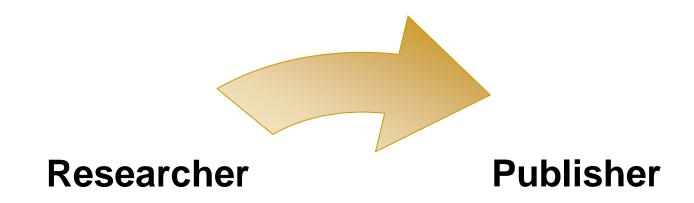
# Digital Repositories

Terry M. Owen
DRUM Coordinator
6 December 2007









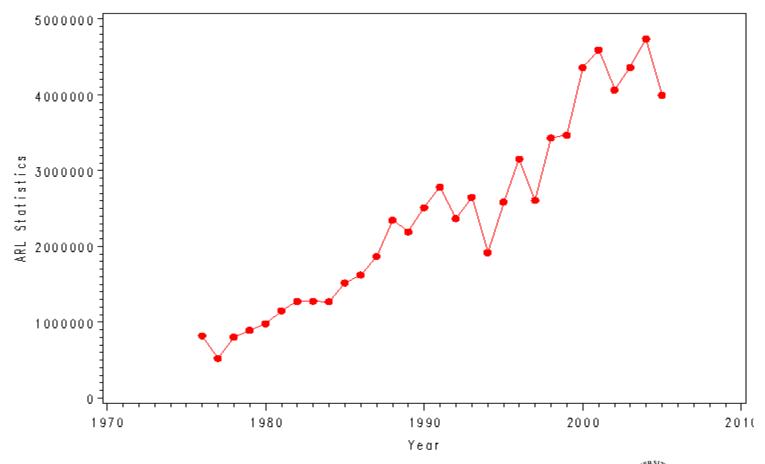
# **Scholarly Communication Trends**

- Journal titles proliferating
- Journal prices increasing
- Library budget cuts





# UM Serials Expenditures





## **UM Serials Purchased**





# Periodicals Price Survey

- Increase for 2007 was just below 8% on average per title
- Highest average cost per title in 2007
  - Chemistry: \$3,429
- Lowest average cost per title in 2007
  - Art and Architecture: \$198
- Greatest price increase from 2003 to 2007
  - Library & Information Science: 57%
- Greatest price increase in 2007
  - Library & Information Science: 14%



# Open Access Developments

- Budapest Open Access Initiative
- Proliferation of Open Access journals
  - Public Library of Science
- Directory of Open Access Journals
  - □ 2,900+ titles
  - 25% increase over last year
  - 200+ tracked by ISI for impact factor
- Development of Open Access policies
- Institutional repositories



# What is an Institutional Repository?

### SPARC definition:

"A digital collection capturing and preserving the intellectual output of a single or multi-university community."

Crow, Raym. "The Case for Institutional Repositories: A SPARC Position Paper."

ARL Bimonthly Report 223 (August 2002).



# arXiv.org

- Physics e-prints/preprints
- Developed at Los Alamos National Laboratory
- Resides at Cornell University
- Expanded to include computer science and mathematics
- Free, publicly available journal article collections

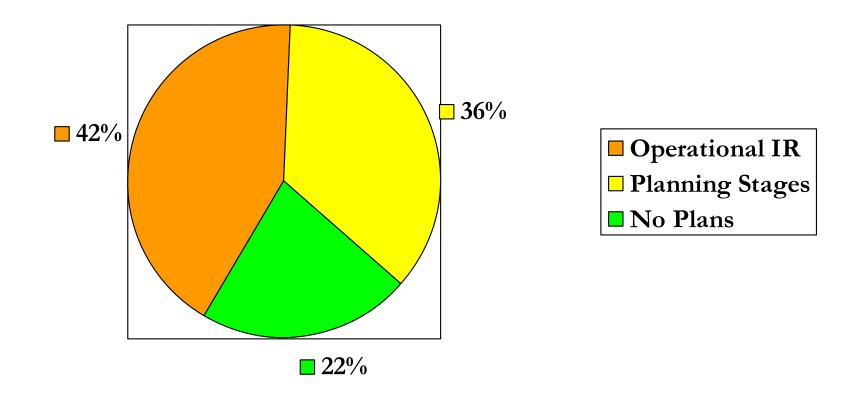


# DSpace at MIT

- MIT institutional repository developed in 2002
- Developed DSpace software in cooperation with HP
- DSpace open source software
- More than 250 institutions currently using DSpace



## **ARL Statistics**





# Top Reasons for Starting an IR

- Increase global visibility of, preserve, and provide free access to the institution's scholarship
- Desire to collect and organize the institution's scholarship in a single system
- Responding to requests from faculty and students
- Change scholarly communication by demonstrating alternative mechanisms



# DRUM Background

- Initial proposal to Provost from ULC May 2003
- Mission: store, index, distribute, and preserve the research works of UM faculty
- Developed using DSpace
  - open source
  - active user community
  - out-of-the-box implementation
- Launched in August 2004
  - 1,100 documents
  - 7,150+ documents as of November 2007



# **DRUM Content**

3476	Theses & Dissertations
3354	Computer Science Technical Reports
325	Faculty Contributions
7155	TOTAL





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- About DRUM
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College of Agriculture & Natural Resources

College of Behavioral & Social Sciences

College of Chemical & Life Sciences

College of Information Studies

Philip Merrill College of Journalism

Robert H. Smith School of Business

Theses and Dissertations from UM

College of Architecture, Planning, & Preservation

College of Computer, Mathematical & Physical Sciences

A. James Clark School of Engineering

College of Arts & Humanities

College of Education

School of Public Health School of Public Policy

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Senators Cornyn (R-TX), Lieberman (D-CT) and

Sessions (R-AL) have introduced legislation that

would require federal agencies with research

available online within 6 months of publication.

made available via a stable, open access digital

repository like PubMed Central or DRUM. Read

Resources web site. The text and current status

of the bill can be accessed through THOMAS at

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In addition, read the Memo to Campus Faculty

policy and outlining what funded researchers can

from Dean Charles Lowry discussing the new

Research results would need to be preserved and

portfolios of \$100 million or more to make

resulting peer-reviewed articles publicly

more about this legislation on the SPARC

S.2695. Watch this space for further

Answers to Common Questions page.

do to prepare their works for deposit.

Thesis/Dissertation Deposit Timeline

New submissions to the thesis/dissertation

the Graduate School deposits all theses and dissertations from a given semester after the

of a given thesis/dissertation in DRUM.

official graduation date. This means that there may be up to a 4 month delay in the appearance

collections are added automatically as they are received from the Graduate School, Currently,

NIH Public Access Policy

developments!

University Libraries (faculty) UM Community-managed Collections Center for Food, Nutrition, and Agriculture Policy Institute for Systems Research Tech Reports in Computer Science and Engineering



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UM Community-managed Collections

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Institute for Systems Research

Tech Reports in Computer Science and Engineering

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Theses and Dissertations from UM

### Welcome to the repository for University of Maryland

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#### NIH Public Access Policy

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Communities

& Collections

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- A. James Clark School of Engineering Aerospace Engineering
  - Aerospace Engineering Research Works
  - Aerospace Engineering Theses and Dissertations

name to view that community or collection home page.

- Chemical & Biomolecular Engineering
  - Chemical and Biomolecular Engineering Research Works

Chemical and Biomolecular Engineering Theses and Dissertations

- Civil & Environmental Engineering ■ Civil & Environmental Engineering Research Works
  - Civil & Environmental Engineering Theses and Dissertations
- Electrical & Computer Engineering
  - Electrical & Computer Engineering Research Works
  - Electrical & Computer Engineering Theses and Dissertations
- Fire Protection Engineering
- Fire Protection Engineering Research Works
  - Fire Protection Engineering Theses and Dissertations
- Fischell Department of Bioengineering
  - Fischell Department of Bioengineering Research Works
  - Fischell Department of Bioengineering Theses and Dissertations
- Materials Science & Engineering
  - Materials Science & Engineering Research Works
  - Materials Science & Engineering Theses and Dissertations
- Mechanical Engineering
  - Mechanical Engineering Research Works
  - Mechanical Engineering Theses and Dissertations

### <sup>1</sup> Home

& Collections

Shown below is a list of communities and the collections and sub-communities within them. Click on a name to view that community or collection home page. Collections Organized by Department

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  - Aerospace Engineering Theses and Dissertations

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- Chemical and Biomolecular Engineering Theses and Dissertations

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  - Fire Protection Engineering Theses and Dissertations

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### Materials Science & Engineering

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### Mechanical Engineering

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- Chemical and Biomolecular Engineering Theses and Dissertations

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- Electrical & Computer Engineering

- Electrical & Computer Engineering Research Works
- Electrical & Computer Engineering Theses and Dissertations
- Fire Protection Engineering

- Fire Protection Engineering Research Works
- Fire Protection Engineering Theses and Dissertations

#### Fischell Department of Bioengineering

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- Fischell Department of Bioengineering Theses and Dissertations

### Materials Science & Engineering

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- Materials Science & Engineering Theses and Dissertations

### Mechanical Engineering



- Mechanical Engineering Theses and Dissertations

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College of Chemical & Life Sciences

College of Computer, Mathematical & Physical Sciences

#### Federal Research Public Access Act of 2006

Senators Cornyn (R-TX), Lieberman

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THOMAS at S.2695. Watch this

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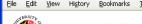
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## **DRUM Policies**

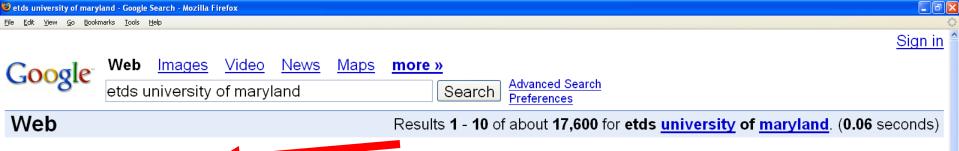
- Depositor must be a UM faculty member
- Depositor must have the ability to assign needed rights to UM
- Deposits must be substantive works of scholarship
- Deposits must be complete and able to stand alone as a work or collection (no notes, etc.)
- No restrictions on file formats



## How Does DRUM Work?

- UM faculty members deposit their work in DRUM
- Paper is uploaded and bibliographic record is created
- Full text of the submitted work is indexed via Google and other search engines





### DRUM: Item 1903/3996

Title:, **ETDs** and Digital Repositories--a Disciplinary Challenge to Open Access? ... DRUM is brought to you by the **University of Maryland** Libraries ... https://drum.umd.edu/dspace/handle/1903/3996 - 11k - Cached - Similar pages

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subject, **University of Maryland**, en. subject, Libraries, en. subject, Library, en. subject, Institutional Repository, en. title, **ETDs** and Digital ... https://drum.umd.edu/dspace/handle/1903/3996?mode=full - 14k - <u>Cached</u> - <u>Similar pages</u>

#### directory of ETDs currently in progress

Directory of **ETDs** Currently in Progress. All of the works listed below exist ... **University of Maryland** at College Park (Ph.D. dissertation). Salo, Merja. ... etext.virginia.edu/ETD/directory/browse.html - 12k - Cached - Similar pages

#### Charles B. Lowry - Editorial: ETDs and Digital Repositories--a ...

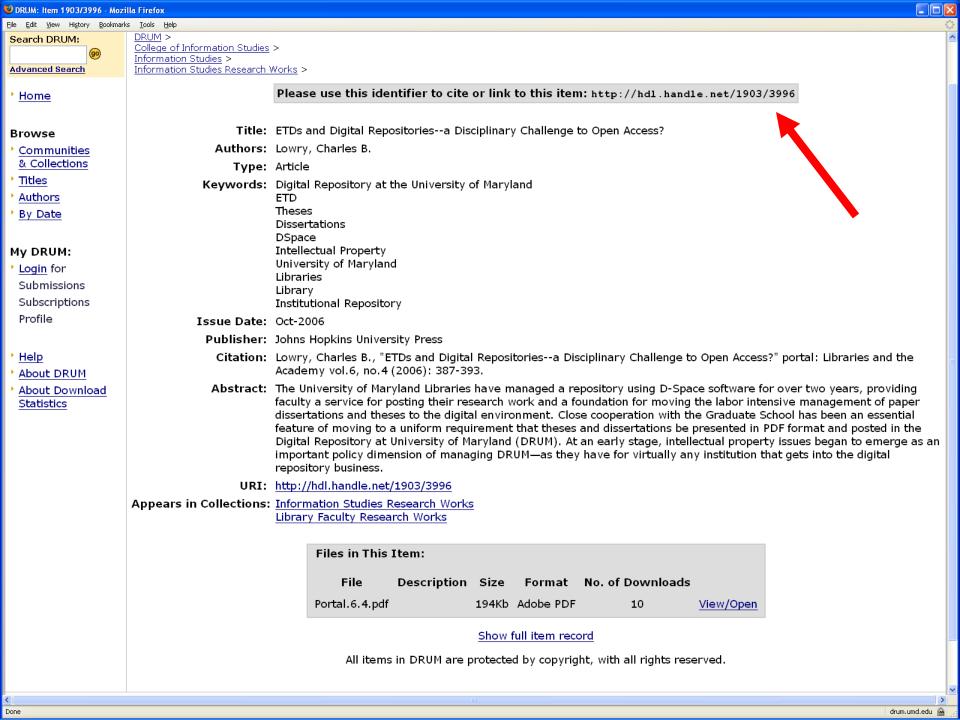
ETDs and Digital Repositories—a Disciplinary Challenge to Open Access? Charles B. Lowry.

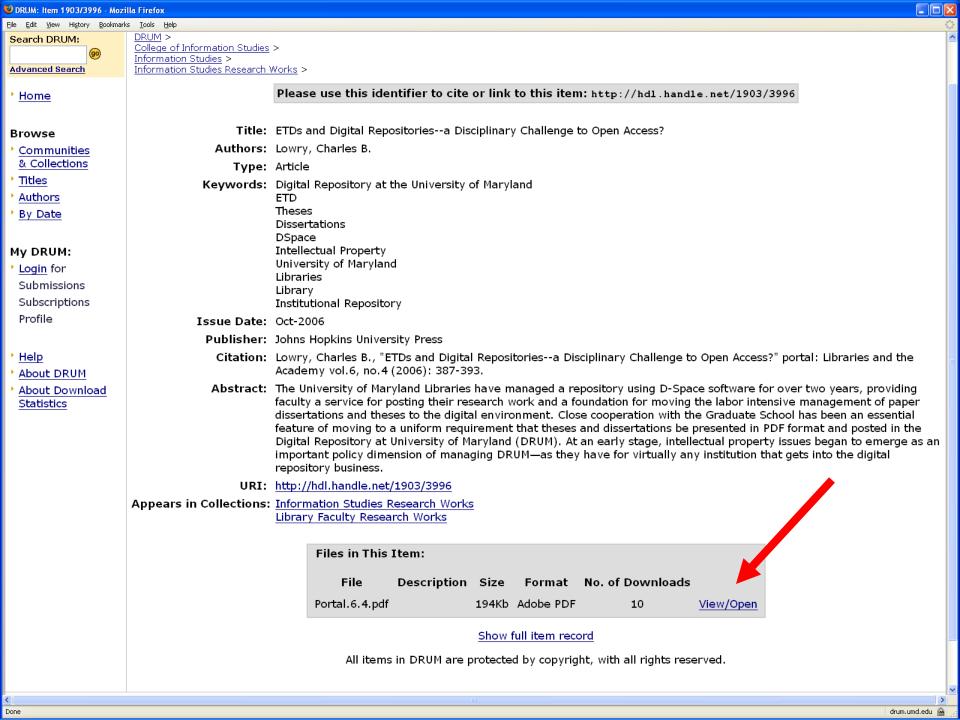
The **University of Maryland** Libraries have managed a repository ... muse.jhu.edu/journals/portal libraries and the academy/v006/6.4lowry02.html - Similar pages

#### Yale Fineman - Electronic Theses and Dissertations - portal ...

This essay focuses on access and technology issues concerning **ETDs**: on the nature ... at the Michelle Smith Performing Arts Library, **University of Maryland**; ... muse.jhu.edu/journals/portal\_libraries\_and\_the\_academy/v003/3.2fineman.html - <u>Similar pages</u> [More results from muse.jhu.edu]

#### The Graduate School - University of Maryland









# ETDs and Digital Repositories a Disciplinary Challenge to Open Access?

Charles B. Lowry

The University of Maryland Libraries have managed a repository using D-Space software for over two years, providing faculty a service for posting their research work and a foundation for moving the labor intensive management of paper dissertations and theses to the digital environment. Close cooperation with the Graduate School has been an essential feature of moving to a uniform requirement that theses and dissertations

be presented in PDF format and posted in the Digital Repository at University of Maryland (DRUM). At an early stage, intellectual property issues began to emerge as an important policy dimension of managing DRUM—as they have for virtually any institution that gets into the digital reposi-

I believe that these issues are indicative of some of the essential differences in the nature of information exchange among the disciplines and shape their reaction to open access.

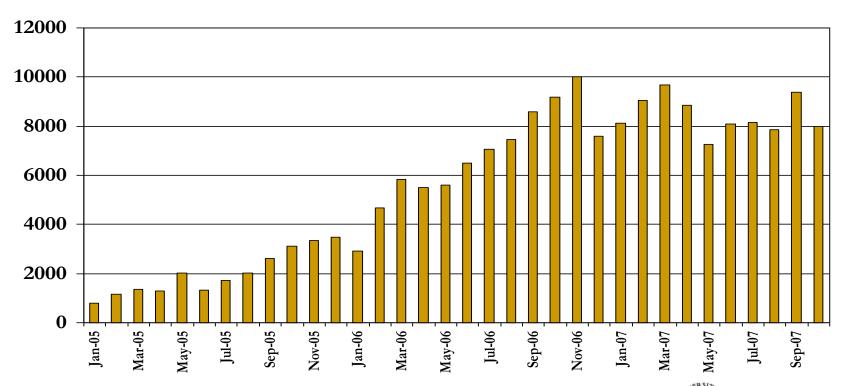
# Why Deposit in DRUM?

- 1) Research can be found, read, and used by a global audience
- Greatly increases the chances of the research being cited
- 3) Access is maintained with a permanent URL
- 4) Able to link to the permanent URL from any web page; no need to maintain files or changing URLs on personal web sites
- 5) Easy to deposit works along with associated content
- 6) Allows any researcher to locate documents of interest quickly and easily from any computer at any location
- 7) Download statistics available for each record



## **DRUM Statistics**

- Searches per month
  - avg 2025 per month in 2005
  - avg 6700+ per month in 2006
  - avg 8400+ per month this year





# **Author Rights**

- DRUM only asks for non-exclusive rights to keep the work, preserve it, and make it available on the web.
- For works already published, consult the publisher agreement to see if you retained sufficient rights to deposit the work in DRUM.
- Even if you have given all rights to the publisher, contact them and request permission to deposit in DRUM



# **DRUM Marketing Goals**

- To educate UM faculty members about DRUM and open access issues
- To inspire UM faculty members to deposit their works in DRUM
- To increase awareness of DRUM



# Faculty Needs and Desires

- Work with co-authors
- Keep track of different versions of the same document
- Work from different computers and locations
- Make their own work available to others
- Have easy access to other people's work
- Keep up in their fields
- Organize their materials according to their own scheme

http://www.dlib.org/dlib/january05/foster/01foster.html



## Faculty Needs and Desires (cont)

- Control ownership, security, and access
- Ensure that documents are persistently viewable or usable
- Have someone else take responsibility for servers & digital tools
- Be sure not to violate copyright issues
- Keep everything related to computers easy & flawless
- Reduce chaos or at least not add to it
- Not be any busier



## Faculty Outreach

- New Faculty Orientation every August
- Letter and email campaign to UM faculty maintaining links to papers from personal web pages
  - Offer to deposit on their behalf
  - Check publisher permissions
  - 350 faculty members contacted
  - 54 responded



## Outreach to Departments

- UM departments with collections of research papers
  - Computer Science and UMIACS technical reports
  - ISR (Institute for Systems Research)
  - □ CFNAP (Center for Food, Nutrition, and Agriculture Policy)
  - CISSM (Center for International Security Studies at Maryland)
  - CIRCLE (Center for Information & Research on Civic Learning & Engagement)



### Communications / Collateral

- Faculty email messages
- Develop brochure or factsheet outlining benefits of DRUM
- Press release / articles in the media
- Postcard mailings
- Establish regular newsletter or email updates
- Advertise regular DRUM training workshops



## Marketing Strategies

- Highlight and promote recent submissions
- Showcase the work of individual faculty members
- Publicize statistics (top 10 accessed, etc.) to confirm value
- Obtain testimonials (names featured in publicity & promotional materials)
- Find champions of the service and have them promote DRUM
- Utilize library subject specialists/faculty liaisons



### ETDs - Electronic Theses and Dissertations

- Mandatory since September 2003
- Submitted via the Web in PDF form
- Students give UM non-exclusive rights
- Automatically deposited in DRUM
- Also available via ProQuest's Digital Dissertations



### **ETD Concerns**

- Will journal publishers still accept my article if it is available electronically?
- What if I want to submit a patent based on my research?
- What if I want to write a book related to my thesis of dissertation?
- Won't it be easier for someone to plagiarize my research if it is freely available online?



## ETD Embargo Options

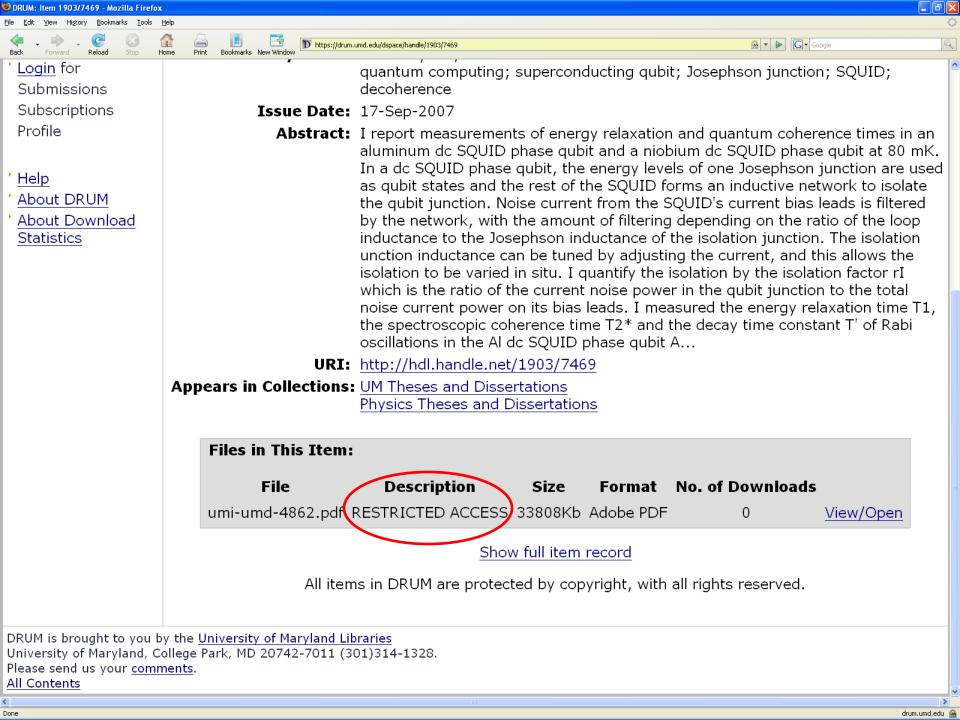
- Restrict access for one year
- Restrict access for six years
- Restrict access indefinitely
  - Requires written approval by the Dean of the Graduate School
- Non-circulating copy still available in the Maryland Room at Hornbake



## Why Embargo?

- For 1-year embargoes
  - Seek patent protection for material in the thesis or dissertation
  - Publish in a journal that has restrictions for depositing in an open access repository
- For 6-year embargoes
  - Publish a book based on your dissertation







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### Help

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# **UM Embargo Statistics**

	Degrees Awarded	1-year	6-year	Total	Percent
Fall 2006	266	47	23	70	26%
Spring 2007	398	79	46	125	31%
Summer 2007	291	53	26	79	27%
TOTALS	955	179	95	274	29%



# **Embargoes by College**

	Degrees	1-yr	6-yr	Total	Percent
Engineering	265	46	12	58	22%
Ag & Natural Res	37	8	7	15	41%
Architecture	23	0	3	3	13%
Arts & Humanities	120	10	35	46	38%
Behavioral & Soc Sci	119	14	9	23	19%
Chem & Life Sci	97	41	4	46	47%
Comp, Math & Phy Sci	128	24	3	27	21%
Education	90	21	11	32	36%
Journalism	4	0	0	0	0%
Business	24	8	4	12	50%
Public Health	35	4	5	9	26%
Public Policy	11	2	1	3	27%



## Future Challenges

- Persuading faculty to deposit
- Versioning
- Open embargoes to UM campus
- Undergraduate research
- Preservation



## Questions?

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