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Abstract

Many centuries before Columbus, the Norse peoples of Scandinavia colonized parts of Western Europe as well as the Northern Atlantic islands: the Shetlands, the Orkneys, the Faroes, Iceland, Greenland, and for at least a few years, Newfoundland. This was part of a larger process whose eastern half effected what today is Russia and was at least in part a response to wider Eurasian phenomenon. This chapter will concentrate on the North Atlantic portion of this story with an emphasis on how the archaeology of the settlement period (c. 800–1000 CE), the medieval period (c. 1000–1500 CE), and the postmedieval period (c. 1500–1800) has altered older narratives that sought to explain this early medieval colonial effort as well as created new narratives. A number of key sites in each of the North Atlantic will be discussed and put into a larger archaeological and historical context. In terms of the content of this volume, this chapter will present an earlier colonial phenomenon that was driven by many of the same variables that affected the post-Columbian Americas such as the commodification of natural resources and long-range trade, elite sponsored colonization, and the maintenance of power in the face of novel and unfamiliar conditions. In conclusion, the chapter will discuss the archaeology of the influence of the post-Columbian world on these medieval North Atlantic colonies.

Keywords

Zooarchaeology - Commodities - Cod - North Atlantic - Norse - Walrus

Chapter 9

The First European Colonization of the North Atlantic

George Hambrecht

1 Introduction

2 Many facets of what are commonly considered to be novel and unique characteristics of modern Capitalism have their roots, often in a mature form, in the Medieval
3 Period (Abu-Lughod 1991; Crosby 2004; Hoffmann 2001; Marks 2007). Archaeo-
4 logical work focusing on the Norse North Atlantic from the Early Medieval Period
5 through to the Early Modern Period has been especially effective at revealing cer-
6 tain of these phenomena, specifically those dealing with the commoditization of
7 natural resources and the influence of global markets on colonization. The early
8 medieval colonial expansion of the Norse and the subsequent centuries of interac-
9 tion with the medieval world system anticipate the central place that international
10 global markets had on the formation of the post-Columbian world. This essay will
11 discuss the North Atlantic Norse colonies, specifically the Faroe Islands, Iceland,
12 Greenland, and Newfoundland. For the purposes of this volume, this discussion is
13 offered as a counter-point to the discussions of the post-Columbian colonial efforts
14 of the Europeans in the Americas. The intention is to use the medieval Scandinavian
15 colonial migration to problematize the larger discussion on the nature of colonies,
16 colonialism, and the emergence of capitalism.

17
18 From the end of the eighth century CE Scandinavian raiders began to appear
19 throughout Northern Europe in what is popularly termed the Viking Age. The raid-
20 ing that took place along the coasts of Atlantic Europe, the Baltic, and the eastern
21 European river systems, was accompanied by the mercantile and colonial elements
22 of the Viking Age (Heather 2011; Sawyer 2000; Sawyer 2003). These early me-
23 dieval Scandinavian raiders and merchants planted colonies in regions as varied
24 as present-day Ukraine and as far west as what today is modern Newfoundland.
25 These settlements were placed in very different contexts but one unifying factor

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M. P. Leone, J. E. Knauf (eds.), *Historical Archaeologies of Capitalism*,

Contributions To Global Historical Archaeology, DOI 10.1007/978-3-319-12760-6_9

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26 that archaeology has been especially important in revealing is the importance of
27 trade and long-distance markets to the motivations behind the founding of these
28 settlements.

29 **Chronology and Background**

30 The chronology for the North Atlantic side of the Norse expansion started with the
31 settlement of the Faroe Islands sometime around the year 800 CE. Iceland was then
32 settled around the years 871 ± 2 CE. Greenland was settled not long after this in the
33 second half of the tenth century CE. Finally, the short-lived Newfoundland settle-
34 ment was founded around the year 1000 CE.

35 These four regions differ in terms of climate, topography, and the dramatically
36 different human geographies of the lands at the time of Norse settlement. All of
37 these regions lie in either subarctic or boreal ecological zones. All Norse North
38 Atlantic settlement during this period involved peoples whose main subsistence
39 activities centered on the raising of the classic Eurasian domestic animal package
40 (Cattle, Sheep, Horse, Pigs, Goats, and Dogs) and who engaged in the farming of
41 grain crops when the climate was suitable, which was not often. These people were
42 accomplished fishermen, marine mammal hunters, and wild bird exploiters. Obvi-
43 ously, they were also extremely capable sailors (McGovern et al. 2007). The Norse
44 who settled in the North Atlantic came from a hierarchical culture and the settlers
45 would have contained chieftains, farmers, both dependent and independent, and
46 slaves (Bigelow 1991; McGovern 1990; McGovern et al. 2007). Genetic studies of
47 the current populations of both Iceland and the Faroe Islands show a strong asym-
48 metry between Scandinavian and British Isles genetic origins. The asymmetry is
49 expressed by a high proportion of males from Scandinavia and a high proportion
50 of females originating in the British Isles. This suggests that a significant percent-
51 age of the initial settlers were single males who left Scandinavia and then found/
52 persuaded/abducted females from the British Isles to accompany them onward to
53 the North Atlantic islands (Als et al. 2006; Goodacre et al. 2005).

54 There are a number of robust paleoclimatic proxies for the North Atlantic, many
55 of which have excellent temporal resolution. Coupled with a growing paleoenvi-
56 ronmental record of the region constructed by environmental archaeologists
57 and geographers there is a fair understanding of the climatic and environmental
58 variables from the Settlement Period through to the present day. In terms of the
59 relevance of such a record for the Settlement Period, there are strong indications of
60 what some have termed a “Medieval Warm Period” that in the North Atlantic would
61 have meant higher temperatures, and fewer and weaker storms. Following this and
62 often termed the “Little Ice Age” was a period from roughly the thirteenth through
63 the nineteenth century of increased variability in temperature, often trending toward
64 cold, as well as an increase in both the frequency and power of storms (Dawson
65 et al. 2003; Meeker and Mayewski 2002; Ogilvie 1981; Ogilvie 1984; Ogilvie 1992;
66 Ogilvie 2001). The general picture is one in which the Norse settlers of the North

67 Atlantic Islands encountered a climatic situation that was much more favorable to
68 colonization than what they would experience in the following centuries (Fig. 9.1).

69 The Faroe Islands are a group of fairly small islands with rugged geography
70 and limited arable land. Much of the landscape is very steep and a great deal of
71 the coastline is vertical. The settlement areas are on the coast near the few areas
72 of relatively flat and workable land. The landscape that greeted the first settlers
73 was most likely made up of wild grasses and sedges, with some juniper shrub and
74 very limited timber. Human impacts on these island landscapes post settlement were
75 fairly mild and gradual (Lawson et al. 2005). Faroese subsistence was, and still to a
76 certain extent is, based on sheep farming, fishing, and the exploitation of wild bird
77 eggs (Brewington 2006; Brewington 2010; Brewington 2011). One of the changes
78 to this subsistence pattern visible in the archaeological record is the initial presence
79 and then disappearance in the later medieval period of pigs. Pigs were a major agent
80 of environmental change in the North Atlantic Scandinavian settlements, and they,
81 along with goats, would have been one of the primary terraforming agents used by
82 the settlers to engineer their new environments (Arge et al. 2009). The Faroes has
83 recently produced strong archaeobotanical indications of a pre-Norse settlement
84 (Church et al. 2013). Previously it was thought that the Faroese settlement was a
85 similar situation to that of Iceland which to date has produced no archaeological
86 evidence of a pre-Norse settlement. The extent or even the identity of the people
87 behind this earlier settlement is still unknown.

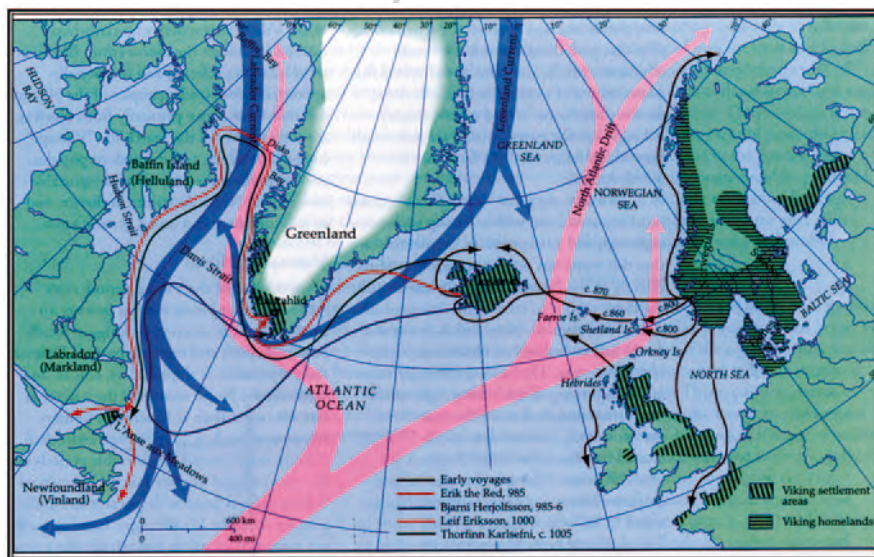


Fig. 9.1 Map of the Norse voyages of exploration in the North Atlantic (from Perdikaris and McGovern 2000). The red arrows represent warm ocean currents and the blue represent cold ocean currents. The often high productivity of marine resources where these warm and cold currents meet and mix

88 The Icelandic settlement in 871 ± 2 was the occupation of a true “terra nullius.”
89 There is no persuasive archaeological evidence of a pre-Scandinavian population
90 inhabiting Iceland before the appearance of the 871 ± 2 settlers. Iceland is a volca-
91 nic island, part of the Mid-Atlantic Ridge. The south of Iceland generally has a boreal
92 environment, warmed by the North Atlantic Drift, an extension of the Gulf Stream.
93 Northern Iceland has a subarctic environment, colder and in general drier than the
94 south. Both areas are suitable for sedentary lifestyles based on animal husbandry.
95 Volcanism is a constant presence in Iceland and the destructive force of volcanic
96 eruptions was something that the settlers had to deal with from the first years (Dug-
97 more and Vésteinsson 2012). For archaeology, specifically, Icelandic volcanoes have
98 had an extraordinarily positive effect by creating an excellent tephrochronological
99 record in the soils of Iceland that is used to date Icelandic archaeology to great pre-
100 cision as well as to investigate the relationships between human and natural systems
101 at high resolutions (Dugmore et al. 2012; Dugmore and Newton 2012; Streeter and
102 Dugmore 2013). Iceland of course had a vastly larger amount of arable land than
103 the Faroe Islands and the prehuman landscape was made up of thick birch forest as
104 well as areas of open grassland. This forest however was subarctic birch forest, the
105 timber from which was useful for fuel but not big enough for ship building or even
106 the building of large structures. Timber had to be obtained from driftwood or be
107 imported and Icelandic structures were, in some cases until the post-WWII period,
108 largely built of turf. Human impacts on the Icelandic landscape were in contrast to
109 the Faroe Islands, extreme and dramatic in terms of both deforestation and erosion.
110 Iceland has lost an estimated 90 % of the forest and 40 % of the soil that was present
111 at settlement (Arnalds 2001). There has been a sustained effort using the techniques
112 of environmental archaeology as well as geography, paleoclimatology and history
113 to understand the chronology of this impact and the dynamics behind it (Dugmore
114 et al. 2005a; Dugmore et al. 2005b; McGovern et al. 2007; McGovern et al. 1988).
115 Icelandic subsistence was until recently based on wool production, and fishing as
116 will be discussed below.

117 Greenland was settled shortly before the year 1000 CE by Icelanders, most fa-
118 mously by Erik the Red, who according to the Saga sources was fleeing Iceland due
119 to banishment for manslaughter. Greenland is a very different environment than
120 either the Faroe Islands or Iceland. The regions of Greenland that were appropriate
121 for a Eurasian style sedentary agriculturalist way of life were the inner fjords in the
122 Eastern and Western Settlements. These inner areas are hemmed in by the outer
123 fjord areas, which are arctic in environment and the immense Greenland Ice Sheet
124 in the interior. Conditions created by the interface between the arctic areas and the
125 inland ice sheet create an insulated area that is truly green and suitable for animal
126 husbandry. This area would have had limited shrub land and open grasslands at the
127 time of the arrival of the Scandinavian settlers. Greenland was a different situation
128 in which there was no human settlement in the areas claimed by the Norse settlers,
129 though there had been previous inhabitants, and contact with Dorset and later Thule
130 populations occurred in the northern walrus hunting grounds that were central to
131 the Norse Greenlanders trade links back to Europe. Later in the fourteenth and
132 the fifteenth century, Thule peoples inhabited coastal areas adjacent to the Norse

133 settlements. The nature of the relationship between the Norse Greenlanders and
134 the Dorset and Thule peoples is still not well known. The archaeology has pro-
135 duced a number of examples of Norse objects in Dorset and Thule contexts (Gulløv
136 2008). Dorset and Thule material culture in Norse contexts has also been found
137 archaeologically but in strikingly small amounts. The nature of the relationship
138 is unclear. There is no good evidence of violence from the archaeological record,
139 and the exchange aspect has been interpreted as examples of small-scale gift giv-
140 ing (Gulløv 2008). It has been pointed out that some of the few mentions of the
141 “Skraelingar” (the term used by the Norse to describe the Dorset, Thule, and the
142 people encountered elsewhere in the Americas) do point to a basic underlying cul-
143 tural disconnect. One of the first mentions of the Skraelingar describes how when
144 attacked with European weapons (and this report also mentions that these particular
145 Skraelingar lacked iron, meaning that they were most likely Dorset) they did not
146 start bleeding until they were dead (Gulløv 2008). Any first report of a new culture
147 that mentions how they behave after being attacked certainly suggests the potential
148 for sustained violence. Yet the archaeology does not make the relationship clear and
149 there has been recent work suggesting that the Thule people moved into the Baffin
150 Bay/Greenland region by the fifteenth century CE at the latest because they were
151 drawn by the presence of iron from the Norse Greenlandic settlements (Gulløv and
152 McGhee 2006). The last recorded contact with Greenland dates to 1409 and the
153 settlement was certainly nonexistent by the early sixteenth century. The demise of
154 the Greenland Norse colony has been the subject of a significant amount of scholar-
155 ship and a number of different variables have been offered to explain it. Climate
156 change, an inability to adapt to climate change, environmental degradation, conflict
157 with the Skraelingar, as well as economic marginalization, which will be addressed
158 in more detail below, have all been argued to be reasons for the disappearance of
159 the Greenland colony (Arneborg 2002, 2003a, b; McGovern 2000; Petersen 2000;
160 Seaver 1996).

161 The Norse settlement at L’Anse aux Meadows in Newfoundland lasted only a
162 few years. It was most likely a reconnaissance from Greenland to determine wheth-
163 er there were any resources of value to be found in the vicinity. It probably served as
164 a home base for further exploration into the St Lawrence region and possibly farther
165 south. In terms of natural resources, it had little that Greenland needed. Good timber
166 was nearer at hand in Labrador for example and the better agricultural land in the
167 area was already taken. L’Anse aux Meadows was in an area already inhabited by
168 the people the Norse referred to as the Skraelingar who were in this case probably
169 members of the Beothuk or Inuit. L’Anse aux Meadows was abandoned but this was
170 probably within pattern for a forward settlement such as this (Wallace 2003, 2008).

171 The Norse expansion across the North Atlantic was not a solitary event in the
172 sense that it happened solely due to local conditions and local ideas. At the same
173 time as the Norse were colonizing the Northern Atlantic islands, they were also ex-
174 panding trade and tribute networks northward into the Finnmark, as well as into the
175 Eastern European river systems. One of the more traditional explanations offered
176 for this outward expansion was population pressure. Yet a number of landscape
177 studies have shown that some of the core Scandinavian regions were in fact less

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178 populated in the eighth and ninth centuries than they were in the sixth century,
179 before the movements of people out of Scandinavia began. Among the alternative
180 explanations that have been offered is the idea that increasing wealth, stimulated by
181 contacts with the Islamic world and to a lesser extent with the Byzantine Empire,
182 lead to the Norse expansion. In more general terms, many scholars are seeing the
183 Norse expansion as being based on positive economic motives like the desire to
184 participate in long-distance trade networks linked to markets of great wealth as op-
185 posed to negative motives such as population pressure and the disposal of surplus
186 population (Heather 2011).

187 In the case of the settlement of Greenland from Iceland a similar situation is
188 emerging. The motives behind this settlement were, and often still are understood
189 primarily through the study of the Icelandic Sagas. These famous works of the medi-
190 eval literature have within them books that specifically address both the settlement
191 of Iceland (Landnámabók—the Book of Settlement; Íselendingabók—the Book of
192 the Icelanders) and of Greenland (Grænlendingasaga—the Saga of the Greenland-
193 ers; Eiríks saga rauða—the Sage of Eirik the Red). These sources put forth the idea
194 of land hunger as a primary motivation for the settlement of Greenland (Hreinsson
195 1997). Yet these sagas were written in the twelfth and thirteenth centuries, long after
AQ2 the actual activity of their recording took place. The Sagas are as much political
197 documents as historical and their presentation of the settlement process of both Ice-
198 land and Greenland needs to be treated skeptically (Friðriksson 1994; Friðriksson
199 and Vésteinsson 2003). Archaeological work has shown to the contrary that new
200 and productive land was becoming available in Iceland at precisely the time that
201 Greenland was being settled. The motives behind these migrations were, like the
202 original motives behind the movement out of Scandinavia, likely social and econ-
203 omic as opposed to demographic (Dugmore et al. 2007). Not unlike the expansion
204 across the American West, the Norse expansion across the North Atlantic in the past
205 was often portrayed as the work of “rugged individualists” looking for their own
206 piece of land on which they could live independently and self-sufficiently. The self-
207 contained independent farm was thought of as the primary social unit and autarky as
208 the ultimate motivation. Archaeology has been at the front of challenging this view.

209 All of the Norse settlers of these islands were accomplished landscape engineers.
210 While their tools and inspirations were not the agricultural handbooks, architectu-
211 ral texts, indentured servants, and enslaved Africans, they did have a hierarchical
212 system reliant on domestic animals that did engineer their adopted landscapes with
213 agency and foresight. The examples of landscape engineering from the Norse North
214 Atlantic, especially in the Icelandic context, have often been presented as a tragedy
215 of the commons situation. Archaeologists have altered this view dramatically. The
216 Icelandic example reveals through the analysis of faunal assemblages, landscape
217 survey, and a variety of environmental archaeological methods that the Norse set-
218 tlers likely knew exactly what they were doing when they set about the processes
219 that lead to such dramatic environmental change in Iceland. Faunal assemblages
220 from Iceland show a typical European domestic package at the time of settlement,
221 i.e., cattle (*Bos taurus*), sheep (*Ovis aries*), goats (*Capra hircus*), horse (*Equus*
222 *caballus*), pigs (*Sus scrofa*), and dog (*Canis familiaris*). By the twelfth century,
223 the goats and the pigs disappear archaeological contexts. Current archaeological

224 consensus is that goats and pigs were released by the first settlers in order to clear
225 the forest areas, which were hardly optimal landscapes for pastoralists and agri-
226 culturalists. Goats and pigs make for highly effective agents of landscape change
227 and their disappearance, after they had done their job, is seen as evidence that the
228 Icelanders were using them as tools of landscape change. Further, not all the forest
229 disappeared. Small areas of forest were preserved in a roughly equal distribution
230 across Iceland and these were harvested (at times through pruning, not harvest-
231 ing the whole tree) for fuel (Church et al. 2007; Simpson et al. 2001; Simpson
232 et al. 2003). There are other strong examples of archaeological data pointing to
233 Norse natural resource exploitation strategies with long-term resilience (Brewing-
234 ton 2013; Hicks in press). While there was still, in the case of Iceland, catastrophic
235 erosion it was not the result of an unconscious pillaging of natural resources but the
236 result of the conjuncture of a number of variables: geological, climatic, economic,
237 and political (McGovern et al. 2007).

238 The larger point is that these medieval colonists, like the post-Columbian Euro-
239 pean, African, and later Asian colonists in the Americas were bringing with them a
240 set of tools, both technological and social that they used to reengineer landscapes to
241 their specifications and desires. Yet beyond what they brought from Europe in order
242 to reengineer new landscapes, what is also important is what they were looking
243 for and what might have motivated these settlers to move into the North Atlantic.
244 Examining these motivations through archaeology not only reveals medieval roots
245 of post-Columbian colonialism but offers a view of larger economic processes that
246 were already reaching across the North Atlantic long before Europeans began think-
247 ing about short cuts to China and supplying the markets of Seville, Paris, Amster-
248 dam, and London.

249 **Long-Range Trade and the Commoditization** 250 **of Natural Resources**

251 *Walrus*


252 One motivation for the Norse spread across the North Atlantic was the search for
253 high-value luxury goods for European and Middle Eastern market places. In this
254 view, the North Atlantic islands were not settled in isolation by rugged individual-
255 ists just looking to be left alone. They were in fact part of an intensive effort by the
256 Norse to get to the source of and control a variety of high-value luxury goods that
257 they used to trade for silver and luxury items from the far wealthier populations in
258 the Byzantine Empire and the Islamic world. The eastern expansion of the Norse
259 into the Russian river systems and north into the Finnmark and the region around
260 the White Sea was largely driven by the same motivation. In these cases, it was ac-
261 cess to high-quality furs, forest products, and slaves as well as to achieve greater
262 proximity to the markets of Byzantium and especially the Muslim lands (Heather
263 2011; Keller 2010).

264 In the case of the western expansion across the North Atlantic the primary
265 trade items that were being sought were walrus (*Odobenus rosmarus*) ivory, furs,
266 and walrus hide rope (Keller 2010). Greenland still has walrus populations and
267 the archaeology is clear that walrus ivory was a central part of Norse Greenland's
268 trade back to Europe. Though no longer existent there were also walrus colonies in
269 Iceland at the time of settlement. There are a number of settlements with walrus-
270 based place-names in Southern Iceland and one archaeological site in particular has
271 revealed some intriguing indications of specialized Walrus hunting at the earliest
272 stage of settlement.

273 *Iceland* The site of Aðalstæti in downtown Reykjavík is a site whose earliest layers
274 date from the first generation of the settlement of Iceland. It was revealed during the
275 construction of a hotel and then excavated by Fornleifastofnun Islands (the Institute
276 of Archaeology Iceland) in 2001 (Roberts et al. 2004). This site since then has had
277 a Museum, the Settlement Exhibition, Reykjavik 871 ± 2, built around it. Within the
278 skáli (a Norse long-house) at Aðalstræti three walrus tusks were recovered. One of
279 these was in good enough condition to reveal the tool marks on the root of the tusk
280 that are characteristic of the process used to extract walrus tusks (which are deeply
281 rooted in the massive maxillary region of the walrus skull). Extracting walrus tusk
282 without damaging the valuable ivory is a highly skilled process and the tusk at Aðal-
283 stræti indicates that the inhabitants of this site were skilled walrus ivory processors
284 (McGovern 2014). These inhabitants of the earliest occupation layers of Aðalstræti
285 were among the first wave of Norse colonists to permanently settle in Iceland and
286 these worked walrus tusks suggest that there were trained walrus hunters and pro-
287 cessors among these first settlers.

288 Along with the walrus tusks two other walrus bone elements were found, but in
289 their case within the structure of the skáli itself. A walrus scapula was found highly
290 compressed at the base of the skáli wall while farther down the structure a portion
291 of an articulated walrus vertebral column was found embedded in the wall. In both
292 cases, it is likely that the bone was visible to people outside the skáli. Marine mam-
293 mal bone has been found being used as structural elements in Norse buildings but in
294 this case neither example serves any structural purpose at all. McGovern has specu-
295 lated that this could possibly represent ritual activity or a form of advertisement for
296 the settlements walrus processing skills (McGovern 2014).

297 The Aðalstræti site and the presence of a number of sites with walrus-related
298 place names on the Reykjanes peninsula south of modern Reykjavik suggest that
299 at least one of the motivations driving the earliest settlers to Iceland might have
300 been the acquisition of walrus ivory and hide, which presumably would have been
301 shipped back to Europe for trade purposes (Keller 2010).

302 As mentioned, there are no longer any walrus populations on Iceland's coasts. It
303 is possible that the first Icelandic colonists hunted them to extinction, though there
304  data to support this idea at this time.

305 *Greenland* The Norse settlement in Greenland contained two different settlements,
306 the Eastern Settlement, located in the area of the current municipality of Kujalleq
307 in the southwest of Western Greenland, and the Western Settlement, which was

308 closer to the current capital of Greenland, Nuuk, farther north up the western coast.
309 The Eastern Settlement was the larger or the two, and the estimated population of
310 the two settlements at their highest have been estimated to range from as many as
311 6800 (McGovern 1981) to as few as 2000 people (Lynerrup 1996). There is ample
312 archaeological proof that this settlement had as one of its core purposes the extrac-
313 tion of Walrus products for transport back to European markets.

314 High-profile sites such as the Bishop's Manor at Garðar and the Lawspeaker's
315 Farm at Brattahlíð, both in the Eastern Settlement have been the subject of archaeo-
316 logical excavations for years. More recently, however, a number of archaeologists
317 have been doing more systematic survey and targeted excavations of mid- to lower-
318 level farms (Dugmore et al. 2009; Smiarowski 2008). Walrus bone has been found
319 in sites from every level of the economic scale. The great majority of elements
320 found are fragments of maxillary bone, which in some cases still have ivory at-
321 tached to them, which broke off from the main tusk. The chipping away of the max-
322 illary sheath around the ivory produces these maxillary fragments. Through the life
323 of the Norse Greenland settlement zooarchaeologists have identified an increasing
324 efficiency of ivory production seen through the decreasing amount of ivory lost dur-
325 ing the extraction (Dugmore et al. 2009; McGovern 2013; Vésteinnsson et al. 2002).
326 Unlike Aðalstræti in Iceland, in Norse Greenland pieces of actual ivory are very rare
327 and bone elements of any sort other than maxillary fragments (with the exception
328 of the occasional baculum) are rarely found in archaeological contexts. This is often
329 interpreted as evidence for the importance of long-range trade in Greenland. Ivory
330 was an export product, in the production of which everyone in the Norse settlement
331 participated as evidenced by the extraction detritus found in almost every house-
332 hold. It was valuable enough that almost none was kept for domestic production.

333 Archaeological work on the Norse Greenland settlements suggests a society that
334 was closely organized and integrated. One of the most significant archaeological
335 manifestations of this is the presence of important resources that would have been
336 produced by specific groups in the population at almost every household, though
337 not in equal numbers. Seal bone, elk bone, and walrus maxillary fragments are
338 found at sites from the very wealthy, such as the elite farm sites Brattahlíð, Garðar,
339 and GUS (the Farm under the Sand) to the much less wealthy but far more numer-
340 ous smaller farms (McGovern 1990; Perdikaris and McGovern 2007). These signs
341 of integrated production are further support to the idea that a major motive behind
342 the Norse Greenland settlement was not land hunger but the desire to participate in
343 long-distance medieval trade networks.

344 This argument has led to increasing engagement with the idea that a major vari-
345 able in the disappearance of the Norse Greenland settlement might have been com-
346 petition from other markets that made Greenlandic walrus processing too expensive
347 a project (Guðmundsson 2009; Keller 2010; Roesdahl 2005). Increasing access to
348 African ivory could have badly impacted the margins of traders in Greenlandic
349 ivory. Beyond this, there is also the archaeologically observed process of the growth
350 in the trade in dried fish in the North Atlantic through the medieval period. Pressure
351 from new and cheaper sources of ivory (east African and White Sea) coupled with
352 the opening of a new market based not on high-value, high-margin, and low-volume

353 items such as walrus ivory but instead on a low-value, low-margin but very high-
354 volume commodity created from dried fish products might have created conditions
355 in which the central reasons for the Norse Greenlandic settlements' existence might
356 have slowly disappeared. Live by the market, die by the market.

357 **The European Dried Fish Trade in Historical Context**

358 Beginning in the early medieval period, the trade in dried fish, specifically from
359 genus Gadidae, the Cod family, contributed to the growth of European economies
360 and populations into the modern age. By the early modern period, the trade in dried
361 Atlantic cod (*Gadus morhua*) was a powerful stimulus toward the exploitation of
362 North America (Pope 2004). This relatively silent player in the story of the develop-
363 ment of both early capitalism and global trade networks has its origins in Iron Age
364 Norway and the subsequent expansions of the Viking Age (Perdikaris 1999; Perdi-
365 karis and McGovern 2007; Perdikaris et al. 2007).

366 Fish in the earliest years of the Medieval Period were primarily a local resource
367 for European populations. Coastal regions exploited near shore fisheries while in-
368 land populations utilized streams, lakes, and swamps. More organized communi-
369 ties, such as monasteries, built fishponds, etc. As the Medieval Period progressed,
370 freshwater fish became a luxury good as presumably demand began to overtake
371 supply. This demand for protein was in part taken up by cured marine fish travel-
372 ing down increasingly distant trade networks. Cured herring had the primary role
373 in terms of total value and volume throughout most of the Medieval Period, though
374 dried gadids were a close second (Hoffman 2001).

375 The initial large-scale trade in dried cod was centered on the port of Bergen. This
376 was the central market for dried cod from northern Norway. Written records begin
377 to take note of this trade by 1100 CE. The Hansa controlled this source into the four-
378 teenth century. Iceland began to develop as a greater source of supply for the Euro-
379 pean market in the late fourteenth and fifteenth centuries in part due to the efforts of
380 English merchants locked out of the Bergen trade (Wubs-Mrozewicz 2008). English
381 activity in Icelandic waters peaked between 1490 and 1530 and continued at more
382 humble levels until the eighteenth century, with another period of intensification
383 in the early seventeenth century (Jones 2000). The fishing grounds discovered by
384 John Cabot in 1497 off of Newfoundland (ironically this was possibly only a few
385 years after the Norse Greenland colony was extinguished) were being exploited by
386 the first decade of the sixteenth century. Basques, Bretons, and Normans were all
387 quick to exploit this new and extraordinarily fertile source of protein and capital for
388 the European and eventually American markets (Fitzhugh 1985; Pope 2004). Dried
389 Atlantic cod in the form of stockfish (a specific product which will be discussed in
390 more detail below) at this point broke ahead of herring in terms of total value of the
391 cured fish trade. This was likely a consequence of the collapse of the major herring
392 fisheries from the later thirteenth to the fifteenth centuries. The southern Baltic
393 Pomeranian (late thirteenth century), southern North Sea (after 1360), and then Sca-

394 nian herring fisheries (early fifteenth century) collapsed, forcing merchants to meet
395 the demand for cured fish from other sources, in part from the waters around Iceland
396 and eventually, beginning in the early sixteenth century, from the Newfoundland
397 and New England fisheries (Hoffmann 2001, 2005; Pope 2004).

398 In the late sixteenth century, the trade in fish, furs, and whale products between
399 Europe and Maritime Canada was larger than that of Europe with the Gulf of Mexi-
400 co. The fish trade was the largest single component of this commerce and it dwarfed
401 the fur trade throughout the early modern period (Pope 2004). Though the rapid
402 growth of the sugar trade in the seventeenth and eighteenth centuries made it into
403 a much larger financial player than stockfish, these products were in fact closely
404 linked by the eighteenth century (Zahedieh 2002). The most visible example of
405 this being the fact that stockfish was a central part of the diet of the enslaved Af-
406 ricans working the sugar plantations and has remained a common ingredient in
407 Caribbean cuisine to this day (Braudel 1982; Kurlansky 1999). The cod trade has
408 been, until recently, a relatively silent player on the historiographic stage. Most
409 studies of Atlantic trade in the early modern period concentrate on the more visible
410 commodities, especially sugar and tobacco and often neglect to mention dried fish
411 at all (Braudel 1982; Steensgaard 1990; Wallerstein 1980). Yet the cod trade was
412 one of the largest drivers of colonial expansion and economies in the sixteenth and
413 seventeenth centuries in the newly discovered regions of the North Atlantic and it
414 remained a major force into the twentieth century. Peter Pope's 2004 work *Fish Into*
415 *Wine* has masterfully revealed the role of the fish trade in the seventeenth century
416 North Atlantic colonial world.

417 The physical properties of cured fish, stockfish especially, helped it along this
418 path. It was in many ways a perfect early commodity. Its production was fairly con-
419 sistent and seasonal. It is light, durable, has a high caloric and protein content and
420 it can be stored for up to 5–7 years before spoiling. This was an excellent food for
421 the provisioning needs of developing states. One of the great shifts in the European
422 economy came during the Medieval Period with the development of low-cost medi-
423 um to long-range bulk goods markets, often centered on the need for either food or
424 fuel (Wallerstein 1988). These new bulk markets spurred growth by nourishing both
425 people and industry and due to their lower value, relative to costly imported luxury
426 goods, these markets encouraged the participation of a large section of the European
427 population. Their lower value allowed for a much greater volume of trade. A com-
428 modity by definition is fungible and standardized. They are in most cases made in
429 large quantities and their quality is relatively uniform across producers. These con-
430 ditions allow for high volume (in terms of both goods and capital) long-range trade
431 to take place, which in turn foster the development of trade networks and financial
432 markets. Processed fish was one of the earliest and most important of these new
433 bulk goods in Europe and the Americas.

434 Hoffman has argued that stockfish was one of the first commodities in the Eu-
435 ropean Medieval Period that first primed the pump for the onset of globalism and
436 the parallel “denaturing of things,” again a key part of the process of commoditiza-
437 tion. Denaturing is the transformation of an organism, a “first nature” product, into
438 an economic and socially constructed “second nature” product and finally into an

439 abstracted and fungible commodity tradable over long distances and time (Cronon
440 1992; Hoffman 2001; Perdikaris 1999). The herring in a barrel, or the beheaded,
441 splayed and dried carcass of a codfish in the form of stockfish were so far from their
442 original form as to be almost unrecognizable. Geography and time altered these
443 products as well. The stockfish being soaked for consumption in the late sixteenth
444 century Mainz, London, or Toulouse, might have been caught in the North Sea, off
445 of Iceland or off Newfoundland anywhere from a few months to a few years. These
446 These are all processes that are now standard to many people on this planet—food,
447 as do so many other commodities, follows widely dispersed paths in time and space
448 from its origin to a consumer. Stockfish was one of the first commodities to begin
449 training Europeans and later Africans and Americans to no longer expect their food
450 to be local and recognizable in its living form but to come from anywhere in the
451 world and in a multitude of forms divorced from the original organism. Commod-
452 itization is one of the central elements in the process that is Capitalism. Stockfish
453 and the processed fish trade were one of the first industries, in postclassical Europe,
454 that developed commodities as we know them today. The origins of this trade are
455 a part of the larger story of the origin of modern commodities, capitalism, and the
456 exploitation of new worlds.

457 The Archaeology

458 Archaeological work in Iceland and the Faroes has been crucial to understanding
459 both the origins and the development of the trade in dried gadids. A clear artisanal,
460 precommoditization stage of this trade has been identified in early medieval Nor-
461 way as well as Iceland at sites that date to the earliest days of settlement (Fig. 9.2).

462 *Identifying the Production and Trade of Dried Fish in Archaeological Contexts* An
463 initial first step in identifying the trade in dried fish is to look at the percentage
464 of terrestrial versus fish elements in archaeological sites. This allows for an initial
465 gauge of how much a given site is involved with the exploitation of marine
466 resources, specifically fish.

467 Figure 9.2 reveals the presence of very high percentages of fish in the archaeo-
468 fauna of both Iron Age Norway as well as medieval Norway. Paralleling this is a
469 significantly increased percentage of fish on Icelandic and Faroese sites from the
470 Viking Age into the Medieval Period. Though there are of course a variety of tapho-
471 nomic and comparative issues behind this chart, one can see an overall pattern of
472 increased exploitation of fish through the last millennium in the sites being shown.
473 As has been argued in previous publications (see Barrett 2004 and Perdikaris et al.
474 2007 for more in-depth discussion) the origins of the trade in dried gadids very
475 likely comes from the activity represented by the Iron Age Norwegian sites seen
476 in this chart. Besides the simple fact of engagement with marine resources, this
477 chart also reveals that some of these marine resources were transported within re-
478 gional networks. All of the Viking Age Icelandic sites in this chart are all at least
479 50 km inland from the sea (HST=Hofstaðir, SVK=Sveigakot, HRH=Hrísheimar,

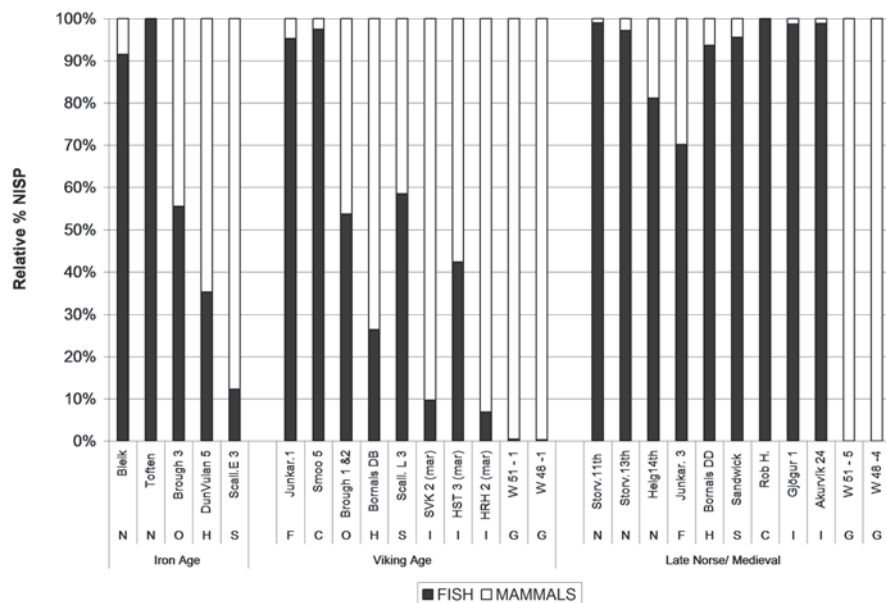


Fig. 9.2 A selection of comparably excavated (sieved) sites from Iron Age, Viking, and Late Norse/Medieval contexts from Norway (N), Orkney (O), Hebrides (H), Shetland (S), Faroes (F), Caithness (C), Iceland (I), and Greenland (G). All of these assemblages have produced large amounts of bone. Note the concentration of fish that is clearly seen in the Late Norse/Medieval contexts (with the interesting exception of Greenland). (Source: Perdikaris et al. 2007)

480 see Fridriksson et al. 2004, Vésteinnsson 2000, Vésteinnsson et al. 2002). The marine
 481 fish that ended up as archaeofauna in these sites must have been transported at least
 482 50 km from where they were originally fished. As will be seen below, the fish element
 483 distribution found at these sites, as well as at many others, indicates that these fish
 484 were making that trip in the form of a processed dried product. These early
 485 indications of intensified marine resource use also contain signs of the trade in these
 486 early dried fish products.

487 One curious and striking fact that emerges from Fig. 9.2 is the almost complete
 488 absence of fish from the Norse Greenlandic sites. The Norse in Greenland were
 489 from the same populations that ran the intensified fisheries stretching back to Nor-
 490 way, and which are represented in the above chart. Why the Norse Greenlanders
 491 chose not to pursue marine fish as a significant part of their economy is a question
 492 that is part of the larger issue of why the Norse expanded across the North Atlantic
 493 There are no easy answers to this question but for a more in-depth discussion please
 494 see Dugmore et al. 2012, Perdikaris and McGovern 2007, and Vésteinnsson et al.
 495 2002 among others (Fig. 9.3).

496 *Species-Specific Intensification* Beyond the simple portrayal of the presence and
 497 growth of fish in archaeological contexts in the North Atlantic, we can also look
 498 more closely at the percentages of species within these fish assemblages in order to

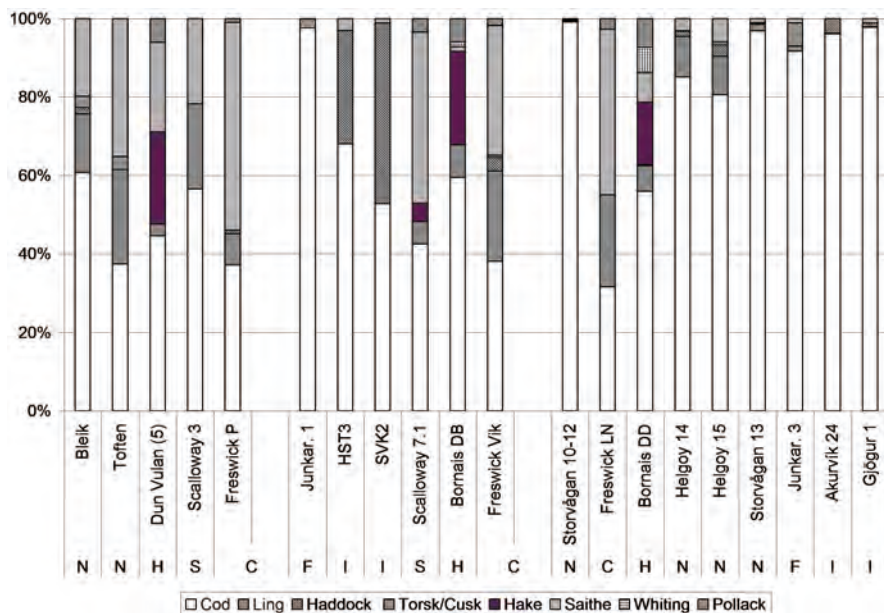


Fig. 9.3 A selection of comparably excavated (sieved) sites from Iron Age, Viking, and Late Norse/Medieval contexts from Norway (N), Orkney (O), Hebrides (H), Shetland (S), Faroes (F), Caithness (C), Iceland (I), and Greenland (G) that reveal changes in species diversity within the Cod family. Iron Age and most Viking Age collections show considerable variability in species taken from within the cod (gadid) family. In the Late Norse/Medieval period, there is often a shift toward collections dominated by Atlantic cod (*Gadus morhua*). This shift continues into the early modern period. (Source Perdikaris, et al. 2007)

499 see the intensification of fish processing through the millennium. There is a pattern
 500 in the archaeology of the Faroese and Icelandic sites showing a greater focus on
 501 Atlantic cod (*G. morhua*) through time. Iron Age sites in Norway and Viking Age
 502 settlements in the Faroe Islands and Iceland produce fish assemblages that, though
 503 often dominated by gadids (cod family), contain a wide spectrum of species within
 504 this genus such as Haddock (*Melanogrammus aeglefinus*), Ling (*Molva molva*),
 505 Cusk (*Brosme brosme*), and Pollack (*Polachius virens*). By the thirteenth century,
 506 sites in the Faroes and in Iceland started producing archaeofauna dominated by ele-
 507 ments from Atlantic cod.

508 The movement shown in Fig. 9.3 from a wide spectrum fishing pattern (at least
 509 within genus Gadidae) to a much more species-specific approach focusing almost
 510 completely on Atlantic cod is most often interpreted as one mechanism within the
 511 overall phenomenon of the commoditization of dried cod products. This move is
 512 seen as part of the transformation of North Atlantic fisheries from being either con-
 513 centrated on subsistence or engaged in local trade to a fishery that was engaged with
 514 commodity markets and long-range trade (McGovern et al. 2006; Perdikaris and
 515 McGovern 2007; Perdikaris et al. 2007). A parallel line of archaeological data to

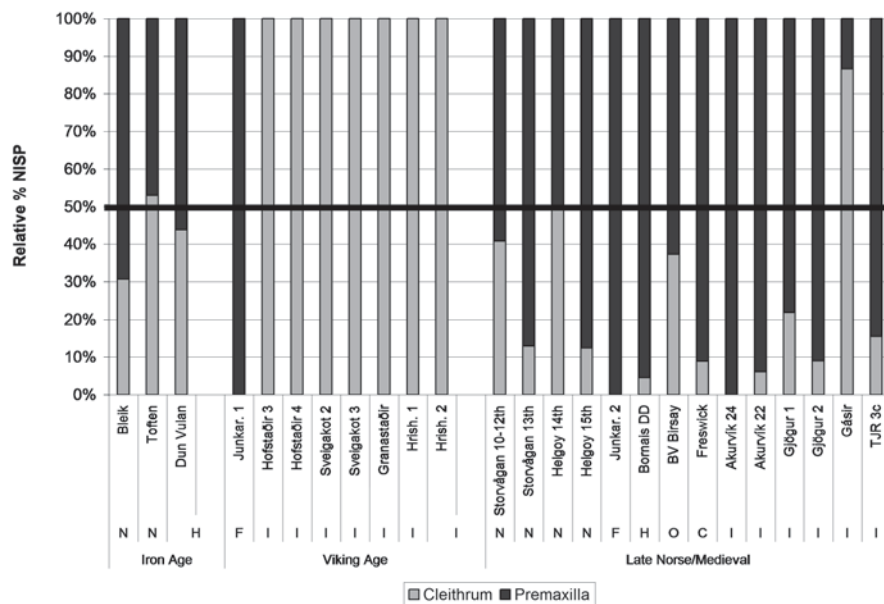


Fig. 9.4. A selection of comparably excavated (sieved) sites from Iron Age, Viking, and Late Norse/Medieval contexts from Norway (*N*), Orkney (*O*), Hebrides (*H*), Shetland (*S*), Faroes (*F*), Caithness (*C*), Iceland (*I*), and Greenland (*G*) that presents changing proportions of cleithrum and premaxilla. The relative proportion of the two elements in a whole fish is equal (*heavy line*), but where specialized production and consumption takes place the cleithra and premaxilla may become concentrated at different ends of the trade system. Production sites generally have archaeofauna with larger numbers of premaxilla, while consumption sites generally contain much larger numbers of cleithra. (Source: Perdikaris et al. 2007)

516 this, which reflects this phenomenon and gives it more detail, relies on determining
 517 the size of the fish being caught at the sites represented by the archaeofauna. Before
 518 discussing this it is important to present a basic but powerful tool for determining
 519 production versus consumption sites in the market for dried fish products (Fig. 9.4).

520 *Heads versus Tails* Coastal sites that produced dried fish products often have faunal
 521 assemblages that are dominated by cranial elements. Consumer sites on the other
 522 hand have faunal assemblages dominated by vertebral elements, most often precaudal
 523 vertebrae, while also often having high numbers of cleithra, an element adjacent
 524 to the pectoral girdle that was often left on the final product (Perdikaris et al. 2007;
 525 Perdikaris and McGovern 2009).

526 After the fish has been brought to shore it is gutted and its head is removed along
 527 with the thoracic, and at times some of the caudal vertebrae as well. The cleithrum
 528 is left on the body as it helps to hold the flesh together at the cranial end of the fish.
 529 The gutted headless body is then left out to dry in the air, either in the open or in
 530 rough shelters. This processing effect creates the clear production versus consumption
 531 faunal signature. This relationship can be best expressed by examining the

532 percent of premaxilla, a fairly robust cranial element and the percent of cleithra, a
533 similarly robust bone, within archaeological assemblages containing large numbers
534 of fish bone (Fig. 9.4).

535 The consumption sites from Viking Age Iceland are all the same inland sites
536 mentioned before. The medieval Icelandic consumption site, Gásir, was a seasonal
537 trading site in Eyjafjord, in Northern Iceland. These late medieval sites reveal the
538 intensification of production of dried gadid products.

539 *Flat Dried Fish, Round Dried Fish, and the Intensification of the Trade in Dried*
540 *Cod* Different products are produced by the drying of gadids and two in particular
541 are of interest in this story of intensification and commoditization. An earlier prod-
542 uct, which was dried flat, can be seen in the early medieval archaeological record
543 through a different percentage of vertebral representation as well as through the
544 presence of somewhat smaller fish (in terms of length). Beginning roughly in the
545 thirteenth century a different product, stockfish, which is air dried in the round,
546 begins to dominate assemblages. The flat dried item is a product in which the fish
547 is gutted, the head and most of the vertebral column is removed, leaving only the
548 cleithra and caudal vertebra present in the resulting assemblage. These fish were
549 then splayed out while drying so that the final product was flat. The round dried
550 stockfish, a much more familiar product, and the one which dominated the late
551 medieval and early modern North Atlantic fish trade, leaves a different faunal sig-
552 nature. In the production of round dried stockfish, the fish is gutted, beheaded, and
553 only the thoracic vertebrae are removed. This results in archaeofauna in which the
554 cleithra and greater numbers of precaudal and thoracic vertebrae are present.

555 Different sizes of fish were more suitable for each specific product. The flat
556 dried product required a smaller fish with an ideal length between 40 and 70 cm.
557 Round dried stockfish required a larger fish with a length somewhere between 60
558 and 110 cm. The move from flat dried to round dried in terms of total length of the
559 fish being caught can be seen archaeologically (Fig. 9.5).

560 The move from the flat dried product to round dried stockfish was a response
561 to the growing market for stockfish. The archaeological data presented above are
562 the material reflection of North Atlantic peoples supplying and responding to long-
563 distance commodity markets.

564 The intensification suggested by this changing faunal data is best exemplified in
565 the medieval period by the Icelandic sites of Gjogur and Akurvík (Krivogorskaya
566 et al. 2005; Perdikaris 1999; Perdikaris and McGovern 2007) and for the later me-
567 dieval period by the site of Gásir (Harrison et al. 2008; Harrison 2013). For the late
568 medieval and early modern periods, this process is well illustrated by the archaeo-
569 fauna still under analysis from the site of Gufuskalar in Iceland (Feeley, in process).
570 Finally, for analyses of this process in the early modern period the sites of Gufuská-
571 lár, Finnbogastaðir (Edvardsson et al. 2004), Skutastaðir (Hicks and Harrison 2008;
572 Hicks 2011; Sayle et al. 2013), and Tjarnagata 3c (Perdikaris et al. 2002; Harrison
573 and Snæsdóttir 2012) in Iceland are especially relevant (for more general discussion
574 on commoditization in early modern Iceland see Hambrecht 2012).

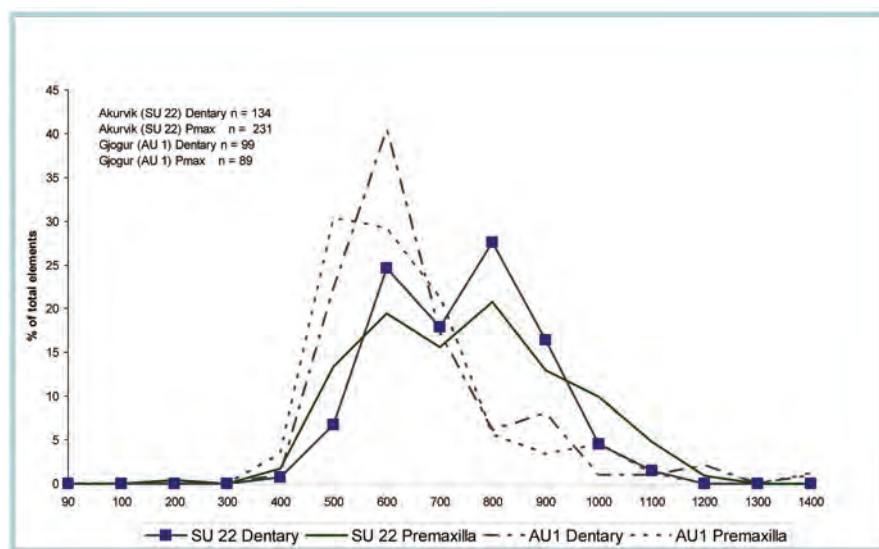


Fig. 9.5 The distribution of cod live length reconstructions in mm for the later medieval (fourteenth to fifteenth centuries) deposits at the seasonal fishing station Akurvík and the nearby permanently occupied farm mound Gjögur. The *solid line* encloses the optimal size range for the production of round dried stockfish, while the *dotted line* encloses the optimal size range for flat dried production. Akurvík appears to have been actively engaged in both types of production, while Gjögur seems to have concentrated upon the flat dried product, perhaps serving different markets

575 The archaeology of later medieval sites in Iceland shows the development from
 576 the artisanal industry to the highly specialized production that was feeding the
 577 mature fish trade. Specific signs of this are a concentration on Atlantic cod (*G.*
 578 *morhua*), especially in terms of those species being dried. The element distributions
 579 from Atlantic cod increasingly become divided between the cranial and thoracic
 580 elements found at production sites and the caudal and cleithra elements found at
 581 consumption sites. The change in fish size and in element distribution point to the
 582 turn toward stockfish and the standardization and commodification of this dried cod
 583 product.



584 There are of course some interesting exceptions to the process described above.
 585 One is the case of Norse Greenland and the fact that the archaeofaunas produced
 586 from such contexts consistently show very little presence of maritime fish at all.
 587 Another interesting exception comes from the seventeenth and the eighteenth cen-
 588 tury contexts from the elite site of Skálholt in Southern Iceland. These show a very
 589 different faunal signature in terms of fish. Skálholt was the cathedral farm which
 590 housed the Bishop of Southern Iceland until 1792. It is an inland site surrounded by
 591 high-quality pastureland. The site contained the household of the Bishop, a boy's
 592 school, and was in itself a large farm. The Bishop owned farms throughout the

593 region, as well as in other parts of Iceland. The Bishop generated rents from these
594 farms as well as from tithe income. The site of Skálholt was perhaps one of the
595 wealthiest in Iceland from the medieval through the early modern period. Perhaps
596 not surprisingly during the seventeenth and the eighteenth century at least some of
597 the inhabitants of this site were consuming whole fresh cod and haddock. While
598 stockfish was clearly being consumed here as can be determined by written records,
599 enough fresh fish was being consumed to skew the faunal signatures towards show-
600 ing elements across the whole body of the consumed fish. This maritime signature
601 is paralleled by an equally unique terrestrial faunal assemblage that featured prime
602 age cattle and sheep being raised and consumed for their meat, which is not at all an
603 ordinary situation in premodern Iceland or North Atlantic archaeological contexts
604 (Hambrecht 2009; Hambrecht 2011). The clear signs of long-distance and eventu-
605 ally global commodity markets do not reveal themselves in the zooarchaeological
606 sense discussed above at Skálholt.

607 Discussion

608 Many historians have worked to trace the premodern roots of our current global
609 capitalist system. In many cases, they have pointed out the presence of what had
610 often been considered to be novel “modern” and uniquely European processes in
611 the Medieval Period and before and in geographical contexts far outside of Europe
612 (Abu-Lughod 1991; Pomeranz 2001). On the environmental historical front, there
613 has been excellent work revealing that the processes that we see so dramatically
614 during and after the Columbian Exchange also have deeper roots than 1492 (Crosby
615 2004; Marks 2007; Richards 2006). The archaeology of the Norse colonization of
616 the North Atlantic and of these same societies in the early modern period parallel
617 these works and add new uniquely archaeological perspective to the examination
618 of the formation of modern world. Both the influence of long-range trade networks
619 and the growth of sophisticated commodity markets in driving the movement of
620 peoples across the North Atlantic are revealed by the archaeology, and specifically
621 the zooarchaeology of North Atlantic.

622 The work described in this piece is the product of a large group of scholars (Per-
623 dikaris et al. 2011; McGovern et al. 2007). The work is ongoing, and there is still
624 much to be done. One new development that is relevant to the history and effects of
625 Capitalism is a move towards attempting to construct deep baseline demographic
626 data for both marine fish and marine mammals over the last millennium. This is
627 being done through classic zooarchaeological methods, such as reconstructing the
628 age/size relationship in Atlantic cod, and with new methods, especially involving
629 the analysis of ancient DNA (Barrett et al. 2008; Szabo and Anderung in press;
630 Olafsdottir et al. 2014). The ability to engage in paleodemographics through aDNA
631 analysis, as well as the migratory, population, and trophic structure data that stable
632 isotope analysis can supply, will allow zooarchaeology in particular to supply pre-
633 cise data on the relationships between human and natural systems through history.

634 It is hoped that as archaeologists we can move from analyzing the development of
 635 commoditization of natural resources in the North Atlantic to reconstructing popu-
 636 lation size and characteristics over the last millennium in order to  a deeper
 637 understanding of the detailed impacts of Capitalism on what are  they resource
 638 populations for that region and the larger world.

639 **Acknowledgments** This research was made possible by generous grants from the National Geo-
 640 graphic Society, RANNIS, Social Sciences and Humanities Research Council of Canada, the UK
 641 Leverhulme Trust, the Wenner-Gren Foundation for Anthropological Research, the Leifur Eirik-
 642 son Fellowship Program, the American Scandinavian Foundation, the US National Science Founda-
 643 tion (grants 0732327, 1140106, 1119354, 1203823, 1203268, & 1202692), and the University of
 644 Iceland Research Fund. We would also like to send our warmest thanks to our host communities in
 645 Iceland, Greenland, and the Faroes who have supported this work and partnered in the investiga-
 646 tion of their own rich heritage as a source for education for sustainability.

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Chapter 9: Author Query

- AQ1.** The following authors are cited in the text but are not given in the reference list: "Gulløv and McGhee 2006", "Brewington 2013", "McGovern 2014", "McGovern 2014", "Lynerrup 1996", "Smiarowski 2008", "Wallerstein 1980", "Barrett 2004", "Fridriksson et al. 2004", "Hicks 2011", "Sayle et al. 2013" and "Olafsdottir et al. 2014". Please provide full references or delete the citations.
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