

ON THE STRUCTURE OF LOCALLY CONNECTED PLANE  
CONTINUA ON WHICH IT IS POSSIBLE TO DEFINE A  
POINTWISE PERIODIC HOMEOMORPHISM WHICH IS NOT  
ALMOST PERIODIC

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A favorite field of mathematical investigation concerns itself with the structure of sets. The method employed consists of imposing certain properties upon a set and then seeing what other properties, if any, the set has. After one obtains various necessary conditions in this way, one usually also investigates the sufficiency of these conditions. The results are given as theorems on the structure of the set. These theorems are of some importance, inasmuch as the behavior of a set, when it appears in applications, usually will depend on its structure.

In this paper we shall make some investigations into the structure of a locally connected, plane continuum on which is defined a pointwise periodic homeomorphism. We start by giving a few definitions and quoting some known results. All sets considered lie in a separable metric space.

We shall denote the Euclidean plane by  $\Pi$ . By  $M$  we shall mean a locally connected continuum (Whyburn [5] p. 18) in  $\Pi$ . The set-theoretic boundary of  $M$  defined as  $M \cdot \overline{(\Pi - M)}$  will be called  $B$ . The letter  $f$  will denote a single-valued continuous transformation which is pointwise periodic.

The mapping  $T(X) \subset X$ , where  $X$  is a set, is said to be pointwise periodic if for every point  $x \in X$  there is an integer  $N_x$  such that  $T^{N_x}(x) = x$ . The least such integer  $N_x$  is called the period of  $x$ , which we shall denote by  $p(x)$ . If there is an integer  $N$  such that  $T^N(x) = x$  for all  $x \in X$ , then  $T$  is said to be periodic. The least such integer  $N$  is called the period of  $T$ . The mapping  $T$  will be said to be almost periodic if for every  $\epsilon > 0$  there is an integer  $N_\epsilon$  such that

$\rho[x, T^N(x)] < \epsilon$  for all  $x \in X$ .

If  $T(X) \subset X$  is continuous, a subset  $Y$  of  $X$  is said to be invariant provided  $T(Y) = Y$ .

If  $T(X) \subset X$  is a pointwise periodic mapping, then the set  $O_T(x) = \bigcup_n T^n(x)$  consisting of  $x$  and all (a finite number, namely  $p(x)$ ) of its images under  $T$  will be called the orbit of  $x$  under  $T$ .

An immediate consequence of these definitions is that a mapping  $T(X) \subset X$  which is pointwise periodic and continuous on a compact set  $X$  is actually a homeomorphism  $T(X) = X$ . (Whyburn [5] p. 240) We now give some known results of a less trivial nature.

THEOREM A: Let  $X$  be a closed and compact metric space, and  $f(X) = X$  a pointwise periodic homeomorphism. Let  $A$  be those points  $x \in X$  such that in every neighborhood of  $x$  there is a point  $z$  with  $p(z) \neq p(x)$ . Let  $C = X - A$ . Then  $C$  is open in  $X$ ,  $C$  is dense in  $X$ ,  $p(x)$  is constant on every component of  $C$ , and  $p(x)$  is continuous on  $C$ . (Montgomery [3] p. 118)

THEOREM B: If  $f(M) = M$  is a pointwise periodic homeomorphism, where  $M$  is any locally connected continuum in the plane  $\Pi$  such that no two points of  $M$  separate  $\Pi$ , then  $f$  is periodic. (Hall & Kelley [2] p. 630)

A separable metric space  $X$  is said to be a 2-dimensional manifold provided that for any  $x \in X$  there exists a neighborhood  $U$  of  $x$  such that  $\bar{U}$  is a 2-cell. (Whyburn [5] p. 193)

THEOREM C: If  $X$  is a connected metric space which is locally Euclidean, that is each point is in an open set homeomorphic to the interior of a solid  $n$ -dimensional sphere,

and  $T(X) = X$  is pointwise periodic, then  $T$  is periodic.

(Montgomery [3] p. 118)

COROLLARY: Any pointwise periodic mapping  $f(X) = X$  on a connected 2-dimensional manifold  $X$  is periodic. (Whyburn [5] p. 262)

Next we shall establish several results of a general nature about almost periodic mappings.

THEOREM 1: Let  $X$  be a metric space and  $T(X) = X$  a single valued transformation. If  $N$  is a positive integer, then  $T^N$  is almost periodic if and only if  $T$  is almost periodic.

PROOF: (Sufficiency) Assume  $T$  is almost periodic. Given  $\epsilon > 0$ , choose an integer  $n$  such that  $\rho[x, T^n(x)] < \frac{\epsilon}{N}$  for all  $x \in X$ . Then

$$\rho[x, T^{Nn}(x)] \leq \rho[x, T^n(x)] + \rho[T^n(x), T^{2n}(x)] + \dots + \rho[T^{(N-1)n}(x), T^{Nn}(x)]$$

or  $\rho[x, T^{Nn}(x)] \leq \frac{\epsilon}{N} + \frac{\epsilon}{N} + \dots + \frac{\epsilon}{N} = N(\frac{\epsilon}{N}) = \epsilon$  for all  $x \in X$ .

Hence  $T^{Nn}$  is almost periodic.

(Necessity) Assume  $T^{Nn}$  is almost periodic. Given  $\epsilon > 0$ , choose an integer  $n$  such that  $\rho[x, T^{Nn}(x)] < \epsilon$  for all  $x \in X$ . Thus there is an integer  $r = Nn$  such that  $\rho[x, T^r(x)] < \epsilon$  for all  $x \in X$ , which means that  $T$  is almost periodic.

THEOREM 2: Let  $X$  be a metric space and  $T(X) = X$  a single valued continuous mapping. If  $T$  is almost periodic on a subset  $E \subset X$ , then  $f$  is almost periodic on  $\bar{E} \cdot X$ .

PROOF: Given  $\epsilon > 0$ , choose an integer  $n$  such that

$$\rho[x, T^n(x)] < \frac{\epsilon}{3} \text{ for all } x \in E. \text{ Take any point } x \in \bar{E} \cdot X.$$

There is a sequence  $\{x_n\}$  of points of  $E$  such that  $x_n \rightarrow x$ .

Now  $T^N$  is continuous since  $T$  is continuous. Thus there exists a  $\delta = \delta(x, \epsilon) > 0$  such that  $\rho(y, x) < \delta$  implies that  $\rho[T^N(y), T^N(x)] < \frac{\epsilon}{3}$ . Let  $\alpha = \min(\delta, \frac{\epsilon}{3})$  and choose  $n$  large enough so that  $\rho(x_n, x) < \alpha$ . Then

$$\rho[x, T^N(x)] \leq \rho(x, x_n) + \rho[x_n, T^N(x_n)] + \rho[T^N(x_n), T^N(x)] < \alpha + \frac{\epsilon}{3} + \frac{\epsilon}{3}.$$

Hence  $\rho[x, T^N(x)] < \epsilon$  for all  $x \in E \cdot X$ .

THEOREM 3: Let  $X$  be a metric space and  $T(X) = X$  a single valued transformation. If  $E$  and  $F$  are subsets of  $X$  such that  $T$  is periodic on  $E$  and almost periodic on  $F$ , then  $T$  is almost periodic on  $E + F$ .

PROOF: Since  $T$  is periodic on  $E$  there is an integer  $n$  such that  $T^n(x) = x$  for all  $x \in E$ . By theorem 1,  $T^n$  is almost periodic on  $F$ . Thus, given  $\epsilon > 0$ , there is an integer  $m$  such that  $\rho[x, T^{nm}(x)] < \epsilon$  for all  $x \in F$ . Furthermore,  $T^{nm}(x) = x$  for all  $x \in E$ . Hence  $\rho[x, T^{nm}(x)] < \epsilon$  for all  $x \in E + F$ . This shows that  $T$  is almost periodic on  $E + F$ .

We return now to a consideration of locally connected continua. For some time it was conjectured that a pointwise periodic homeomorphism of such a continuum onto itself necessarily would be almost periodic. This now is known to be false. A counter example has been constructed by Ralph Phillips which settles the problem except in the case of a 2-dimensional locally connected continuum. (Ayres [1] p.95) It is the latter case which we shall consider. What must be the structure of a locally connected continuum  $M$  in the plane  $\mathbb{T}$  such that  $f(M) = M$  can be a pointwise periodic homeomorphism which is not almost periodic? It is known that  $M$  cannot

be a dendrite. (Whyburn [5] p. 252) We shall establish several other properties which, it is hoped, will prove useful in determining ultimately if such a set  $M$  exists.

We assume that  $f(M)=M$  is a pointwise periodic homeomorphism which is not almost periodic. Define the set  $L$  to be those points  $x$  of  $M$  such that the period function  $p(y)$  is unbounded in every neighborhood of  $x$ . It is clear that  $L$  is closed and contained in the set  $A$  of theorem A.

**THEOREM 4:** If  $R$  is any component of  $M-B$ , then  $p(x)$  is bounded on  $R$ . Thus  $L \subset B$ .

**PROOF:** The set  $M-B$  is open in  $\Pi$ . Any component  $R$  of  $M-B$  is an open connected subset of  $\Pi$  since  $\Pi$  is locally connected. Hence  $R$  is a connected 2-dimensional manifold. Choose  $x \in R$  and let  $n = p(x)$ . Define  $T = f^n$ . Inasmuch as  $T(M-B) = M-B$ , then  $T(R) = R$ . But  $T$  is pointwise periodic. By the corollary to theorem 0 we find that  $T$ , and hence  $f$ , is periodic on  $R$ . Thus  $p(y)$  is bounded on  $R$ . Assume that  $L \cdot (M-B) \neq \emptyset$  and choose a point  $x \in L \cdot (M-B)$ . Let  $R$  be the component of  $M-B$  containing  $x$ . Since  $R$  is open there is a neighborhood  $U$  of  $x$  such that  $U \subset R$ . But  $p(y)$  is bounded on  $R$  and hence on  $U$ . This contradicts the definition of  $L$ . Consequently  $L \cdot (M-B) = \emptyset$ , or  $L \subset B$ .

**THEOREM 5:** If  $M$  has no cut point, then the period function  $p(x)$  is unbounded on  $B$ .

**PROOF:** The boundary of every domain of  $\Pi-M$  is a simple closed curve. (Moore [4] p. 212) The union of these boundaries is contained in  $B$ . Now if  $p(x)$  were bounded on  $B$ , then there would be an integer  $n$  such that  $f^n(x) = x$  for



all  $x \in B$ . In particular, the points on the boundaries of the complementary domains would be fixed under this power of  $f$ . Hence the mapping  $f^n$  could be extended to the whole plane by the definition  $f^n(x) = x$  for all  $x \in \overline{\Pi} - M$ . This mapping  $f^n$  then is known to be periodic on  $\overline{\Pi}$  by virtue of theorem C. Consequently  $f^n$  is periodic on  $M$ . This means  $f$  is periodic on  $M$  and, a fortiori, almost periodic on  $M$ . This contradiction to our hypothesis on  $f$  shows that  $p(x)$  is unbounded on  $B$ .

**THEOREM 6:** There exists an integer  $m$  and a non-degenerate continuum  $H \subset M$  such that, under  $f^m$ ,

- a)  $H$  is the limit of a sequence of point orbits,
- b)  $H$  is invariant, and
- c)  $H$  contains uncountably many fixed points.

**PROOF:** Since  $f$  is not almost periodic there exists an  $\eta > 0$  and a sequence  $\{x_n\}$  of points of  $M$  such that  $\rho[x_n, f^n(x_n)] \geq \eta$ . Define  $y_n = x_{n!}$ . Suppose  $\{p(y_n)\}$  is bounded by  $N$ . Then  $N!$  is divisible by  $p(y_N)$ , so that  $f^{N!}(y_N) = y_N$ . Hence  $0 = \rho[y_N, f^{N!}(y_N)] = \rho[x_{N!}, f^{N!}(x_{N!})] \geq \eta$ . This is a contradiction; thus  $\{p(y_n)\}$  is unbounded. We may choose a subsequence  $\{w_1\} = \{y_{n_1}\}$  such that  $p(w_1) < p(w_{i+1})$  and  $w_1 \rightarrow w$ . Let  $p = p(w)$ .

Define  $T = f^p$ . Then  $T(w) = w$ . Let  $z_n = f^{n!}(x_{n!}) = f^{n!}(y_n)$  and let  $v_1 = z_{n_1}$ . We may assume that  $v_1 \rightarrow v$ . Since  $\rho(y_n, z_n) = \rho[x_{n!}, f^{n!}(x_{n!})] \geq \eta$ , we have  $\rho(v, w) \geq \eta$ , so that  $v \neq w$ . Inasmuch as  $T$  is continuous and  $M$  is compact,  $T(v_1) \rightarrow T(v) \neq w$ .

Let  $d_1 = \delta [O_T(w_1)]$ , and assume that  $d_1 \rightarrow 0$ . Then for any  $d > 0$  there is an  $i_1$  depending on  $d$  such that  $i > i_1$  implies

that  $0 < d_1 < d$ . That is,  $\rho [T^r(w_1), T^s(w_1)] < d$  for all  $i > i_1$  and all  $r$  and  $s$ . Furthermore, since  $\{p(w_1)\}$  is unbounded, there is an  $i_2$  such that  $i > i_2$  implies  $d_1 > 0$ . Finally there is an  $i_3$  such that  $i > i_3$  implies that  $n_1!$  is divisible by  $p$ . Let  $i_0 = \max(i_1, i_2, i_3)$ . Now for each  $i > i_0$  let  $r = p(w_1)$  and  $s = 1 + \frac{n_1!}{p}$  in the above expression. Then

$$\rho [T^r(w_1), T^s(w_1)] = \rho [w_1, r^{p+n_1!}(y_{n_1})] = \rho [w_1, T(v_1)] < d \text{ for all } i > i_0.$$

Hence  $T(v_1) \rightarrow w$ . This contradiction shows that our assumption was incorrect. Consequently there is a subsequence of  $\{d_1\}$  which is bounded away from zero. Thus there is a subsequence of  $\{O_T(w_1)\}$  which converges to a non-degenerate set  $H^*$ . We may as well assume  $O_T(w_1) \rightarrow H^*$ . The limit set  $H^*$  contains the fixed point  $w$ , and thus is connected.

(Whyburn [5] p. 260) Thus  $H^*$  consists of a single non-degenerate component. It follows that  $H^*$  is a continuum, and that  $T(H^*) = H^*$ . (Whyburn [5] p. 259)

Since  $H^*$  satisfies the conditions on  $X$  in theorem A, there is a set  $C$  which is open and dense in  $H^*$ . Let  $k$  be the common period under  $T$  of the points of some component of  $C$ . These points, of which there are uncountably many, are all fixed under  $T^k$ . For  $j = 1, 2, \dots, k$  define  $p_{1j} = T^j(w_1)$  and let  $G_{1j} = O_{T^k}(p_{1j})$ . For each value of  $j$  we may assume  $G_{1j} \rightarrow G_j$ . In view of the fact that the range of  $j$  is finite, it appears that each  $G_j$  contains the fixed point  $w$ . Consequently, as in the case of  $H^*$  itself, each  $G_j$  is a continuum such that  $T^k(G_j) = G_j$ . Furthermore the relationship  $T(G_j) = G_{j+1}$  is valid. It is clear that  $H^* = \bigcup G_j$ . Thus each  $G_j$  is non-degen-

erate, and one of them must contain uncountably many of the fixed points described above. We denote this particular  $G_j$  by  $H$ . We have found a sequence of points  $\{p_{1j}\}$ , an integer  $m = pk$ , and a non-degenerate continuum  $H$  which satisfy the conditions in the statement of the theorem.

Despite the fact that we have said the set  $H$  is in the plane  $\Pi$ , the preceding theorem is valid without this restriction.

**THEOREM 7:** If  $F$  is a finite subset of  $M$ , then  $H - F$  is contained in a single component of  $M - F$ .

**PROOF:** Let  $G$  be the union of the orbits under  $f^m$  of the points of  $F$ . Then  $G$  is a finite set, so that  $H$  contains a fixed point  $p$  not in  $G$ . There is a neighborhood  $U_p$  of  $p$  which contains no point of  $G$ . Take any point  $x$  in  $H - F$ . There is a neighborhood  $U_x$  of  $x$  which does not intersect  $F$ . Now  $H$  is locally connected. Thus points sufficiently close to  $p$  can be joined to  $p$  by an arc which is contained in  $U_p$ . A similar statement holds for  $x$  and its neighborhood  $U_x$ . From the orbits which converge to  $H$  we may choose a point  $q$  which is "sufficiently close" to  $p$  such that one of its images  $f^{mF}(q)$  is likewise "sufficiently close" to  $x$ . Join  $p$  and  $q$  by an arc  $K$  contained in  $U_p$ . Then  $f^{mF}(K)$  is an arc from  $p$  to  $f^{mF}(q)$  which does not intersect  $F$  since  $K$  does not intersect  $G$ . Now join  $x$  and  $f^{mF}(q)$  by an arc lying in  $U_x$ . This arc does not intersect  $F$ . We now have a connected set (which is the sum of two arcs with at least the point  $f^{mF}(q)$  in common) joining  $x$  and  $p$  and not intersecting  $F$ . We see finally that  $x$  and  $p$  lie together in a connected subset of

M-F. Since  $x$  is an arbitrary point of  $H-F$ , the assertion of the theorem is proved.

**THEOREM 8:** Let  $X$  be a locally connected continuum without cutpoints. If two points  $p$  and  $q$  cut  $X$ , then

- a)  $X-(p+q)$  has finitely many components,
- b) if  $K$  is any component of  $X-(p+q)$ , then  $p+q = \bar{K}-K$ ,
- c) if  $\bar{K}$  has a cut point  $r$ , then  $\bar{K}-r$  has exactly two components, and
- d)  $X-(p+r)$  and  $X-(q+r)$  are separated.

PROOF: Part b) is trivial. Part a) follows immediately from the local connectivity of  $X$ . We proceed to prove parts c) and d).

Let  $K_1$  be a component of  $\bar{K}-r$ , and assume neither  $p$  nor  $q$  is in  $K_1$ . Then any connected set joining a point of  $K_1$  to a point not in  $K_1$  must contain  $r$ . This means, contrary to hypothesis, that  $r$  cuts  $X$ . Hence we may assume  $p \in K_1$ . On the other hand we cannot also have  $q \in K_1$ , for then another component  $K_2$  (there are at least two components since  $r$  cuts  $\bar{K}$ ) would contain neither  $p$  nor  $q$ . Thus  $q \in K_2$ , and these can be the only two components. Therefore  $\bar{K}-r = K_1 + K_2$  with  $p \in K_1$  and  $q \in K_2$ .

Finally, any connected set joining a point of  $K_1-p$  to a point not in  $K_1-p$  must contain either  $p$  or  $r$ . Consequently  $X-(p+r)$  is separated. Similarly  $X-(q+r)$  is separated. This completes the proof of the theorem.

We consider next certain ways in which the set  $H$  can be cut. Before we proceed we note that, in view of theorem

one, we may assume  $m=1$  in theorem 6 without any loss in generality.

Consider the case when  $M$  has no cut points. Since  $f(M)=M$  is not periodic it follows from theorem B that some two points cut  $M$ . Consider all cuttings of  $M$  of the type  $M-(p_\alpha+q_\alpha)$ . For each  $\alpha$  let  $K_\alpha$  be the component of  $M-(p_\alpha+q_\alpha)$  such that  $H \subset \bar{K}_\alpha$ . That this is possible follows from theorem 7.

**LEMMA 1:** If  $K_1$  and  $K_2$  are elements of the class  $\{K_\alpha\}$  such that  $M-(\bar{K}_1+\bar{K}_2) \neq \emptyset$ , then there is a set  $K_3$  in  $\{K_\alpha\}$  such that  $K_3 \subset K_1 \cdot K_2$ .

**PROOF:** Choose a point  $y$  in  $M-(\bar{K}_1+\bar{K}_2)$  and a point  $z$  in  $H-(p_1+q_1+p_2+q_2)$ . Since  $M$  has no cut point, then there is a simple closed curve  $J$  in  $M$  which passes through  $y$  and  $z$ . It follows that  $J$  contains  $p_1+q_1+p_2+q_2$ . We may name the points so that one arc  $\widehat{yz}$  of  $J$  contains  $p_1+p_2$  and the other contains  $q_1+q_2$ . If  $K_1=K_2$  then we take  $K_3=K_1$ . Accordingly we assume  $K_1 \neq K_2$ . We may assume then that  $p_1 \neq p_2$ . Since it is immaterial which set is called  $K_1$  and which  $K_2$  we may assume that  $p_2$  is closer to  $z$  than is  $p_1$  along the arc  $\widehat{yp_2z}$  of  $J$ . In the other arc of  $J$  let  $q_3$  be the  $q_1$  which is nearer to  $z$  along the arc. (This admits even the possibility  $q_1=q_2=q_3$ .)

We assert that  $M-(p_2+q_3)$  is separated. This is trivial if  $q_3=q_2$ ; accordingly we take  $q_3=q_1 \neq q_2$ . Suppose that  $M-(p_2+q_3)$  is connected. Both  $y$  and  $z$  are in this set. Any arc joining  $y$  and  $z$  in this set must contain both  $p_1$  and  $q_2$ . Consider any such arc. If we start at  $z$  and proceed along the arc towards  $y$  we must come first, say, to  $p_1$ . But then we

may proceed along  $J$  to  $y$  without passing through  $q_2$ , which is impossible. We reach a similar contradiction if we meet  $q_2$  before  $p_1$ . Hence  $M - (p_2 + q_1)$  is separated. Let  $K_3$  be the component of  $M - (p_2 + q_1)$  which belongs to the class  $\{K_\alpha\}$ . Then  $K_3 \subset M - (p_1 + q_1 + p_2 + q_2)$  which implies  $K_3 \subset K_1$  and  $K_3 \subset K_2$ , so that  $K_3 \subset K_1 \cdot K_2$ .

Let  $K_0$  be any set in the collection  $\{K_\alpha\}$ . Define  $\{K_\alpha^*\}$  to be the subclass of  $\{K_\alpha\}$  consisting of all  $K_\beta$  such that  $K_\beta \subset K_0$ . Let  $y$  be a point not in  $\overline{K_0}$  and  $z$  a point of  $H$ . In  $M$  there is a simple closed curve  $J$  which contains both  $y$  and  $z$ . It follows that  $\bigcup (p_\alpha^* + q_\alpha^*) \subset J$ , where  $p_\alpha^*$  and  $q_\alpha^*$  are the boundary points of  $K_\alpha^*$  in  $M$ . The curve  $J$  contains two arcs  $\widehat{yaz}$  and  $\widehat{ybz}$  from  $y$  to  $z$ , and we may name the points  $p_\alpha^*$  and  $q_\alpha^*$  in such a way that, for every  $\alpha$ ,  $p_\alpha^*$  is in  $\widehat{yaz}$  and  $q_\alpha^*$  is in  $\widehat{ybz}$ . We define an ordering of the points  $p_\alpha^*$  by the relation  $p_\alpha^* < p_\beta^*$  if  $p_\alpha^*$  is nearer to  $z$  than is  $p_\beta^*$  along the arc  $\widehat{yaz}$ ; and similarly  $q_\alpha^* < q_\beta^*$  if  $q_\alpha^*$  is nearer to  $z$  than is  $q_\beta^*$  along the arc  $\widehat{ybz}$ .

LEMMA 2:  $K_\alpha^* \subset K_\beta^*$  if and only if  $p_\alpha^* \leq p_\beta^*$  and  $q_\alpha^* \leq q_\beta^*$ .

PROOF: (Sufficiency) Assume  $p_\alpha^* \leq p_\beta^*$  and  $q_\alpha^* \leq q_\beta^*$ .

Then  $K_\alpha^*$  is contained in  $M - (p_\alpha^* + q_\alpha^* + p_\beta^* + q_\beta^*)$ , and thus  $K_\alpha^* \subset K_\beta^*$ .

(Necessity) Assume  $K_\alpha^* \subset K_\beta^*$ . If  $p_\beta^* \leq p_\alpha^*$  and  $q_\beta^* \leq q_\alpha^*$ , then, from the proof of the sufficiency, it follows that  $K_\beta^* \subset K_\alpha^*$ , so that  $K_\alpha^* = K_\beta^*$ , which means  $p_\alpha^* = p_\beta^*$  and  $q_\alpha^* = q_\beta^*$ . Hence we assume  $K_\alpha^* \neq K_\beta^*$  and take a point  $x$  in  $K_\beta^* - K_\alpha^*$ . Since  $K_\beta^*$  is a connected set containing both  $x$  and  $z$ , then either  $p_\alpha^*$  or  $q_\alpha^*$  is in  $K_\beta^*$ . We may assume  $p_\alpha^* \in K_\beta^*$ , so that  $p_\alpha^* < p_\beta^*$ .

Assume  $q_{\beta}^* \leq q_{\alpha}^*$  and choose a point  $u$  in the arc  $\widehat{ybz}$  such that  $q_{\beta}^* < u < q_{\alpha}^*$ . But then  $u \in K_{\alpha}^* - K_{\beta}^* = \emptyset$ . This contradiction shows that  $q_{\alpha}^* \leq q_{\beta}^*$  and completes the proof of the lemma.

COROLLARY: If  $p_0$  and  $q_0$  are the boundary points of  $K_0$  in  $M$ , then  $p^* \leq p_0$  and  $q^* \leq q_0$  for all  $\alpha$ .

LEMMA 3:  $\bigcup_{\alpha} p_{\alpha}^*$  is closed.

PROOF: Let  $F = \bigcup_{\alpha} p_{\alpha}^*$  and take any  $p \in F'$ . Let  $\{p_{\alpha_i}^*\}$  and  $\{q_{\alpha_i}^*\}$  be sequences such that  $p_{\alpha_i}^* \xrightarrow{i} p$  and  $q_{\alpha_i}^* \xrightarrow{i} q$ . In view of the preceding corollary we have  $p \leq p_0$  and  $q \leq q_0$ . Assume  $M - (p+q)$  is connected. Then  $y$  and  $z$  can be joined by an arc  $N$  in  $M - (p+q)$ . There exist neighborhoods  $U_p$  of  $p$  and  $U_q$  of  $q$  which do not intersect  $N$ . Hence there exist a  $p_{\alpha_i}^* \in U_p$  and a  $q_{\alpha_i}^* \in U_q$  which are not on  $N$ , contrary to the fact that any arc joining  $y$  and  $z$  must pass through at least one of the points  $p_{\alpha}^*$  or  $q_{\alpha}^*$  for every  $\alpha$ . Thus  $M - (p+q)$  is separated. Let  $K$  be the component of  $M - (p+q)$  which is in the class  $\{K_{\alpha}\}$ . Then  $K \subset M - (p+q+p_0+q_0)$  since  $y$  is not in  $\bar{K}$ . Hence  $K \subset K_0$  which means  $K$  is an element of  $\{K_{\alpha}^*\}$ . Consequently  $p$  is in  $F$ , and  $F$  is closed. Similarly  $\bigcup_{\alpha} q_{\alpha}^*$  is closed.

THEOREM 9: Let  $M$  be a locally connected plane continuum without outpoints, and  $f(M) = M$  a pointwise periodic homeomorphism which is not almost periodic. Then there exists a sequence of points  $\{p_1\}$  in  $C \subset M$  and an integer  $m$  such that  $O_T(p_1) \rightarrow H$ , where  $T = f^m$ , and  $H$  is a non-degenerate continuum. If  $p, q$  is any pair of points which cut  $M$ , and  $K_0$  is the com-

ponent of  $M - (p + q)$  whose closure contains  $H$ , then there exists a minimal locally connected proper subcontinuum  $\bar{K}$  of  $M$  having no cut point such that  $H \subset \bar{K} \subset \bar{K}_0$  and every component of  $M - \bar{K}$  has exactly two boundary points in  $M$ . If  $\bar{K}^*$  is a similar continuum obtained from a pair of points  $p^*$  and  $q^*$ , either  $\bar{K} = \bar{K}^*$  or  $\bar{K} + \bar{K}^* = M$ . Finally, if  $x$  is any point in  $\bar{K}$ , then either  $T(x)$  or  $T^2(x)$  is in  $\bar{K}$ , or both.

PROOF: The existence of  $H$  was discussed in theorem 6. That the points whose orbits converge to  $H$  can be chosen in the set  $C$  of theorem A follows at once from theorem A and theorem 2.

We return to the notation used in lemma 2 and let  $p$  be the point in  $\bigcup_{\alpha} p_{\alpha}^*$  such that  $p \leq p_{\alpha}^*$  for all  $\alpha$ . Similarly define  $q$  so that  $q \leq q_{\alpha}^*$  for all  $\alpha$ . Because of lemma 3 we see that there are indices  $\alpha$  and  $\beta$  such that  $p = p_{\alpha}^*$  and  $q = q_{\beta}^*$ . Corresponding to these points we have sets  $K_{\alpha}^*$  and  $K_{\beta}^*$  satisfying the conditions of lemma 1. Thus there is an element  $K_{\gamma}$  of  $\{K_{\alpha}^*\}$  such that  $K_{\gamma} \subset K_{\alpha}^* \cdot K_{\beta}^*$ . Furthermore, lemma 1 shows that we may choose  $K_{\gamma}$  so that  $p + q = \bar{K}_{\gamma} - K_{\gamma}$ . Inasmuch as  $K_{\gamma} \subset K_{\alpha}^* \subset K_0$  we may say  $K_{\gamma} = K_{\gamma}^* \in \{K_{\alpha}^*\}$ .

Define  $K = \bigcap K_{\alpha}^*$ . It is clear that  $K$  is the  $K_{\gamma}^*$  of the preceding paragraph.  $\bar{K}$  is a closed proper subset of  $M$ . Take any  $x \in \bar{K} - (p + q) = K$ . There is a neighborhood  $U_x$  of  $x$  which contains neither  $p$  nor  $q$ . Since  $M$  is locally connected, a point of  $M$  sufficiently close to  $x$  can be joined to  $x$  by an arc  $N$  contained in  $U_x$ . Now  $N$  contains a point  $x$  of  $K$ , but contains neither  $p$  nor  $q$ . Consequently  $N$  lies in  $K$ . This



shows that  $\bar{K}$  is locally connected, inasmuch as it cannot fail to be locally connected only at  $p$  and  $q$ . Thus  $\bar{K}$  is a locally connected proper subcontinuum of  $M$  containing  $H$ .

If  $\bar{K}$  has a cut point  $r$ , theorem 8 shows that  $\bar{K}-r$  consists of exactly two components. One of these components, say the one containing  $p$ , contains  $H-r$ . Call this component  $G$ . Now  $M-(p+r)$  is separated (theorem 8) and the element of  $\{K_\alpha\}$  determined by this cutting is contained in  $G$  and hence in  $K$  and  $K_0$ . Furthermore it is contained properly in  $\bar{K}$ , and this contradicts the definition of  $K$ . Thus  $\bar{K}$  has no cut point.

Let us suppose that  $p^*, q^*$  is a pair of points distinct from the pair  $p, q$ . Then the continuum  $\bar{K}^*$  determined by the second pair of points is distinct from  $\bar{K}$ . Lemma 1 shows that it is necessary to have  $\bar{K} + \bar{K}^* = M$ .

Suppose  $T(\bar{K}) \neq \bar{K}$ . Then, inasmuch as  $T^{-1}(\bar{K})$  is a  $\bar{K}^*$  as just described,  $\bar{K} + T^{-1}(\bar{K}) = M$ . Take any  $x \in \bar{K}$  such that  $T(x) \notin \bar{K}$ . Then  $T(x) \in T^{-1}(\bar{K})$ , or  $T^2(x) \in \bar{K}$ .

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